

02-20-2001



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101614559

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Allen J. Otis

2. Name and address of receiving party(ies):

Additional name(s) of conveying party(ies) attached ☐ yes ☒ no

2-5-01

Name: Gemstone Systems, Inc.

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other (describe):

Business Address:

20575 NW Von Neumann Drive
Beaverton, Oregon 97006Additional name(s) & address(es) attached ☐ Yes ☒ No

Execution Date: January 31, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/681,140

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Mark M. Meininger
IPSOLON LLP
4370 NE Halsey Street
Portland Oregon 97213

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Any deficiencies are authorized to be charged to deposit

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Mark M. Meininger

Registration No. 32,428

Date: February 2, 2001

Total number of pages including cover sheet: 2

PATENT
REEL: 011514 FRAME: 0131

A S S I G N M E N T

WHEREAS, I, ALLEN J. OTIS, of Beaverton, the State of OREGON, (hereinafter referred to as ASSIGNOR) am an inventor of an invention entitled Generational Garbage Collector with Persistent Object Cache, as described and claimed in the specification forming part of an application for United States letters patent filed January 23, 2001 as application no. 09/681,140; and

WHEREAS, GEMSTONE SYSTEMS, INC., a corporation organized under the laws of the State of Oregon, having a place of business at 20575 NW von Neumann Drive, Beaverton, OR 97006, (hereinafter referred to as ASSIGNEE) is desirous of acquiring an interest therein:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR, hereby sells, assigns and transfers unto said ASSIGNEE, the entire right, title and interest in and to said invention as of the time it was made, nunc pro tunc, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, transfer and sale not been made. ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNOR agrees to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

Dated: 31 Jan, 2001

Allen J. Otis
Allen J. Otis