

02-26-2001



101620037

FEB 13 2001

RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. Department of Commerce  
Patent and Trademark Office  
PATENT

2-13-01

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

☒ New  
☐ Resubmission (Non-Recordation)  
Document ID# ☐ Correction of PTO ErrorReel #  Frame # ☐ Corrective DocumentReel#  Frame # 

## Conveyance Type

☐ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name☐ Merger☒ Other  Notice of Grant of Security Interest

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attachedName (line 1)  American Optical Lens CompanyName (line 2) Execution Date  
Month Day Year 01/31/01

## Second Party

Name (line 1) Name (line 2) Execution Date  
Month Day Year

## Receiving Party

☐ Mark if additional names of receiving parties attachedName (line 1)  Bank of America, N.A., as Collateral AgentName (line 2) Address (line 1)  231S. LaSalle StreetAddress (line 2) Address (line 3) 

Chicago

City

Illinois

State/Country

60604

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

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Name  N/AAddress (line 1) Address (line 2) Address (line 3) Address (line 4) 

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

Area Code and Telephone Number **(919) 286-8000**

Name **Steven D. Thomas**

Address (line 1) **Moore & Van Allen, PLLC**

Address (line 2) **2200 West Main Street**

Address (line 3) **Suite 800**

Address (line 4) **Durham, North Carolina 27705**

**FEB 13 2001**

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

# **5**

**Application Number(s) or Patent Number(s)**



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

**4848893**

**4810080**

**4720356**

**4699479**

**4440672**

**4367170**

**D263058**

**4704015**

**4540534**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

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PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

#

**12**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

**480.00**

Method of Payment:

Enclosed ☒

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

**13-4365**

Authorization to charge additional fees:

Yes ☒

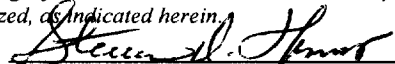
No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

**Steven D. Thomas**

Name of Person Signing



Signature

**February 5, 2001**

Date Signed

**RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY**

**Conveying Party(ies)**

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Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Execution Date  
Month Day Year

Execution Date  
Month Day Year

**Receiving Party(ies)**

Enter Additional Receiving Party

☐

Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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State/Country

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

NOTICE  
OF  
GRANT OF SECURITY INTEREST  
IN  
PATENTS

United States Patent and Trademark Office

Please be advised that pursuant to the Security Agreement dated as of January 31, 2001 (the "Security Agreement") by and among the Obligors party thereto (each an "Obligor" and collectively, the "Obligors") and Bank of America, N.A., as Collateral Agent (the "Collateral Agent") for the Banks referenced therein (the "Banks"), the undersigned Obligor has granted a continuing security interest in and continuing lien upon, the patents and patent applications shown below to the Collateral Agent for the ratable benefit of the Banks:

PATENTS

<u>Patent No.</u>	Description of Patent <u>Item</u>	Date of <u>Patent</u>
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**See the attached Exhibit A**

Patent Applications

<u>Patent Applications No.</u>	<u>Date of Patent Applications</u>
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**See the attached Exhibit A**

The Obligors and the Collateral Agent, on behalf of the Banks, hereby acknowledge and agree that the security interest in the foregoing patents and patent applications (i) may only be terminated in accordance with the terms of the Security Agreement and (ii) is not to be construed as an assignment of any patent or patent application.

Very truly yours,

**AMERICAN OPTICAL LENS COMPANY**

By: Steven M Neil  
Name: Steven M Neil  
Title: CFO

Acknowledged and Accepted:

BANK OF AMERICA, N.A.,  
as Collateral Agent

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

The Obligors and the Collateral Agent, on behalf of the Banks, hereby acknowledge and agree that the security interest in the foregoing patents and patent applications (i) may only be terminated in accordance with the terms of the Security Agreement and (ii) is not to be construed as an assignment of any patent or patent application.

Very truly yours,

**AMERICAN OPTICAL LENS COMPANY**

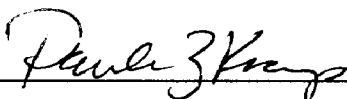
By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Acknowledged and Accepted:

BANK OF AMERICA, N.A.,  
as Collateral Agent

By: 

Name: \_\_\_\_\_

Title: **Paula Z. Kramp**  
**Principal**

**American Optical Lens Company  
(Delaware Corporation)**

**U.S. Patents**

**Issued Patents**

Spectacle frame with lockable custom fitting nosepiece	4848893	7/18/89
Protective eyewear with removable nosepiece and corrective spectacle	4810080	3/7/89
Photochromic composition resistant to fatigue	4720356	1/19/88
Eyeglass frame structure and method of assembling same	4699479	10/13/87
Photochromic composition resistant to fatigue [spiro(indoline-2,3'-(3'H)-naphthn(1,2-B)(1,4) oxazines), ultraviolet stabilizer]	4440672	4/3/84
Stabilized photochromic materials [protective coating]	4367170	1/4/83
Pair of spectacles	D263058	2/16/82
Device for Attaching a replaceable nosepiece to an eyeglass frame	4704015	11/3/87
Apparatus and method for injection molding lenses [prevention of knit libe]	4540534	9/10/85
Method of a single element complete air filtering structure for a respirator filter cartridge [casting a polymer containing a leachable substance, leaching to form a porous structure]	4383956	5/17/83
Reduced-cross talk telemetry system and method of manufacture thereof	4345334	8/17/82
Photochromic compounds [and lens containing spiroindoline-naphthoxazine compounds]	4342668	8/3/82