### ASSI(

# 04-26-2001

R SHEET

FEB

To: Honorable Commission

101671555

Please record the attached original document or copy the rest. E

2.1.01.

Name of conveying parties:

- Eric Cheng a)
- b) Yafu Ding
- c) Chang-Tying Wu
- 2. Name and address of receiving party:
  - Name:

Adaptec, Inc.

Address:

691 S. Milpitas Blvd., Milpitas, CA 95035

3. Nature of conveyance

Assignment  $\mathbf{X}$ 

Merger

Security Agreement Other

Change of Name

Execution Dates: January 24, 2001, respectively.

4. Application Number or Patent Number: 09/768957

The title of the new application is: INTELLIGENT LOAD BALANCING FOR A MULTI-PATH STORAGE SŶSTEM

Please send all correspondence concerning this document to: 5.

> Joe A. Brock II MARTINE PENILLA & KIM, LLP 710 Lakeway Drive, Suite 170 Sunnyvale, ČA 94085 Tel. No.: (408) 749-6900

Fax No.: (408) 749-6901

- Total number of applications and patents involved: 1 6.
- Total fee (37 CFR 3.41): \$40.00 7.

Enclosed

If there is any additional fee, the Examiner is authorized to charge to Deposit Account No. 50-0805 (Order No. ADAPP174).

To the best of my knowledge and belief, the foregoing information is true and 8. correct and any attached copy is a true copy of the original document.

Date: January 26, 2001

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02/12/2001 GTOW11

00000232 JOE A.BROCK II

Joe A. Brock II, Esq. Registration No. 46,021

**PATENT** 

REEL: 011510 FRAME: 0099

## ASSIGNMENT OF PATENT APPLICATION

(Accompanying Application)

Whereas we the undersigned inventors have invented certain new and useful improvements as set forth in the patent application entitled:

#### INTELLIGENT LOAD BALANCING FOR A MULTI-PATH STORAGE SYSTEM

(Atty. Docket No: ADAPP174) for which we have executed an application for a United States Letters Patent.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, We the undersigned inventors hereby:

- Sell, assign and transfer to <u>Adaptec, Inc.</u>, a Delaware corporation having a place of business at 691 South Milpitas Boulevard, Milpitas, Ca. 95035, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventors, as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the dates indicated beside our signatures.

1)	Signature: Typed Name:	200		Date:	1-24-01
		Eric Cheng	- 1		

2) Signature: John Date: 1-24-0/

3) Signature: Charg-Tying Wu Date: 1-24-0/

Attorney Docket No. ADAPP174

PATENT REEL: 011510 FRAME: 0100

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of
Cheng et al.

Examiner: Unassigned

Application No: Unassigned

Art Unit: Unassigned

Filed: January 23, 2001

Date: January 26, 2001

For: INTELLIGENT LOAD BALANCING FOR

A MULTI-PATH STORAGE SYSTEM

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on January 26, 2001.

Signed:

Kay Harlow

#### **COMMUNICATION TO USPTO**

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant hereby submits the original executed Assignment document for the abovenamed patent application to complete the filing of this case.

The check in the amount of \$40.00 for the recordation fee is enclosed. Applicant believes that no further fees are due; however, the Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0805 (Order No. <u>ADAPP174</u>).

Respectfully submitted,

MARTINE CENILLA & KIM, LLP

Joe A. Brock II, Esq. Reg. No. 46,021

710 Lakeway Drive, Suite 170 Sunnyvale, CA 94085 (408) 749-6900

RECORDED: 02/01/2001

Customer No. 25920

PATENT REEL: 011510 FRAME: 0101