

02-22-2001

Form PTO-159
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IN COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101618671

ITS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy the

1. Name of conveying party(ies):

2. Name and Address of receiving party(ies):

1. **Brandon W. Chung**
 2. **Ai Quoc Pham**
 3. **Robert S. Glass**

1-24-01

The Regents of the University of California
 1111 Franklin Street
 Oakland, CA 94612-3550

Attorney Docket No.: **IL-10678**

3. Nature of conveyance:



Assignment

Execution Date:

January 14, 2001

4. Application number(s) or patent number(s): **IL-10678**

If this document is being filed together with a new application, the execution date of the application is:

January 14, 2001

A. Patent Application No.(s)

B. Patent No.(s)

09769211

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total Number of applications and patents involved:
ONE

Name:

Alan H. Thompson

7. Total fee

\$

40.00

Address:

Lawrence Livermore Nat. Laboratory
 Patent Group, L-703



Enclosed



Authorized charge deposit account

7000 East Avenue
 Livermore, CA 94550

8.

Deposit Account number:

12-0695**DO NOT USE THIS SPACE**

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathy Raymond

Name of Person Signing

Kathy Raymond

Signature

1/24/01

Date

Total number of pages comprising cover sheet

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02/21/2001 AAHMED1 00000167 120695 09769211

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PATENT
REEL: 011522 FRAME: 0200

ASSIGNMENT

LLNL Case No.: IL-10678

For good and valuable consideration the receipt of which is hereby acknowledged ASSIGNOR(s)

1. Brandon W. Chung 2. Ai Quoc Pham
3. Robert S. Glass 4. _____

hereby sells, assigns and transfers to ASSIGNEE, the Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, Oakland, California 94607-5200, and the successors, assigns and legal representative of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"Co-Flow Planar SOFC Fuel Cell Stack"

and which is found in

- ☒ U.S. patent application executed on 1/14/01, entitled as above and listing the above named persons as inventors
☐ U.S. patent application serial no. _____, filed on _____
☐ U. S. patent no. _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, the Regents of the University of California.

executed this

Dec 20, 2000
Date

Signature of Inventor(s)

Brandon W. Chung
Brandon W. Chung

executed this

01/14/01
Date

Signature of Inventor(s)

Ai Quoc Pham
Ai Quoc Pham

excuted this

01/04/01

Date

Signature of Inventor(s)



Robert S. Glass

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IL-10678