

02-15-2001

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT



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PATENTS ONLY

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☒ New  
☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error

Reel # Frame #

☐ Corrective Document

Reel# Frame #

**Conveyance Type**

☐ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name

☐ Merger

☒ Other

Notice of Grant of Security Interest

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File

☐

Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Name (line 1) Sola International, Inc.

Name (line 2)

Execution Date  
Month Day Year

01/31/01

**Second Party**

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) Bank of America, N.A., as Collateral Agent

Name (line 2)

Address (line 1) 231S. LaSalle Street

Address (line 2)

Address (line 3)

Chicago  
City

Illinois  
State/Country

60604  
Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

N/A

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Mail documents to be recorded with required cover sheet(s) information to:

02/15/2001 GT0N11 00000039 5405557 Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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PATENT  
REEL: 011506 FRAME: 0745

**Correspondent Name and Address**

Area Code and Telephone Number **(919) 286-8000**

Name **Steven D. Thomas**

Address (line 1) **Moore & Van Allen, PLLC**

Address (line 2) **2200 West Main Street**

Address (line 3) **Suite 800**

Address (line 4) **Durham, North Carolina 27705**

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

# **5**

**Application Number(s) or Patent Number(s)**



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

**5405557**

**4912185**

**4874561**

**4839110**

**4838675**

**4836960**

**4676610**

**4861153**

**4699473**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

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PCT

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PCT

**Number of Properties** Enter the total number of properties involved.

# **16**

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41):

\$ **640.00**

Method of Payment:

Enclosed ☒

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

Authorization to charge additional fees:

# **13-4365**

Yes ☒

No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

**Steven D. Thomas**

Name of Person Signing

Signature

Date Signed

**RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY**

**Conveying Party(ies)** ☐ **Mark if additional names of conveying parties attached**  
Enter Additional Conveying Party

Name (line 1)   
Name (line 2)   
Name (line 1)   
Name (line 2)   
Name (line 1)   
Name (line 2)

**Execution Date**  
Month Day Year

**Execution Date**  
Month Day Year

**Execution Date**  
Month Day Year

**Receiving Party(ies)** ☐ **Mark if additional names of receiving parties attached**  
Enter Additional Receiving Party

Name (line 1)   
Name (line 2)   
Address (line 1)   
Address (line 2)   
Address (line 3)     
City State/Country Zip Code

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Name (line 2)   
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Address (line 2)   
Address (line 3)     
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

**Application Number(s) or Patent Number(s)** ☐ **Mark if additional numbers attached**  
*Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).*

**Patent Application Number(s)**

**Patent Number(s)**

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NOTICE  
OF  
GRANT OF SECURITY INTEREST  
IN  
PATENTS

United States Patent and Trademark Office

Please be advised that pursuant to the Security Agreement dated as of January 31, 2001 (the "Security Agreement") by and among the Obligors party thereto (each an "Obligor" and collectively, the "Obligors") and Bank of America, N.A., as Collateral Agent (the "Collateral Agent") for the Banks referenced therein (the "Banks"), the undersigned Obligor has granted a continuing security interest in and continuing lien upon, the patents and patent applications shown below to the Collateral Agent for the ratable benefit of the Banks:

PATENTS

<u>Patent No.</u>	Description of Patent <u>Item</u>	Date of <u>Patent</u>
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See the attached Exhibit A

Patent Applications

Patent  
Applications No.

Date of Patent  
Applications

See the attached Exhibit A

The Obligors and the Collateral Agent, on behalf of the Banks, hereby acknowledge and agree that the security interest in the foregoing patents and patent applications (i) may only be terminated in accordance with the terms of the Security Agreement and (ii) is not to be construed as an assignment of any patent or patent application.

Very truly yours,

SOLA INTERNATIONAL INC.

By: Steven M. Neil  
Name: Steven M. Neil  
Title: CEO

Acknowledged and Accepted:

BANK OF AMERICA, N.A.,  
as Collateral Agent

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

The Obligors and the Collateral Agent, on behalf of the Banks, hereby acknowledge and agree that the security interest in the foregoing patents and patent applications (i) may only be terminated in accordance with the terms of the Security Agreement and (ii) is not to be construed as an assignment of any patent or patent application.

Very truly yours,

**SOLA INTERNATIONAL INC.**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

Acknowledged and Accepted:

BANK OF AMERICA, N.A.,  
as Collateral Agent

By: *Paula Z. Kramp*  
Name: \_\_\_\_\_  
Title: **Paula Z. Kramp**  
**Principal**

**Sola International, Inc.  
(Delaware Corporation)**

**U.S. Patents**

**Issued Patents**

Method of making a moulded photochromic lens	5405557	4/11/95
Cross-linkable casting compositions	4912185	3/27/90
Method for designing parametric molding apparatus	4874561	1/17/89
Method of molding using gated dies	4839110	6/13/89
Method for improving progressive lens designs and resulting article	4838675	6/13/89
Fabrication of thermoplastic optical components by injection/compression molding	4836960	6/6/89
Method of making progressive lens surface and resulting article	4676610	6/30/87
Progressive addition spectacle lens	4861153	8/29/89
Trifluoromethyl substituted spirooxazine photochromic dyes [photocolorability; lenses]	4699473	10/13/87
Anti-static ophthalmic lenses [applying conductive layer on plastic substrate, and overcoating with wear resistance material]	4632527	12/30/86
Progressive power ophthalmic lenses	4514061	4/30/85
Method imparting anti-static, anti-reflective properties to ophthalmic lenses [overcoating plastic with dispersion of colloidal silica in polysiloxane and exposure to vacuum glow discharge]	4478873	10/23/84
Apparatus for testing lenses by determining best focus	4435079	3/6/84
Finished ophthalmic lens comprising an optical smooth coating over a rough-surfaced base [silica dispersed in a siloxane]	4417790	11/29/83
Lens tape cutter	4414872	11/15/83
Velocity profile analyzer	4341995	7/27/82