



02-21-2001

ASSI



101617804

ER SHEET

2-12-01

Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)

- a) James F. Arnold
- b) Loren L. Voss

2. Name and address of receiving party(ies):

- a) Name: SRI International, Inc.
Address: 333 Ravenswood Drive
Menlo Park, CA 94025-3493

3. Nature of conveyance

- | | |
|--|--|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other _____ | <input type="checkbox"/> License Agreement |

Execution Date: January 29, 2001 and January 20, 2001

4. Application Number(s) or Patent Number(s): 09/613,237

The title of the (new) application is:

**SYSTEM AND METHOD FOR INCORPORATING CONCEPT-BASED RETRIEVAL WITHIN
BOOLEAN SEARCH ENGINES**

5. Please send all correspondence concerning this (these) documents to:

CARLTON FIELDS, Inc.
P.O. Box 721030
San Jose, CA 95172-1030
Tel. No.: (408) 271-2300

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
- ☒ Authorized to be charged to Deposit Account No. 03-0683
(Order No. 44454/02745/SRI1P040)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 2/07/01


Kevin J. Zilka
Registration No. 41,429

Attorney Docket No. SRI1P040/44454/02745

(Revised 01/96)

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, we, the undersigned inventors (hereinafter referred to as "Assignors"), have invented certain new and useful improvements as described and set forth in the patent application entitled:

SYSTEM AND METHOD FOR INCORPORATING CONCEPT-BASED RETRIEVAL WITHIN BOOLEAN SEARCH ENGINES

for which we have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on July 10, 2000, and which bears the Application No. 09/613,237.

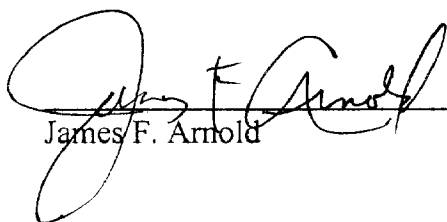
WHEREAS, SRI International, a California non-profit corporation having its principal place of business at 333 Ravenswood Avenue, Menlo Park, California 94025 (hereinafter referred to as "Assignee"), is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any such Letters Patent, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said Assignee.

Assignors further agree that Assignors will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

Assignors further warrant and represent that they have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

IN TESTIMONY WHEREOF, Assignors have hereunto signed their name on the date indicated beside their signatures.


James F. Arnold

Date: 29 Jan 2001

Loren L. Voss

Date: _____

Docket No.: SRI1P040

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, we, the undersigned inventors (hereinafter referred to as "Assignors"), have invented certain new and useful improvements as described and set forth in the patent application entitled:

SYSTEM AND METHOD FOR INCORPORATING CONCEPT-BASED RETRIEVAL WITHIN BOOLEAN SEARCH ENGINES

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NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any such Letters Patent, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said Assignee.

Assignors further agree that Assignors will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

Assignors further warrant and represent that they have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

IN TESTIMONY WHEREOF, Assignors have hereunto signed their name on the date indicated beside their signatures.

James F. Arnold

Date: _____


Loren L. Voss

Date: 1-20-2001

Docket No.: SRIIP040

TPA#1659265.01

RECORDED: 02/12/2001

PATENT
REEL: 011519 FRAME: 0270