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02-15-2001



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To the Honorable Commissioner of Pat

101615125

hed original documents or copy thereof.

1. Name of conveying party(ies):	2. Name and a	address of receiving party(ies):
Swaminathan RAMESH	Name:	BASE Corporation .
	Internal Address:	Patent Department
2.7.01	Street Address:	26701 Telegraph Road
0 /		Southfield, Michigan 48034-2442
Additional numbers attached? X Yes No		
3. Nature of conveyance:		
X Assignment Merger		
Security Agreement Change of Name		
Other		
Execution Date: 11/5/2001; 01-11-01; 01.12.01	Additional numbe	ers attached? Yes X No
4. Application number(s) or patent number(s):		
If this document is being filed together with a new applicatio		of the application is:
A. Patent Application No.(s) Attorney's Docket No. IN-5300	B. Patent No.(s)	
Application No.: 09/747,475		
, , ,	Additional numbers	attached? Yes X No
5. Name and address of Party to whom	5a. Name and	address of Party to whom
correspondence concerning document		ence concerning document
should be mailed:	should be m	
Name: Mary E. Golota	Name:	Anne Gerry Sabourin
Internal Address: BASF Corporation	Internal Address:	BASF Corporation
Internal Address: BASF Corporation Street Address: 26701 Telegraph Road	Internal Address: Street Address:	BASF Corporation 26701 Telegraph Road
Internal Address: BASF Corporation	Internal Address: Street Address:	BASF Corporation
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Internal Address: BASF Corporation Street Address: 26701 Telegraph Road City: Southfield State: Michigan Zip: 48034-2442  6. Total number of applications and patents is 7. Total fee (37 CFR 3.41):\$40.00 Enclosed	Internal Address: Street Address: City: Southfield s	BASF Corporation 26701 Telegraph Road State: Michigan Zip: 48034-2442
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Internal Address: BASF Corporation Street Address: 26701 Telegraph Road City: Southfield State: Michigan Zip: 48034-2442  6. Total number of applications and patents in the company of th	Internal Address: Street Address: City: Southfield S  nvolved: One  8. Deposit accompaying by deposit accompanies and deposit accompanies	BASF Corporation 26701 Telegraph Road State: Michigan Zip: 48034-2442  count number: 23-3425   count)
Internal Address: BASF Corporation Street Address: 26701 Telegraph Road City: Southfield State: Michigan Zip: 48034-2442  6. Total number of applications and patents in the composition of the composition	Internal Address: Street Address: City: Southfield S  nvolved: One  8. Deposit accompaying by deposit accompanies and deposit accompanies	BASF Corporation 26701 Telegraph Road State: Michigan Zip: 48034-2442  Count number: 23-3425  Count ount)  Correct and any attached copy is a true  1 hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as First Class Mail addressed to
Internal Address: BASF Corporation Street Address: 26701 Telegraph Road City: Southfield State: Michigan Zip: 48034-2442  6. Total number of applications and patents in the composition of the composition	Internal Address: Street Address: City: Southfield S  nvolved: One  8. Deposit accompaying by deposit accompanies and deposit accompanies	BASF Corporation 26701 Telegraph Road State: Michigan Zip: 48034-2442  count number: 23-3425  count)  correct and any attached copy is a true  1 hereby certify that the attached correspondence is being deposited with the United States Postal Service in an
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Internal Address: BASF Corporation Street Address: 26701 Telegraph Road City: Southfield State: Michigan Zip: 48034-2442  6. Total number of applications and patents in the composition of the composition	Internal Address: Street Address: City: Southfield S  nvolved: One  8. Deposit accompaying by deposit accompaying by deposit accompaying by the second secon	BASF Corporation 26701 Telegraph Road State: Michigan Zip: 48034-2442  Count number: 23-3425  Count number: 23-342

PATENT

**REEL: 011508 FRAME: 0161** 

## SECOND PAGE OF RECORDATION FORM COVER SHEET Patent only

Additional Name(s) of Conveying Party(ies)

- 2. Paul LESSEK
- 3. Wolfgang BREMSER

## **ASSIGNMENT**

WHEREAS, I (WE), Swaminathan RAMESH, Paul LESSEK, and Wolfgang BREMSER residing at 47417 Stratford Drive, Canton, Michigan 48187, United States of America; 47374-317 Street, Elk Point, South Dakota 57025, United States of America; Am Brook 10, D-48165 Münster, Federal Republic of Germany (declarant(s)) declare that I (WE) have invented certain improvements in: Water-Based Coating Composition Having Carbonate-Amine Cross-Linking, Method of Preparing the Same, and a Cured Film Thereof hereinafter the invention or inventions, described in U.S. Patent Application Serial No. 09/747,475 filed on December 22, 2000 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer

Docket: IN-5300

and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Title: Water-Based Coating Composition Having Carbonate-Amine Cross-Linking, Method of Preparing the Same, and a Cured Film Thereof

Docket: IN-5300

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated:	Signed: Typed Name: Swaminathan RAMESH
State of MICHIGAN ) ss.	
County of OAKLAND )	

On this 5th day of January, 2001 before me personally appeared the foregoing individuals, who executed the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purpose therein set forth.

Motary Public, (seal)

Wayne County, Michigan Acting in Oakland

County, State of Michigan

My Commission Expires: April 8, 2001

Title: <u>Water-Based Coating Composition Having Carbonate-Amine Cross-</u> <u>Linking, Method of Preparing the Same, and a Cured Film Thereof</u>

Docket: IN-5300

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 01-11-01	Signed: Poul Lessel
Marilyn J. Luttmann	Typed Name: Paul LESSEK
Witnessed	Witnessed:
Name Marilyn J Puttmann	Name Max Puttmann
Address 312 Rutland	Address 313 Autland
IA 51028	IA + 51028

Title: Water-Based Coating Composition Having Carbonate-Amine Cross-Linking, Method of Preparing the Same, and a Cured Film Thereof

Docket: IN-5300

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: <u>01. 11. 01</u>	Signed: Signed: Wolfgang BREMSER
Name At Chunn Strickmunn Address Sinstr 25	Witnessed: Marserie fills  Name Mayin Cent  BASF Corporation  Address

PATENT RECORDED: 02/07/2001 REEL: 011508 FRAME: 0167