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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Douglas Duane Coolbaugh
2. Mark D. Dupuis, 3. Matthew D. Gallagher
4. Peter J. Geiss, 5. Brett A. Philips

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 01/24/01, 01/24/01, 01/25/01,
01/24/01, 01/24/01

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

City: Armonk State/Prov.: New York

Country: U.S.A. ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

091769640

If this document is being filed together with a new application, the execution date of the application is: 1/24/01, 1/24/01,
1/25/01, 1/24/01, 1/14/01

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. Catania

Registration No. 32,608

Address: Scully, Scott, Murphy & Presser

400 Garden City Plaza

(516)742-4343

City: Garden City State/Prov.: New York

Country: U.S.A. ZIP: 11530

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0456/IBM

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William D. Sabo

Name of Person Signing

Signature

JAN. 25, 2001

Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT
REEL: 011509 FRAME: 0093

ASSIGNMENT**Whereas, we**

Inventor and City	(1) Douglas Duane Coolbaugh County of Chittenden	City of Essex Junction and State of Vermont
and	(2) Mark D. Dupuis County of Chittenden	City of South Burlington and State of Vermont
and	(3) Matthew D. Gallager County of Chittenden	City of Burlington and State of Vermont
and	(4) Peter J. Geiss County of Chittenden	City of Underhill and State of Vermont
and	(5) Brett A. Philips County of Chittenden	City of Fairfax and State of Vermont

have invented certain improvements in

Title **STI PULL-DOWN TO CONTROL SiGe FACET GROWTH**

Dates That Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on

(1) <i>Douglas</i> 1/24/01	2001, and (2) 1/24/01	2001, and
(3) 1/25/01	2001, and (4) 1/24/01	2001, and
(5) 1/24/01	2001.	

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at *Essex Junction, VT*
Date on *1/24/01*

Douglas D. Coolbaugh signature
(Douglas D. Coolbaugh) inventor
First Name Middle Initial Last Name

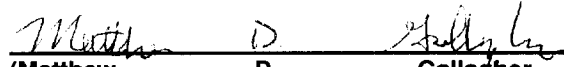
City (2) Signed at *Essex Jct, VT*
Date on *1/24/01*

Mark D. Dupuis signature
(Mark D. Dupuis) inventor
First Name Middle Initial Last Name

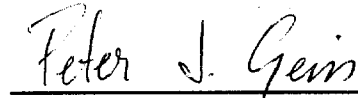
ASSIGNMENT (Continued)

TITLE: STI PULL-DOWN TO CONTROL SiGe FACET GROWTH

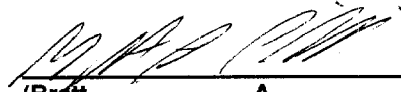
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Date on 1/25/01


(Matthew D. Gallagher) signature
First Name Middle Initial Last Name) inventor

City (4) Signed at Essex Jct VT
Date on 1/24/01


(Peter J. Geiss) signature
First Name Middle Initial Last Name) inventor

City (5) Signed at Essex Jct VT
Date on 1/24/01


(Brett A. Philips) signature
First Name Middle Initial Last Name) inventor