

02-20-2001



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Docket: CU-2385 RJS

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**PATENT**  
**REEL: 011514 FRAME: 0244**

**Correspondent Name and Address**

Area Code and Telephone Number (312) 427-1300

Name Richard J. Streit

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Address (line 3) Chicago, Illinois 60604

Address (line 4)

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# 3

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09700328

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
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PCT

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**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Richard J. Streit

Name of Person Signing

Reg. 25765

Signature

February 1, 2001

Date

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**ASSIGNMENT OF APPLICATION**

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WHEREAS:

**John CANNING**

~~1/16 Warialda Road, Kogarah NSW 2217 Australia~~  
*10 Francis St Carlton Sydney NSW Australia* (C)

(hereinafter referred to as ASSIGNOR), have invented a certain invention entitled: **ABSORBING LAYER FOR MINIMISING SUBSTRATE EXPOSURE DURING THE UV WRITING OF A WAVEGUIDE GRATING IN ADDITION TO A BIREFRINGENT CONTROL SYSTEM** for which application for Letters Patent of the United States has been designated under the Patent Cooperation Treaty, effective 04 June 1999, International Application No. PCT/AU99/00436, filed 04 June 1999,

WHEREAS:

**THE UNIVERSITY OF SYDNEY**

Parramatta Road  
Sydney NSW 2006 Australia

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention in the United States and the Letters Patent to be obtained therefore;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and the Letters Patent to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided