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02-20-2001

ocket No.: TWO080/1

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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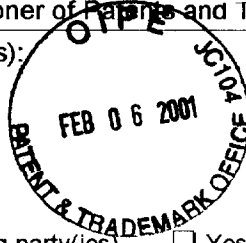


101617190

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Clarisay, Inc.

2-6-01



Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **2M Technology Ventures, L.P.**

Internal Address: _____

Street Address: **3401 Armstrong Avenue**

City: **Dallas** State: **TX** ZIP: **75205**

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **January 2, 2001**

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/695,672 09/297,357

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Barry Bumgardner**

Internal Address: **Vinson & Elkins L.L.P.**

Street Address: **2002 Ross Avenue, Suite 3700**

City: **Dallas** State: **TX** ZIP: **75201**

6. Total number of applications and patents involved: **2**

7. Total fee (37 CFR 3.41):\$ **80.00**

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

22-0365

02/16/2001 DBYRNE 00000084 09695672

DO NOT USE THIS SPACE

01 FC:581
9. Statement and signature.

80.00 OP

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry Bumgardner

Name of Person Signing

Signature

February **2**, 2001

Date

Total number of pages including cover sheet, attachments, and document: **13**

PATENT
REEL: 011512 FRAME: 0789

Recordation Form Cover Sheet - Patents Only (Cont'd.)

2. Additional receiving party(ies):

Name: Goff Moore Strategic Partners, L.P.
Address: 777 Main Street, Suite 2250
Fort Worth, TX 76102

Name: Technology Innovators Fund
Address: 125 South Market, Suite 1200
San Jose, CA 95113

**ASSIGNMENT OF SECURITY INTEREST IN
UNITED STATES TRADEMARKS AND PATENTS**

FOR GOOD AND VALUABLE CONSIDERATION, receipt and sufficiency of which are hereby acknowledged, Clarisay, Inc., a Delaware corporation (the "Assignor") with principal offices at 2626 Howell Street, Suite 840, Dallas, Texas 75204, hereby assigns and grants to each of 2M Technology Ventures, L.P., a Texas limited partnership, with principal offices at 3401 Armstrong Avenue, Dallas, Texas 75205, Goff Moore Strategic Partners, L.P., Texas limited partnership, with principal offices at 777 Main Street, Suite 2250, Fort Worth, Texas 76102, and Technology Innovators Fund, a series of Firsthand Funds, with its principal offices at 125 South Market, Suite 1200, San Jose, California 95113 (the "Assignees"), a security interest in (i) all of the Assignor's right, title and interest in and to the United States trademarks, trademark registrations and trademark applications (the "Marks") set forth on Schedule A attached hereto, (ii) all of the Assignor's right, title and interest in and to the United States patents and patent applications (the "Patents") set forth on Schedule B attached hereto, in each case together with (iii) all products bearing the Marks and covered by the Patents, (iv) the goodwill of the businesses symbolized by the Marks and (v) all causes of action arising prior to or after the date hereof for infringement of any of the Marks and Patents or unfair competition regarding the same.

THIS ASSIGNMENT is made to secure the full and prompt performance and payment of all of Assignor's obligations under the Notes (as such term is defined in the Amended and Restated Note and Warrant Purchase Agreement between the Assignor and the Assignees, dated as of December 29, 2000 (the "Agreement")). Upon the full and complete satisfaction by Assignor of all of its obligations under the Notes, the Assignees shall, upon such satisfaction, execute, acknowledge, and deliver to the Assignor an instrument in writing releasing the security interest in the Marks and Patents acquired under this Assignment.

This Assignment has been granted in conjunction with the security interest granted to the Assignees under the Agreement. The rights and remedies of the Assignees with respect to the security interest granted herein are without prejudice to, and are in addition to those set forth in the Agreement, all terms and provisions of which are incorporated herein by reference. In the event that any provisions of this Assignment are deemed to conflict with the Agreement, the provisions of the Agreement shall govern.

IN WITNESS WHEREOF, the undersigned have executed this Assignment of Security Interest effective as of the 2nd day of January, 2001.

ASSIGNOR:

CLARISAY, INC.

By: Dave Hinson
Name: DAVE HINSON
Title: CEO

ASSIGNEES:

2M TECHNOLOGY VENTURES, L.P.

By: 2M Technology Group, LC,
its general partner

By: _____
Name: _____
Title: _____

GOFF MOORE STRATEGIC PARTNERS, L.P.

By: GMSP Operating Partners, L.P.

By: GMSP, L.L.C.

By: _____
Name: _____
Title: _____

FIRSTHAND FUNDS
on behalf of Technology Innovators Fund

By: _____
Name: _____
Title: _____

STATE OF TEXAS

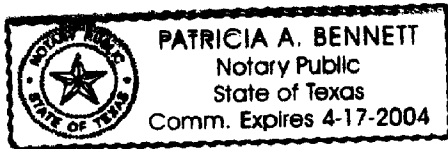
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COUNTY OF DALLAS

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On this ____ day of January, 2001, before me personally came David L. Hinshaw who being duly sworn, did state that he is the President and Chief Executive Officer of Clarisay, Inc., and that he is authorized to execute the foregoing Assignment of Security Interest on behalf of Clarisay, Inc.



Patricia A. Bennett
Notary Public in and for the State of _____
My Commission Expires: 4-17-04

IN WITNESS WHEREOF, the undersigned have executed this Assignment of Security Interest effective as of the 2nd day of January, 2001.

ASSIGNOR:

CLARISAY, INC.

By _____
Name: _____
Title: _____

ASSIGNEES:

2M TECHNOLOGY VENTURES, L.P.

By: 2M Technology Group, LC,
its general partner

By:  _____
Name: **STEVEN D. LEEKE**
Title: **Authorized Representative**

GOFF MOORE STRATEGIC PARTNERS, L.P.

By: GMSP Operating Partners, L.P.

By: GMSP, L.L.C.

By: _____
Name: _____
Title: _____

FIRSTHAND FUNDS

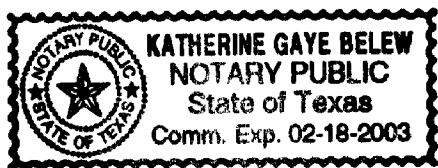
on behalf of Technology Innovators Fund

By: _____
Name: _____
Title: _____

STATE OF TEXAS
COUNTY OF DALLAS

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On this 3rd day of January, 2001, before me personally came Steven D. Leeke who being duly sworn, did state that he is an authorized representative of 2M Technology Group, LC, which is the sole general partner of 2M Technology Ventures, L.P., and that he is authorized to execute the foregoing Assignment of Security Interest on behalf of said 2M Technology Ventures, L.P.



Katherine Gaye Belew
Notary Public in and for the State of TEXAS
My Commission Expires: 2/18/2003

STATE OF TEXAS
COUNTY OF TARRANT

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On this ____ day of January, 2001, before me personally came J. Randall Chappel who being duly sworn, did state that he is a principal of GMSP, L.L.C., which is authorized to act on behalf of Goff Moore Strategic Partners, L.P., and that he is authorized to execute the foregoing Assignment of Security Interest on behalf of said Goff Moore Strategic Partners, L.P.

Notary Public in and for the State of _____
My Commission Expires: _____

IN WITNESS WHEREOF, the undersigned have executed this Assignment of Security Interest effective as of the 2nd day of January, 2001.

ASSIGNOR:

CLARISAY, INC.

By: _____
Name: _____
Title: _____

ASSIGNEES:

2M TECHNOLOGY VENTURES, L.P.


By: 2M Technology Group, LC,
its general partner

By: _____
Name: _____
Title: _____

GOFF MOORE STRATEGIC PARTNERS, L.P.

By: GMSP Operating Partners, L.P.

By: GMSP, L.L.C.

By:  _____
Name: **J. Randall Chappel**
Title: **Principal**

FIRSTHAND FUNDS

on behalf of Technology Innovators Fund

By: _____
Name: _____
Title: _____

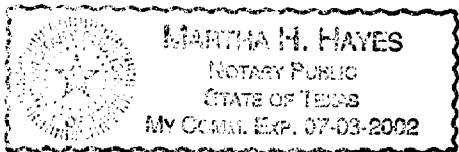
STATE OF TEXAS §
 §
COUNTY OF DALLAS §

On this ____ day of January, 2001, before me personally came Steven D. Leeke who being duly sworn, did state that he is an authorized representative of 2M Technology Group, LC, which is the sole general partner of 2M Technology Ventures, L.P., and that he is authorized to execute the foregoing Assignment of Security Interest on behalf of said 2M Technology Ventures, L.P.

Notary Public in and for the State of _____
My Commission Expires: _____

STATE OF TEXAS §
 §
COUNTY OF TARRANT §

On this ____ day of January, 2001, before me personally came J. Randall Chappel who being duly sworn, did state that he is a principal of GMSP, L.L.C., which is authorized to act on behalf of Goff Moore Strategic Partners, L.P., and that he is authorized to execute the foregoing Assignment of Security Interest on behalf of said Goff Moore Strategic Partners, L.P.



Martha H. Hayes
Notary Public in and for the State of TEXAS
My Commission Expires: 7-3-02

IN WITNESS WHEREOF, the undersigned have executed this Assignment of Security Interest effective as of the 2nd day of January, 2001.

ASSIGNOR:

CLARISAY, INC.

By: _____
Name: _____
Title: _____

ASSIGNEES:

2M TECHNOLOGY VENTURES, L.P.

By: 2M Technology Group, LC,
its general partner

By: _____
Name: _____
Title: _____

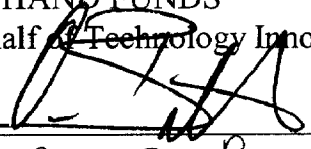
GOFF MOORE STRATEGIC PARTNERS, L.P.

By: GMSP Operating Partners, L.P.

By: GMSP, L.L.C.

By: _____
Name: _____
Title: _____

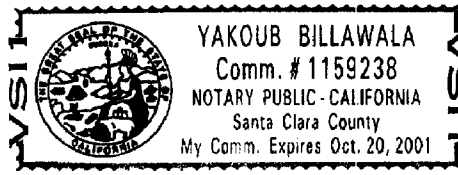
FIRSTHAND FUNDS
on behalf of Technology Innovators Fund

By:  _____
Name: OMAR BILLIANJALA
Title: SECRETARY

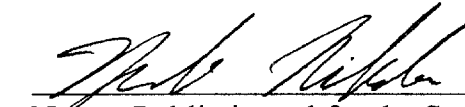
STATE OF CALIFORNIA

COUNTY OF Santa Clara

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On this 8TH day of January, 2001, before me personally came OMAR BILLAWALA who being duly sworn, did state that ~~he~~ she is SECRETARY of Technology Innovators Fund, a series of Firsthand Funds, that he/she is authorized to execute the foregoing Assignment of Security Interest on behalf of said Technology Innovators Fund.


Notary Public in and for the State of CA
My Commission Expires: OCT. 20, 2001

SCHEDULE A

U.S. Trademark Registrations and Trademark Applications

None.

SCHEDULE B

U.S. Patents and Patent Applications

<u>Patent</u>	<u>Serial No.</u>	<u>Filing Date</u>
SURFACE ACOUSTIC WAVE FILTER HAVING DIMINISHED BANDWIDTH AND METHOD OF OPERATING THE SAME	Serial No. 09/695,672	Patent application filed 10/24/2000
SURFACE ACOUSTIC WAVE FILTERS	Serial No. 09/297,357	Patent application filed 05/14/99

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