

02-22-2001

FORM PTO-1596

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DEPARTMENT OF COMMERCE

(Rev. 6-23)

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Andrew D. Flockhart; Richard B. Hull; Gregory L. Anderson; Bharat Kumar; and Kevin George Irwig

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: 7/17/00; 7/13/00; 11/30/00; 7/13/00;  
and 8/18/00

## 2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: \_\_\_\_\_

Street Address: 600 Mountain AvenueP.O. Box 636City: Murray Hill State: NJ Zip: 07974-0636Additional name(s) & Address(es) attached? ☐ Yes ☐ No4. Application number(s) or patent number(s): 09/669,486

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas W. Swartz

Internal Address: \_\_\_\_\_

Street Address: 1560 Broadway, Suite 1200City: Denver State: CO Zip: 80202-51416. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

501602

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DOUGLAS SWARTZ

Name of Person Signing

Douglas Swartz  
SignatureFeb. 6, 2001  
Date

Total number of pages including cover sheet, attachments, and document: [8]

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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PATENT  
REEL: 011520 FRAME: 0715

## ASSIGNMENT AND AGREEMENT

For value received, we, **Andrew D. Flockhart** of **Thornton** in the County of Adams and State of Colorado, **Richard B. Hull** of **Morristown** in the County of Morris and State of New Jersey, **Gregory L. Anderson** of **Addison** in the County of Dallas and State of Texas, **Bharat Kumar** of **Scotch Plains** in the County of Union and State of New Jersey, and **Kevin George Irwig** of **North Ryde**, Australia hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **ROUTING BASED ON THE CONTENTS OF A SHOPPING CART** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Andrew D Flockhart  
Andrew D. Flockhart

Date: 7/17/00

United States of America     )  
State of COLORADO     )ss.:  
County of ADAMS     )

On this 17<sup>th</sup> day of July, 2000, before me personally came **Andrew D. Flockhart**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Lynn Williams  
Notary Public

My Commission Expires 01/12/2003

Richard B. Hull

Date: \_\_\_\_\_

United States of America     )  
State of \_\_\_\_\_     )ss.:  
County of \_\_\_\_\_     )

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me personally came **Richard B. Hull**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

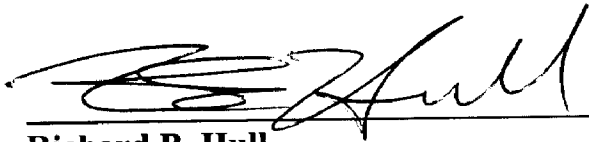
\_\_\_\_\_  
**Andrew D. Flockhart**

Date: \_\_\_\_\_

United States of America     )  
State of \_\_\_\_\_     )ss.:  
County of \_\_\_\_\_     )

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me personally came **Andrew D. Flockhart**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

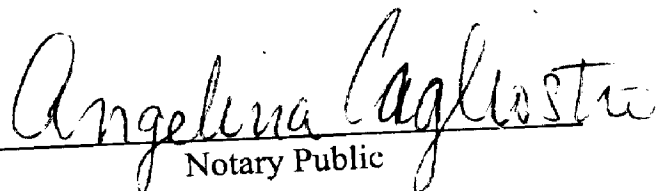
\_\_\_\_\_  
Notary Public

  
\_\_\_\_\_  
**Richard B. Hull**

Date: 7/13/00

United States of America     )  
State of New Jersey     )ss.:  
County of Union     )

On this 13<sup>th</sup> day of July, 2000, before me personally came **Richard B. Hull**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

**ANGELINA CAGLIOSTRO**  
**A NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 1/21/2004**

  
\_\_\_\_\_  
**Gregory L. Anderson**

Date: 11/30/00

United States of America     )  
State of \_\_\_\_\_     )ss.:  
County of \_\_\_\_\_     )

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me personally came **Gregory L. Anderson**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
**Bharat Kumar**

Date: \_\_\_\_\_

United States of America     )  
State of \_\_\_\_\_     )ss.:  
County of \_\_\_\_\_     )

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me personally came **Bharat Kumar**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
**Gregory L. Anderson**

Date: \_\_\_\_\_

United States of America     )  
State of \_\_\_\_\_     )ss.:  
County of \_\_\_\_\_     )

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me personally came **Gregory L. Anderson**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

Bharat Kumar  
**Bharat Kumar**

Date: 7/13/00

United States of America     )  
State of New Jersey     )ss.:  
County of Union     )

On this 13<sup>th</sup> day of July, 2000, before me personally came **Bharat Kumar**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Angelina Cagliostro  
Notary Public

**ANGELINA CAGLIOSTRO**  
**A NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 1/21/2004**

  
\_\_\_\_\_  
Kevin George Irwig

Date: 18<sup>th</sup> August 2000

United States of America     )  
State of \_\_\_\_\_     )ss.:  
County of \_\_\_\_\_     )

On this 18 day of AUGUST, 2000, before me personally came **Kevin George Irwig**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

J. Flanagan . JUSTICE OF THE PEACE  
Notary Public

FOR NEW SOUTH WALES  
AUSTRALIA.

NO. 8000254

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