

RECORDED

02-26-2001



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MARK OFFICE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 2. 9. 01
William J. Raphael and William J. McMartin
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment of U.S. Patent Application, S.N. 09/698,601 entitled DISC DRIVE WITH SELF-CONTAINED SERVO DATA WRITING CAPABILITY filed October 27, 2000.
☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: November 14, 2000

2. Name and address of receiving party(ies)

Name: Seagate Technology LLC

Internal Address: _____

Street Address: 920 Disc Drive

City: Scotts Valley State: CA ZIP: 95066

4. Application number(s) or patent number(s): _____

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
S.N. 09/698,601

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bill D. McCarthy

Internal Address: _____

Street Address: Crowe & Dunlevy

1800 Mid-America Tower, 20 North Broadway

City: Oklahoma City State: OK Zip: 73102-8273

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 13-0110

(if additional fees required)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Randall K. McCarthy

Name of Person Signing

Signature

Reg. No. 39,297

February 9, 2001

Date

Total number of pages including cover sheet, attachments, and document: 4

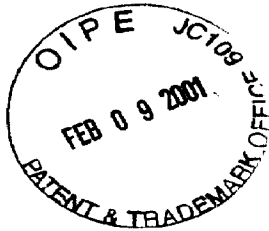
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PATENT
REEL: 011526 FRAME: 0405



EXPRESS MAIL RECEIPT NO. EK887664538US
(Joint) SEAGATE

Docket No. 23058
SEA 9469

ASSIGNMENT

WHEREAS, we **William J. Raphael and William J. McMartin** are the joint inventors of an invention in **DISC DRIVE WITH SELF-CONTAINED SERVO DATA WRITING CAPABILITY**, for which we have executed an application for United States Letters Patent filed in the United States Patent and Trademark Office on October 27, 2000, the application being assigned United States Patent Application Serial No. 09/698,601; and

WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under and by virtue of the laws of the State of Delaware and having a place of business at Scotts Valley, California, is desirous of acquiring the entire right, title and interest in and to said application and said invention, as well as any and all applications for Letters Patents and Inventor's Certificates which may be filed on said invention in any country or countries, as well as any and all Letters Patents and Inventor's Certificates which may be granted on any such application.

NOW, THEREFORE, for valuable consideration, the receipt and adequacy of which is hereby acknowledged, we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto Seagate Technology LLC, its successors and assigns, our entire right, title and interest in, to and under said invention and said application, and any and all Letters Patents which may be granted for said invention and/or on said application, including all extensions, continuations, divisions and reissues thereof, and our right of priority under the International Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models, and any other convention, treaty or reciprocal agreement to which the United States is a party concerning priority in inventions, patents, designs, inventor's certificates, industrial property or industrial models, and any and all applications for Letters Patent or Inventor's Certificate which has heretofore or may hereafter be filed on said invention in any country or countries, as well as any and all Letters Patents and Inventor's Certificates which may be granted on any such application, including all extensions, continuations, divisions, reissues and renewals thereof; the same to be held and enjoyed by Seagate Technology LLC for its own use and behoof and for the use and behoof of its successors and assigns as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made;

AND we covenant that we have not and will not execute any instrument or take any action contrary to this assignment, nor encumber the title of Seagate Technology LLC, in, to and under said invention, applications, Letters Patents and Inventor's Certificates, and that we have the right to execute this instrument;

AND we, and each of us, hereby covenant and agree to keep Seagate Technology LLC advised of our current residence addresses, and we, and each of us, or our executors, administrators, representatives or assigns, will execute all papers, make all rightful oaths, and do all acts deemed by Seagate Technology LLC, its successors and assigns, necessary or desirable in any proceeding concerning any of said applications or Letters Patents or Inventor's Certificates, including, but not limited to, proceedings for the procurement or enforcement of Letters Patents for said invention, or for the reissue, renewal or extension thereof, at the expense of Seagate Technology LLC but without charge to Seagate Technology LLC, its successors and assigns.

We hereby request the Commissioner of Patents of the United States, and any official of any country foreign to the United States whose duty is to issue Letters Patents or Inventor's Certificates on applications as aforesaid, to issue Letters Patents or Inventor's Certificates to Seagate Technology LLC or its designee in accordance with this instrument.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment and sale.

Date: 11/14/00

William J. Raphael
William J. Raphael, ASSIGNOR

Date: 11/14/00

William J. McMartin
William J. McMartin, ASSIGNOR

STATE OF OKLAHOMA

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ss.

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COUNTY OF CANADIAN

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This instrument was acknowledged before me, a Notary Public, on this 14 day of Nov, 2000 by William J. Raphael.
(Month)

Judith A. Gans
Notary Public

My Commission Expires:
My Commission Expires July 15, 2003

STATE OF OKLAHOMA

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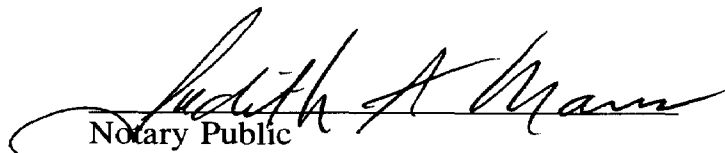
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ss.

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(Month)


Notary Public

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