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HEET

| Commissioner for Patents: Please record the attached original document(s) or copy(ies).                             |   | En de de la companya |  |  |
|---|---|---|--|--|
| Name of conveying party(ies):   | 2. Name and address of receiving pa                               | rty(ies):   |  |  |
| Swarn S. Kalsi  | American Superconductor Corporiation                              |   |  |  |
| Additional name(s) attached? ☐ Yes ☒ No   | Two Technology D rive   |   |  |  |
| 3. Nature of conveyance:  | Westborough, MA 01581   |   |  |  |
| ☑ Assignment  |   |   |  |  |
| ☐ Merger ☐ Security Agreement   |   |   |  |  |
| ☐ Change of Name ☐ Other:   |   |   |  |  |
| Execution Date: January 24, 2001  | Additional names/addresses  | attached? ☐ Yes ☒ No  |  |  |
| 4. Application number(s) or patent number(s):   |   |   |  |  |
| If this document is being filed with a new application, the execution   | date of the application is:                                       |   |  |  |
| A. Patent Application No(s).:   | B: Patent No(s).:   |   |  |  |
| 09/696,363  |   |   |  |  |
| Additional numbers attached? ☐ Yes 图 No   |   |   |  |  |
| <ol><li>Name/address of party to whom correspondence concerning<br/>document should be mailed:</li></ol>            | 6. Total number of applications/pater                             | nts involved: 1   |  |  |
| PHYLLIS K. KRISTAL  | 7. Total fee (37 CFR §3.41): \$40                                 |   |  |  |
| Fish & Richardson P.C.  | ☑ Enclosed  |   |  |  |
| 225 Franklin Street<br>Boston, MA 02110-2804  | ☐ Authorized to charge Deposit Account.                           |   |  |  |
| D03(011; 1VIA 02110-2004  | 8. Deposit Account No.: 06-1050                                   |   |  |  |
|   | Please apply any additional charg<br>Deposit Account No. 06-1050. | ges, or any credits, to our   |  |  |
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| Phyllis K. Kristal<br>Reg. No. 38,524   | linkal Jan  | 100 7h. 700/  |  |  |
| Name of Person Signing Signature  | Date  | vay 26, 2001  |  |  |
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| Tota  | I number of pages including covershee                             | t, attachments and document: 3  |  |  |
| 20177222 doc  |   |   |  |  |

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## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

Typed Name of Person Signing Certificate

**PATENT** 

**REEL: 011502 FRAME: 0204** 

## **ASSIGNMENT**

For valuable consideration, I Swarn S. Kalsi, of Shrewsbury, Massachusetts, hereby assign to: American Superconductor Corporation, a Delaware corporation having a place of business at: Two Technology Drive, Westborough, MA 01581; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled HIGH TEMPERATURE SUPERCONDUCTOR ROTOR FOR A SYNCHRONOUS MACHINE, filed October 25, 2000, and assigned U.S. Serial Number 09/696,363, and I authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns,

> PATENT REEL: 011502 FRAME: 0205

without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

| In Witness Whereof, I hereto   | set my hand and seal at Westboro                           | ough, Massachusetts,                     |
|--|--|--|
| this <u>24<sup>th</sup></u> day of <u>January</u>                          | , 2001   |  |
| Swarn S. Kalsi   | . nlsi   | L.S.                                     |
| Swarn S. Kalsi   |  |  |
| State of MASSACHUSETTS:  |  |  |
| : ss.  |  |  |
| County of WORCESTER :  |  |  |
| Before me this 24 <sup>th</sup> day Swarn S. Kalsi known to me to be the p | of <u>Sanuary</u> , 200<br>person whose name is subscribed | 01, personally appeared to the foregoing |
| Assignment and acknowledged that he  | executed the same as his free act                          | and deed for the                         |
| purposes therein contained.  |  |  |
|  | tracy C. Con   | noll                                     |
|  | Notary Public  |  |
|  | My Commission Expires:                                     | TRACY A. CONNOLLY<br>NOTARY PUBLIC       |
| [Notary's Seal Here]   |  | My Commission Expires Oct 8, 2004        |

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**RECORDED: 02/01/2001** 

PATENT REEL: 011502 FRAME: 0206