

02-20-2001

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



U.S. Department of Commerce
Patent and Trademark Office
PATENT

101615655

A.7.01

RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error
Reel # Frame #

Corrective Document
Reel # Frame #

Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Second Party

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

02/20/2001 DDYRME 00000013 US0034015
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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011512 FRAME: 0976

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

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Pages

Enter the total number of pages of the attached conveyance document including any attachments. #

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month	Day	Year
<input type="text"/>	<input type="text"/>	<input type="text"/>

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text" value="US00/34015"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

Number of Properties

Enter the total number of properties involved. #

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Pollick, Philip J.

Name of Person Signing


Signature

05 February 2001
Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

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ASSIGNMENT

D473.12PCT

Richard N. Christensen, Jiming Cao, and E. Thomas Henkel
to
The Ohio State University

WHEREAS we, Richard N. Christensen and Jiming Cao, of Columbus, in the County of Franklin and State of Ohio and E. Thomas Henkel of Chapel Hill in the County of Orange and State of North Carolina have made certain inventions on a HEAT ENGINE for which we have made application for Letters Patent of the United States having application number PCT/US00/34015 and filed on December 15, 2000 based on and claiming the benefit of United States provisional applications 60/172,188 filed December 17, 1999 and 60/243,164 filed October 25, 2000; and

WHEREAS, The Ohio State University a corporation of Ohio, having a place of business at 1960 Kenny Road, Columbus, Ohio 43210-1063, herein called "ASSIGNEE", is desirous of acquiring the entire right, title, and interest in said invention and application therefor, and in any patent or patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that, for good and valuable considerations, the receipt of which is hereby acknowledged, I have sold, assigned, and transferred, and by these presents do sell, assign, and transfer unto the said Assignee, the entire right, title, and interest in and to the invention shown, described, or claimed therein, and the full and exclusive right and title to the said application executed by me as indicated below preparatory to obtaining Letters Patent of the United States therefor; and in and to any extensions, renewals, divisions, or renewals thereof; and I do hereby authorize and request the Commissioner of Patents or other proper Officer, to issue such Letters Patent, or any of them, to the said Assignee, as the assignee of my entire right, title, and interest in and to the same for the sole use and behalf of the said Assignee, and its successors or assigns.

And I further covenant and agree that I will, at the request and expense of the said Assignee, execute such other and further assurances of title as may be necessary or proper to fully convey the interest herein sought to be conveyed; that I will, at the request and expense of the said Assignee, execute such application papers as may be desired by the said Assignee for the filing of any division or renewal of the application herein conveyed, or for renewals or extensions of any Letters patent that may be granted upon said application, and will execute such Supplemental Oaths and Preliminary Statements, or other papers as desired by the said Assignee.

And I further assign unto the said Assignee the whole right, title, and interest to the invention disclosed in the application throughout all countries foreign to the United States, and do hereby authorize the said Assignee to apply for the patents therefor in its own name in countries where such procedure is proper and to claim the benefit of the International Convention and do agree to execute applications for the said invention in the several countries where it is necessary that the same be executed by the inventor, and to execute assignments of such applications and the patents to be obtained therefor to the said assignee, as well as all other necessary papers.

I acknowledge that the execution of this assignment is my voluntary act for the uses and purposes herein set forth.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon.

Richard N. Christensen
Richard N. Christensen

Jan 20, 2001
Date

Jiming Cao
Jiming Cao

January 08, 2001
Date

E. Thomas Henkel
E. Thomas Henkel

December 26, 2000
Date