

02-13-2001

Docket No.: 13115

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02



SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1. Neil Berinstein
- 2. James Tartaglia
- 3. Philippe Moingeon
- 4. Brian Barber

2-5-01

2. Name and address of receiving party(ies):

Name: Aventis Pasteur Limited

Internal Address: _____

Street Address: 1755 Steeles Avenue West

Toronto, Ontario Canada M3R 3T4

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? Yes No

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: November 13, 2000

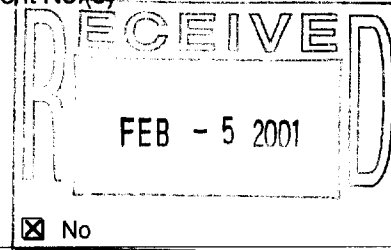
4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/693,754

B. Patent No.(s)



02/13/2001 6TON11 00000046 09693754

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40.00 DP

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Milton Springut, Esq.

Internal Address: Kalow & Springut LLP

Street Address: 488 Madison Avenue, 19th Floor

City: New York State: NY ZIP: 10022

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

11-0171

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Franklin S. Abrams (Reg. No. 43,457)

February 2, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

6

PATENT

REEL: 011500 FRAME: 0775

ASSIGNMENT

WHEREAS WE, (1) NEIL BERINSTEIN, (2) JAMES TARTAGLIA, (3) PHILIPPE MOINGEON, (4) BRIAN BARBER; whose full post office address are (1) 31 Burton Road, Toronto, Ontario, M5P 1V1, Canada; (2) 7 Christina Drive, Schenectady, New York, U.S.A. 12303; (3) Le Chalier, 69480 Pommiers, France; (4) 1428 Broadmoor Ave., Mississauga, Ontario, L5G 3T5, Canada; have invented certain new and useful improvements in an invention entitled **METHOD OF INDUCING AND/OR ENHANCING AN IMMUNE RESPONDE TO TUMOR ANTIGENS** for which United States patent application was filed on October 20, 2000 as Serial No. 09/693,754;

AND WHEREAS AVENTIS PASTEUR LIMITED, of 1755 Steeles Avenue West, Toronto, Ontario, M2R 3T4, Canada, has acquired from us the whole right, title and interest for the United States of America and all other countries in and to the said invention and in and to any Letters Patent that may be obtained therefor, and in and to said application,

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of all of which is hereby acknowledged, we, (1) NEIL BERINSTEIN, (2) JAMES TARTAGLIA, (3) PHILIPPE MOINGEON, (4) BRIAN BARBER, by these presents confirm that we have sold, assigned and transferred and do hereby sell, assign and transfer unto the said AVENTIS PASTEUR LIMITED, and AVENTIS PASTEUR LIMITED hereby accepts, the full and exclusive right to the said invention in the United States of America and all other countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor, including, without limiting the generality of the foregoing, the right to claim damages for past infringement, and the entire right, title and interest in and to said application, and in and to any divisions, continuations, continuations-in-part and extensions of said application, including, without limiting the generality of the foregoing, the right to claim damages for past infringement, together with the right to claim the benefit of the right of priority provided by the International

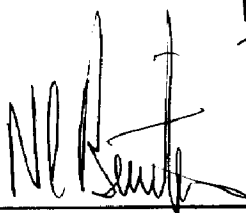
Convention for the Protection of Industrial Property based on said application for United States Letters Patent.

We agree that we will without further consideration do all such things and execute all such documents as may be necessary or desirable to obtain and maintain patents for said invention and for additions and modifications thereto in any and all countries, and to vest title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said **AVENTIS PASTEUR LIMITED** the assignee of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and benefit of its successors and assigns, to the full end of the term for which Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made.

SIGNED this 13 day of NOV, 2000, at

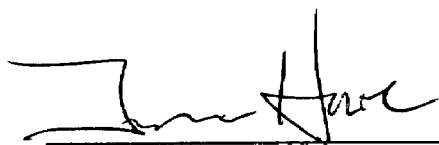
AvP - Canada
1755 Steeles Ave. W.
Toronto, Ontario M2R 3T4


NEIL BERINSTEIN


Witness

I, Timothy Hare
25 Misty Lane, Clarkes Summit, PA USA 18411 whose full post office address is
hereby declare, that I was personally present and did see
Neil Berinstein, who is personally known to me to be an official of a
party named in the above assignment, duly sign and execute the same.

Signature of Witness



SIGNED this 13 day of Nov, 2000, at AvP-Canada
1755 Steeles Ave W.
Toronto, Ontario CA M2R3T4

[Signature]
Witness

[Signature]
JAMES TARTAGLIA

I, Timothy Howe
25 Misty Lane, Clarks Summit, PA, USA 18411
hereby declare that I was personally present and did see
James Tartaglia, who is personally known to me to be an official of a
party named in the above assignment, duly sign and execute the same.

Signature of Witness

[Signature]

SIGNED this 13th day of November, 2000, at AvP-Canada USA
Discovery Drive
Swiftdale, PA
~~1755 Steeles Ave. W. USA 18411~~
~~Toronto, Ontario M2R3T4 18370~~

[Signature]
Witness

[Signature]
PHILIPPE MOINGEON

I, Timothy Howe
25 Misty Lane, Clarks Summit, PA USA 18411
hereby declare that I was personally present and did see
, who is personally known to me to be an official of a
party named in the above assignment, duly sign and execute the same.

Signature of Witness

[Signature]

