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RECORDATION FORM COVER SHEET

U.S. Department of Commerce  
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To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
  
Shu-Mei Yang; Chieh Hu; Ying-Ching Wang

2. Name/address of receiving Party(ies):  
  
Industrial Technology Research Institute  
No. 195, Section 4, Chung Hsing Road, Chutung, Hsinchu, Taiwan, R.O.C.

3. Nature of conveyance:  Assignment  
 Merger  Security Agreement  Other  
 Change of Name  Reassignment

4. Date(s) of execution: September 28, 2000.

Add'l names of receiving parties Attached?  Yes  No

5. Application number(s) or patent number(s):  
**If this documents is being filed together with a new application, the execution date of the application is September 28, 2000.**

A. Patent Application No. (s)  
  
Additional numbers attached ?  Yes  No

B. Patent No. (s)  
  
09767391

6. Name and address of party to whom Correspondence concerning document should be mailed:  
  
J.C. Patents, Inc.  
1340 Reynolds Ave., Suite 114  
Irvine, CA 92614  
(949) 660-0761

7. Total No. of applications and patents involved:  
  
ONE(1)

8. Total fee (37 CFR §3.41): \$40.00  
 Enclosed  
 Charge to Acct. No. \_\_\_\_\_

9. Total number of pages, including Cover sheet, attachments and document 3.

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10. Statement and Signature:  
  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
  
Jiawei Huang                      Jiawei Huang                      1/23/2001  
Name of Person Signing              Signature                                      Date

Registration No. 43,330

( )

# ASSIGNMENT

WHEREAS,

1. Shu-Mei Yang
2. Chieh Hu
3. Ying-Ching Wang

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: **ELECTRIC OPTICAL FIBER GRATING FILTER WITH SWITCHABLE CENTRAL WAVELENGTH**

Filed: Serial No.

Executed concurrently with the execution of this instrument

WHEREAS, Industrial Technology Research Institute  
of No. 195, Section 4, Chung Hsing Road, Chutung, Hsinchu, Taiwan, R.O.C.

hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee Lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

# ASSIGNMENT CONTINUED

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Shu-Mei Yang  
Signature:  
Sole or First Joint Inventor: Shu-Mei Yang

Date: Sep. 28, 2000

Chieh Hu  
Signature:  
Second Joint Inventor (if any): Chieh Hu

Date: Sep. 28, 2000

Ying-Ching Wang  
Signature:  
Third Joint Inventor (if any): Ying-Ching Wang

Date: Sep. 28, 2000