02-16-2001 FORM PTO-1619A Expires 06/30/99 U.S. Department of Commerce Patent and Trademark Office OMB 0651-0027 **PATENT** 101615559 2.9.01 RECORDATION FORM COVER SHEET PATENTS ONLY TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(les) Submission Type Conveyance Type **Assignment** Х **Security Agreement** New Resubmission (Non-Recordation) License Change of Name Document ID# Correction of PTO Error Other Merger Reel# Frame # U.S. Government **Corrective Document** (For Use ONLY by U.S. Government Agencies) Departmental File Reel# Frame # Secret File Mark if additional names of conveying parties attached Execution Date

Month Day Year Conveying Party(ies) Name (line 1) Ian Johnson Hirst 10081999 Name (line 2) Execution Date Month Day Second Party Name (line 1) Name (line 2) Mark if additional names of receiving parties attached **Receiving Party** If document to be recorded is an assignment and the Alcate1 Name (line 1) receiving party is not domiciled in the United States, an appointment Name (line 2) of a domestic representative is attached. (Designation must be a Address (line 1) 54 rue La Boetie separate document from Assignment) Address (line 2) 75008 France Address (line 3) State/Country **Domestic Representative Name and Address** Enter for the first Receiving Party only. Name Address (line 1) Address (line 2) Address (line 3) Address (line 4)

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief information Officer, Washington, p.C. 20503. See OMB D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, p.C. 20503. See OMB D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS. Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice.

FOR OFFICE USE ONLY

0000006 09202250

40.00 OP

02/16/2001 DBYRNE

01 FC:581

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

FORM PTC Expires 06/30/99 OMB 0651-0027	D-1619B	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT				
Correspond	ent Name and Address	Area Code and Telephone Number	203-261-1234				
Name	K. Bradford Adol	phson					
Address (line 1)	Ware, Fressola,	Van der Sluys & Adolphson LLP					
Address (line 2)	Bradford Green,	Building Five					
Address (line 3)	755 Main Street,	P.O. Box 224					
Address (line 4)	Monroe, CT 0646	8					
Pages Enter the total number of pages of the attached conveyance document including any attachments.							
	Number(s) or Patent Nur	Mark ii addi	tional numbers attached				
	e Patent Application Number or the I ent Application Number(s)	Patent Number (DO NOT ENTER BOTH numbers for	· · · · · ·				
09202250		Patent No	Imber(s)				
If this document is being filed together with a <u>new</u> Patent Application, enter the date the patent application was <u>Month Day Year</u> signed by the first named executing inventor.							
_	eration Treaty (PCT)	PCT PCT	РСТ				
<u>o</u> nly	r PCT application number <u>if</u> a U.S. Application Number not been assigned.	PCT PCT	PCT				
Number of Properties Enter the total number of properties involved. # 1							
Fee Amount	Fee Amount	for Properties Listed (37 CFR 3.41): \$	40.00				
	of Payment: Encl	osed X Deposit Account					
Deposit (Enter for	Account Credit Card P payment by deposit account or if add	ur	23-0442				
			es X No				
Statement a	nd Signature						
To the	best of my knowledge and be	elief, the foregoing information is true and	correct and any				
To the best of my knowledge and belief, the foregoing information is a count are authorized, as attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.							
	RFrederick	James Ktredenh	Jeb 6,2001				
Name	e of Person Signing	Signature	Date				
Attorney	Docket No. 938-012	\cup					

PATENT REEL: 011512 FRAME: 0068

ASSIGNMENT AND AGREEMENT

FOR VALUE RECEIVED, I, IAN JOHNSON HIRST, a citizen of the United Kingdom and residing at 7A Lucerne Road, Orpington, Kent BR6 OEP, United Kingdom, hereby sell, assign and transfer to Alcatel, a corporation duly organized and existing under the laws of France and having a principal place of business at 54 rue La Boétie, 75008 Paris, France, as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to improvements in FIBRE OPTIC TRANSMISSION WITH FIBRE AMPLIFIERS AND SUPERVISORY SIGNALS which is described in an application for Letters Patent of the United States, Application Serial No. 09/202,250 filed December 10, 1998, and all the rights and privileges under any and all Letters Patent that may be granted therefor.

I request that any and all patents for said invention be issued to said assignee, its successors, assigns and legal representatives or to such nominees as it may designate.

I agree that, when requested, I will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto

PATENT REEL: 011512 FRAME: 0069 in said assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file a like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

I covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein

conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Sign	ned at	GREENWICH	<u>f</u>	this _	10 Ut
day of _	Augu	ST		1999.	
			fec n	1	Hirst
			AN JOHNSO	N HTRS	T

Signed in our presence and acknowledged to be an assignment of the application (invention) herein above referred to

Witness:	Ein. alger	Date: 10/8/90	

WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON LLP

PATENT, TRADEMARK, COPYRIGHT AND COMPUTER LAW COUNSEL

BRADFORD GREEN, BUILDING FIVE

755 MAIN STREET

P. O. BOX 224

MONROE, CONNECTICUT 06468

TELEPHONE: (203) 261-1234

FACSIMILE: (203) 261-5676

E MAIL: mail@wfva.net

February 6, 2001

PETER C. VAN DER SLUYS (1939-1991)

KENNETH Q. LAO, Ph.D. PATENT AGENT

*MA AND NY BAR

ROBERT H. WARE

ALFRED A. FRESSOLA

WILLIAM J. BARBER

MILTON M. OLIVER* JACK M. PASQUALE

THADDIUS J. CARVIS JAMES A. RETTER, Ph.D. ANDREW T. HYMAN

JAMES R. FREDERICK

K. BRADFORD ADOLPHSON

FRANCIS J. MAGUIRE, JR.

U.S. Patent and Trademark Office Assignment Division, Box ASSIGNMENTS CG-4, 1213 Jefferson Davis Hwy. Suite 320 Washington, DC 20231

U.S. Patent Application SN 09/202,250 for

FIBER OPTIC TRANSMISSION WITH FIBER AMPLIFIERS

AND SUPERVISORY SIGNALS (AS AMENDED)

Filed: September 7, 1999 Inventor: Ian J. Hirst Assignee: Alcatel Our File No. 938-012

Dear Sir or Madam:

Enclosed herewith are an original Assignment with Recordation Form Cover Sheet (PTO-1619) and check in the amount of \$40.00 for the recording fee. Kindly record the Assignment and return the original, recorded document to us.

You are authorized to charge any fee deficiency to our Deposit Account No. 23-0442.

Respectfully submitted,

James R. Frederick Registration No. 25,865

Attorney for Applicant

jg Enclosures

I hereby certify that this paper (along with any paper referred to as being enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington,

inct Gaffrey 2/6/01

PATENT REEL: 011512 FRAME: 0072

RECORDED: 02/09/2001