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Form PTO-1595
1-21-92U.S. DEPARTMENT OF COMMERCE
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SHEET PATENTS ONLY

Docket No. 212/206

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Peter A. Altman

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

1-22-01

☒ Assignment☐ Merger☐ Security Agreement =☐ Change of Name☐ Other

Execution Date: January 5, 2001

2. Name and address of receiving party

Name: BioCardia, Inc.

Internal Address

Street

384 Oyster Point Blvd. #4, South
San Francisco, CA 94080Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. 09/687,776

Additional Numbers attached?

B. Patent No.

☐ Yes ☐ No5. Name and address of party to whom correspondence concerning
this document should be sent

Name: K. David Crockett, Esq.

Internal Address:

Street Address: Crockett & Crockett

24012 Calle De La Plata, Suite 400

City: Laguna Hills

State: California ZIP 92653

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number: 03-3700

Please charge this Deposit Account if any additional fee is required.
(Attach duplicate copy of this page if paying by deposit account.)

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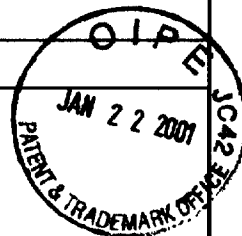
9 Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.
Katharine K. Cooper, Esq. Reg. No. 44,845

Date: January 22, 2001

Total number of pages comprising cover sheet:

OMB No. 0651-0011 (exp. 4/94)

PATENT
REEL: 011500 FRAME: 0730

Assignment of Invention and Patent Application

For value received, I (We), the undersigned inventors (hereinafter ASSIGNOR(s)), hereby sell, assign, transfer, and set over unto BioCardia, Inc. and its successors or assigns (hereinafter ASSIGNEE) all of the following: (A) ASSIGNOR'S right, title and interest in and to the invention entitled:

Pulmonary Vein Stent and Method for Use

invented by ASSIGNOR(s) Peter A. Altman

(B) the application for United States patent therefore, signed by ASSIGNOR January 5, 2001

☐ filed herewith, or

☒ the application number 09/687,776 filed on October 13, 2000

(C) any patent or reissues of any patent that may be granted thereon; and (D) any applications which are continuations, continuations-in-part, substitutes, or divisions of said application.

ASSIGNOR authorizes ASSIGNEE to enter the date of signature and/or Serial Number and Filing Date in the spaces above. ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s).

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE, ASSIGNOR'S entire right, title and interest in and to said invention in each and every country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE all priority rights resulting from the above-identified application for United States patent. ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

Date: January 5, 2001



Inventor Peter A. Altman

Date: _____

Inventor _____

Date: _____

Inventor: _____

Date: _____

Inventor _____

Date: _____

Inventor _____