

02-12-2001



U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

101612222

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Document ID#   
☐ Correction of PTO Error  
Reel #  Frame #   
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**Conveyance Type**

☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other   
**U.S. Government**  
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☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached  
Execution Date  
Month Day Year  
11 03 00

Name (line 1) Steven Hector Azzaro  
Name (line 2)

**Second Party**

Name (line 1) Thomas Shaginaw  
Name (line 2)   
Execution Date  
Month Day Year  
11 03 00

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) General Electric Company  
Name (line 2)   
Address (line 1) 1 River Road  
Address (line 2)   
Address (line 3) Schenectady New York 12301  
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Enter for the first Receiving Party only.

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Address (line 2)   
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**PATENT**  
**REEL: 011499 FRAME: 0386**

**Correspondent Name and Address**

Area Code and Telephone Number (321) 674-1778

Name John L. DeAngelis, Jr., Esquire

Address (line 1) Holland & Knight LLP

Address (line 2) 1499 S. Harbor City Blvd., Suite 201

Address (line 3) Melbourne, FL 32901

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 3

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09/696,368

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ \$40.00

Method of Payment:  
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 070846

Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John L. DeAngelis, Jr.

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Vinay Bhaskar Jammu

Execution Date  
Month Day Year

11 06 00

Name (line 2)

Execution Date  
Month Day Year

11 07 00

Name (line 1) Thomas George Cook

Name (line 2)

Execution Date  
Month Day Year

11 22 00

Name (line 1) Thomas Timothy Booth

Name (line 2)

Receiving Party(ies)

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Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



# PATENT APPLICATION ASSIGNMENT

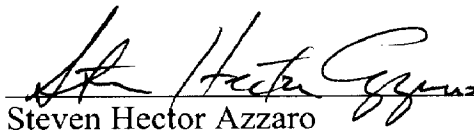
WHEREAS, we, Steven Hector Azzaro, a citizen of the United States, residing at 463 Scotch Ridge Road, Schenectady, NY 12306; Thomas Shaginaw, a citizen of the United States, residing at Rexford, NY 12148; Vinay Bhaskar Jammu, a citizen of India, residing at 50 Hill Crest Village, Apartment C3, Niskayuna, NY 12309; Thomas George Cook, a citizen of the United States, residing at 5219 West 52<sup>nd</sup> Street, Fairview, PA 16415; and Thomas Timothy Booth, a citizen of the United States, residing at 3091 Rio Pino, Indialantic, FL 32903, have invented new and useful improvements in an On-Board Monitor For A Railroad Locomotive for which application is being made for Letters Patent and is further identified by Attorney's Docket No. 20-LC-1978/624226.133.

WHEREAS, General Electric Company, a corporation of the State of New York, One River Road, Schenectady, New York 12301, is desirous of acquiring our entire right, title and interest in said application and our Letters Patent which may issue thereon.

NOW, THEREFORE, be it known by all whom it may concern that for and in consideration of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and other good and valuable consideration, we hereby assign to said corporation, both in and for the territory of the United States of America and the entire world, all our title and interest in and to said invention, patent application and any patent which may issue thereon, including all divisions, continuations, reissues, continuations-in-part and substitutions thereof, plus all priority rights for patent applications foreign to the United States of America. We further hereby assign and transfer to said corporation whatever cause of action we may have for past or present infringement of said invention, together with the right to bring suit for any such infringement and to seek and receive damages and other relief arising from any such infringement.

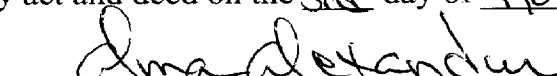
We HEREBY covenant and agree that we will at any time, upon the request and at the expense of said corporation, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention, applications and patents, and we authorize the Commissioner of Patents and Trademarks to issue Letters Patent to said corporation.

IN TESTIMONY WHEREOF, we have executed this Assignment on the dates indicated below.

 3-Nov-00  
Steven Hector Azzaro Date

STATE OF New York )  
COUNTY OF Schenectady )

BEFORE ME personally appeared the above-identified individual and acknowledged the foregoing Assignment to be his/her voluntary act and deed on the 3rd day of Nov., 2000.

  
Notary Public in and for the State of New York  
My Commission Expires: Dec. 22, 2001

ALMA ALEXANDER  
Notary Public, State of New York  
No. 6000700  
Qualified in Schenectady County, 2001  
Commission Expires December 22, 2001

Thomas Timothy Booth 22 Nov 00  
Thomas Timothy Booth Date

STATE OF Florida)  
COUNTY OF Brevard)

BEFORE ME personally appeared the above-identified individual and acknowledged the foregoing Assignment to be his/her voluntary act and deed on the 22nd day of November, 2000.

Stacy L. Baldac  
Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

