

02-22-2001



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FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

U.S. Department of Commerce
Patent and Trademark Office
PATENT

1-30-01

RECORDATION FORM COVER SHEET PATENTS ONLY

Case No. 9/186

JCB
U.S. PTO
09/774232
01/29/01

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- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
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Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
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- ☐ Departmental File ☐ Secret File

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- ☒ Mark if additional names of conveying parties attached
- Execution Date
Month Day Year
01/24/2001

Name (line 1) Saim, Said

Name (line 2)

Second Party

Name (line 1) Horhota, Stephen

Name (line 2)

Execution Date
Month Day Year
01/29/2001

09/774232

Receiving Party☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) Boehringer Ingelheim Pharmaceuticals, Inc.

Name (line 2) A Corporation of the State of Delaware

Address (line 1) 900 Ridgebury Road

Address (line 2) P. O. Box 368

Address (line 3) Ridgefield

City

CT/US

State/Country

06877

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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PATENT
REEL: 011520 FRAME: 0001

Correspondent Name and Address

Area Code and Telephone Number **203-798-9988**

Name **Robert P. Raymond**

Address (line 1) **Boehringer Ingelheim Corporation**

Address (line 2) **900 Ridgebury Road, P. O. Box 368**

Address (line 3) **Ridgefield, CT 06877**

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
01/24/2001

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Philip I. Datlow

Name of Person Signing



Signature

1/30/01

Date

RECORDATION FORM COVER SHEET

CONTINUATION
PATENTS ONLY

Case No. 9/186

Page 1 of 1

U.S. Department of Commerce
Patent and Trademark Office

PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Bochniak, David Joseph

Execution Date
Month Day Year

01/24/2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

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WORLDWIDE ASSIGNMENT

In consideration of one Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, and intending to be legally bound hereby, I (We), the undersigned,

Said SAIM,
a citizen of the United States, and resident of
5 Nature View Lane, New Milford, CT 06776

Stephen HORHOTA
a citizen of the United States, and resident of
13 Prospect Drive, Brookfield, CT 06804,

and

David Joseph BOCHNIAK,
a citizen of the United States, and resident of
56 Olcott Way, Ridgefield, CT 06877,

hereby sell, assign and transfer to, **BOEHRINGER INGELHEIM PHARMACEUTICALS, INC.**, a corporation of the State of Delaware, having a place of business at, **Ridgefield, Connecticut**, its successors, assigns and legal representatives, our entire right, title and interest in and to any and all inventions and improvements which are disclosed in the application for United States Letters Patent entitled:

**MATERIAL PROCESSING BY
REPEATED SOLVENT EXPANSION - CONTRACTION**

which was

☐ filed on , and accorded Serial No.

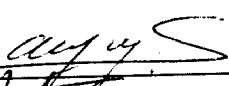

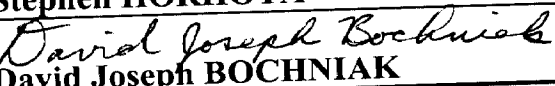
X executed by us on **January 24, 2001** and **January 29, 2001**

and in and to said application and any and all United States and foreign patent applications, including all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said inventions or improvements, and in and to all original patents, reissued patents, confirmation patents and patents of addition which have been or shall be issued on said inventions or improvements, and all continuations, extensions and/or renewals thereof, as well as the right to claim priority, under the Paris Convention For The Protection Of Industrial Property, from the above mentioned United States application, said Assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment not been made;

Agree that said Assignee may apply for Letters Patent, in any country of the world, for said inventions and improvements, in its own name, and authorize and request the United States Commissioner of Patents and Trademarks and any corresponding foreign officer to issue to said Assignee, its successors, assigns or legal representatives, in accordance with this Assignment, any and all United States and foreign Letters Patent on said inventions and improvements;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for all countries, execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on any and all said inventions and improvements, execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns and representatives, all facts known to the undersigned relating to said inventions and improvements, and the history thereof, testify in all legal proceedings and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable to aiding in securing and maintaining proper patent protection for said inventions and improvements, and for vesting title to said inventions and improvements and all applications for patents and all patents on said inventions and improvements, in said Assignee, its successors assigns and legal representatives; and,

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| SIGNATURE OF APPLICANT | DATE |
|---|---------------|
| Said SAIM  | Jan. 24, 2001 |
|  Stephen HORHOTA | 29 Jan 2001 |
|  David Joseph BOCHNIAK | 1/24/01 |