

FORM PTO-1595

1-31-92

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

Attorney Docket No. 10003995-1

1. Name of conveying party(ies):

Kit M. Cham
 Ronald Kaneshiro
 Myunghee Lee
 James J. Dudley
 Stefano G. Therisod
 Craig T. Cummings

2. Name and address of receiving party(ies):

AGILENT TECHNOLOGIES, INC.
 Legal Department, 51U-PD
 Intellectual Property Administration
 P.O. Box 58043
 Santa Clara, California 95052-8043

Additional name(s) of conveying party(ies) attached ☒ Yes ☐ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Change of Name☐ Security Agreement☐ Other

Execution Date(s): 3/16/01;3/16/01;3/23/01;3/23/01;3/20/01;3/23/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No.: 09/738,417 Date Filed: 12/15/2000

Additional numbers attached? **NO**

5. Name and address of party to whom correspondence concerning document should be mailed:

Records Manager
 AGILENT TECHNOLOGIES, INC.
 Intellectual Property Admin
 P.O. Box 58043, M/S51UPD
 Santa Clara, CA 95052-8043

6. Total number of applications and patents involved: ¹

7. Total Fee (37 CFR 3.41): . . . \$40.00

☐ Enclosed☒ Authorization to be charged to deposit account.

8. Deposit Account Number: 50-1078

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

K. Lopez Diangson

Name of Person Signing

Signature

Apr 26, 2001

Date

Total number of pages including cover sheet, attachments, and document: 7

OMB No. 0651-0011 (exp. 4/94)

Do Not detach this portion

Mail document to be recorded with required cover sheet information to:

Director-U. S. Patent and Trademark Office
 Box Assignment
 Washington, D.C. 20231

PATENT

REEL: 011520 FRAME: 0238

Attorney Docket No.: 10003995-1

Name of conveying parties:

Yu-Chun Chang
Ye Christine Chen
Christopher L. Coleman

Execution Dates: 3/16/01; 3/16/01; 3/16/01

When recorded please return to:

AGILENT TECHNOLOGIES
Legal Department, 51U-PD
Intellectual Property Administration
P. O. Box 58043
Santa Clara, California 95052-8043

PATENT APPLICATION

ATTORNEY DOCKET NO. 10003995-1

ASSIGNMENT OF PATENT APPLICATION

I/We, the undersigned (each) have agreed and hereby agree to assign to AGILENT TECHNOLOGIES, a Delaware corporation having its principal place of business in Palo Alto, California, (hereinafter AGILENT), in furtherance of my/our obligations to AGILENT, and do hereby assign and transfer to AGILENT, its successors and assigns, the entire right, title and interest, including the right of priority, in, to and under an application for Letters Patent of the United States entitled:

Integrated Parallel Channel Optical Monitoring For Parallel Optics Transmitter

Filing date: 12/15/00Serial No.: 09/738,417

and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part (C-I-P's), divisionals, and renewals of and substitutes for said application for said Letters Patent, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; and any reissues, or reexaminations, or extensions of said Letters Patent.

I/we additionally authorize AGILENT to file applications in my/our name for Letters Patent in any country, to be held and enjoyed by AGILENT, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, and transfer not been made;

AND I/we hereby covenant that I/we have full right to convey the entire interest herein assigned, and that I/we have not executed and will not execute any agreement in conflict herewith, and I/we further covenant and agree that I/we will, each time a request is made, and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said invention(s) or improvement(s), said application and said Letters Patent, to AGILENT, its successors, assigns, nominees or legal representatives, and I/we agree to communicate to AGILENT, or to its nominee, all known facts respecting said invention(s) or improvement(s), said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisionals, continuations, C-I-P's, reissue and foreign applications, to make all rightful oaths and declarations, and generally to do everything possible to aid AGILENT, its successors, assigns, nominees and legal representatives to obtain and enforce, for its or their own benefit, proper patent protection for said invention(s) or improvement(s) in any and all countries provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by AGILENT;

AND I/we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to AGILENT, as assignee of the entire right, title and interest, any and all Letters Patent for said invention(s) or improvement(s), including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

I/we further authorize and direct AGILENT attorneys to insert the serial number and filing date of said application now identified by the attorney docket number and title set forth above as soon as the same shall have been made known to them by the United States Patent and Trademark Office.

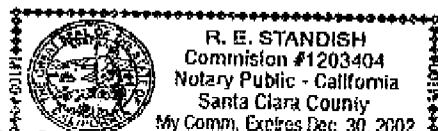
IN WITNESS WHEREOF, I/we hereunto set my/our hand(s) and seal(s):

Kit M Cham
Inventor's Signature (Seal)

Date Assignment Signed: 3/16/2001Inventor's Typed Name: Kit M ChamDate Application Signed: 3/16/2001State of CALIFORNIACounty of SANTA CLARA

) ss.:

Before me this 16th day of MARCH 2001, personally appeared Kit M Cham who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



(Use: Page 1 of 1 For Additional Inventor(s) Signature(s))

R. E. STANDISH
Notary Public
My commission expires: 12-30-2001

PATENT

REEL: 011520 FRAME: 0240

When recorded please return to:

AGILENT TECHNOLOGIES
Legal Department, 51U-PD
Intellectual Property Administration
P. O. Box 58043
Santa Clara, California 95052-8043

PATENT APPLICATION

ATTORNEY DOCKET NO. 10003995-1

ASSIGNMENT OF PATENT APPLICATION (cont.)

IN WITNESS WHEREOF, I we hereunto set my/our hand(s) and seal(s):

Ronald Kaneshiro
Inventor's Signature (Seal)

Date Assignment Signed: Mar 16, 2001

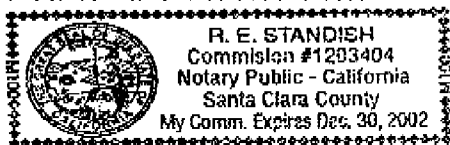
Inventor's Typed Name: Ronald Kaneshiro

Date Application Signed: Mar 16, 2001

State of CALIFORNIA

County of SANTA CLARA

Before me this 16th day of MARCH 2001, personally appeared Ronald Kaneshiro who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



R. E. Standish
Notary Public
My commission expires: 12-30-2002

Myunghee Lee
Inventor's Signature (Seal)

Date Assignment Signed: Mar 23, 2001

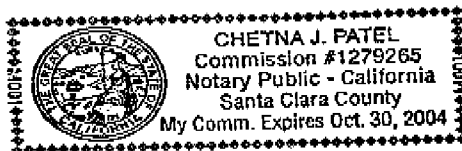
Inventor's Typed Name: Myunghee Lee

Date Application Signed: Mar 23, 201

State of CALIFORNIA

County of SANTA CLARA

Before me this 23rd day of MARCH 2001, personally appeared Myunghee Lee who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



Chetna J. Patel
Notary Public
My commission expires: Oct 30, 2004

James J. Dudley
Inventor's Signature (Seal)

Date Assignment Signed: March 23, 2001

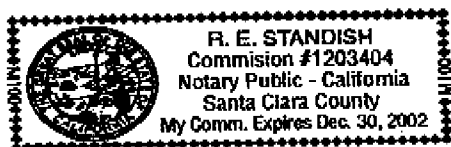
Inventor's Typed Name: James J. Dudley

Date Application Signed: March 16, 2001

State of CALIFORNIA

County of SANTA CLARA

Before me this 23 day of MARCH, 2001, personally appeared JAMES J. DUDLEY who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



R. E. Standish
Notary Public
My commission expires: 12-30-2002

(Use Next Page For Additional Inventor(s) Signature(s))

PATENT 1 of 4

REEL: 011520 FRAME: 0241

When recorded please return to:

AGILENT TECHNOLOGIES, INC.
Legal Department, 51U-PD
Intellectual Property Administration
P. O. Box 58043
Santa Clara, California 95052-8043

PATENT APPLICATION

ATTORNEY DOCKET NO. 10003995-1

ASSIGNMENT OF PATENT APPLICATION (cont.)

IN WITNESS WHEREOF, I we hereunto set my/our hand(s) and seal(s):

Stefano Therisod
Inventor's Signature (Seal)

Date Assignment Signed: 3/20/2001Inventor's Typed Name: Stefano G. TherisodDate Application Signed: 3/20/2001

State of California)
County of Santa Clara) ss.:

Before me this 20th day of March 2001, personally appeared Stefano G. Therisod who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



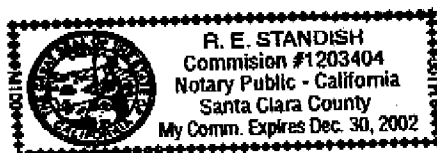
Ann Marie Radcliffe
Notary Public
My commission expires: 3-2-04

Craig T. Cummings
Inventor's Signature (Seal)

Date Assignment Signed: 3/23/2001Inventor's Typed Name: Craig T. CummingsDate Application Signed: 3/23/2001

State of CALIFORNIA)
County of SANTA CLARA) ss.:

Before me this 23 day of MARCH 2001, personally appeared CRAIG T. CUMMINGS who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



R. E. Standish
Notary Public
My commission expires: 12-30-2002

Inventor's Signature (Seal)

Date Assignment Signed: _____

Inventor's Typed Name: _____ Date Application Signed: _____

State of _____)
County of _____) ss.:

Before me this _____ day of _____, personally appeared _____ who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.

Notary Public
My commission expires: _____

(Use Page Three For Additional Inventor(s) Signature(s))

Page 3 of 4
PATENT

When recorded please return to:

AGILENT TECHNOLOGIES
Legal Department, 51U-PD
Intellectual Property Administration
P. O. Box 58043
Santa Clara, California 95052-8043

PATENT APPLICATION

ATTORNEY DOCKET NO. 10003995-1

ASSIGNMENT OF PATENT APPLICATION (cont.)

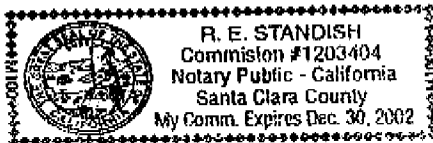
IN WITNESS WHEREOF, I we hereunto set my/our hand(s) and seal(s):

Yu-Chun Chang Date Assignment Signed: 3/16/01
Inventor's Signature (Seal)

Inventor's Typed Name: Yu-Chun Chang Date Application Signed: 3/16/01

State of CALIFORNIA)
County of SANTA CLARA) ss.:

Before me this 16th day of MARCH 2001, personally appeared Yu-Chun Chang who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



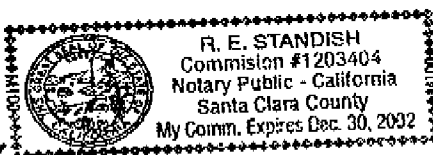
R. E. Standish
Notary Public
My commission expires: 12-30-2002

Ye Christine Chen Date Assignment Signed: 3-16-01
Inventor's Signature (Seal)

Inventor's Typed Name: Ye Christine Chen Date Application Signed: 3-16-01

State of CALIFORNIA)
County of SANTA CLARA) ss.:

Before me this 16th day of MARCH 2001, personally appeared Ye Christine Chen who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



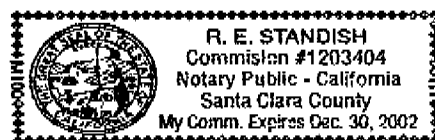
R. E. Standish
Notary Public
My commission expires: 2002 12-30-2002

Christopher L. Coleman Date Assignment Signed: 3/16/2001
Inventor's Signature (Seal)

Inventor's Typed Name: Christopher L. Coleman Date Application Signed: 3/16/2001

State of CALIFORNIA)
County of SANTA CLARA) ss.:

Before me this 16th day of MARCH 2001, personally appeared Christopher L. Coleman who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his/her free act and deed.



R. E. Standish
Notary Public
My commission expires: 12-30-2002

(Use Page Three For Additional Inventor(s) Signature(s))

Page 4 of 4