

02-26-2001



101620678
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☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

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Execution Date
Month Day Year

Name (line 1) **Anthony W. Thompson**

9/5/00

Name (line 2)

Execution Date

Second Party

Month Day Year

Name (line 1) **Jyun-Hung Chen**

9/5/00

Name (line 2)

09662211

Receiving Party

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☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) **LIGAND PHARMACEUTICALS, INC.**

Name (line 2)

Address (line 1) **10275 Science Center Drive**

Address (line 2)

Address (line 3) **San Diego**

California

92121

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party Only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

02/26/2001 GT0111 00000010 09662211

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PATENT
REEL: 011528 FRAME: 0516

Correspondent Name and Address

Area Code and Telephone Number (858) 720-2500

Name

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12390 El Camino Real

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San Diego, California 92130-2081

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/662,211

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-1273

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Richard H. Pagliery (44,276)
Name of Person Signing

Richard H. Pagliery
Signature

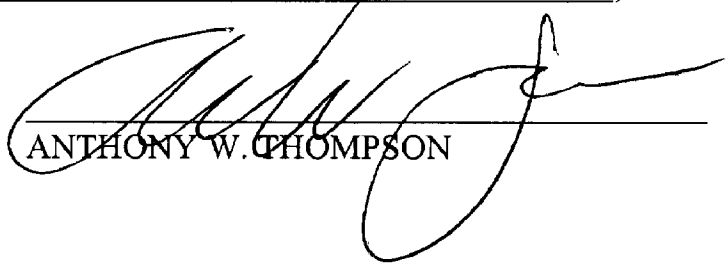
February 13, 2001
Date

ASSIGNMENT

For valuable consideration, we, ANTHONY W. THOMPSON of San Diego, California and a citizen of the United States of America, and JYUN-HUNG CHEN of San Diego, California and a citizen of Taiwan (hereinafter collectively referred to as "Assignors"), hereby assign to LIGAND PHARMACEUTICALS, INC., a corporation organized and existing under and by the virtue of the laws of the State of Delaware, and having a place of business at 10275 Science Center Drive, San Diego, California 92121, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the invention and improvements which are the subject of an application for United States Patent entitled **RXR MODULATORS WITH IMPROVED PHARMACOLOGIC PROFILE**, and related applications and patents, this assignment including said application, any and all United States and foreign patents granted for any of said inventions or improvements, including all divisions, continuations, reissues, continuations-in-part and extensions thereof, and the right to claim priority based on the filing date of said application and based on the filing date of any provisional application of which said application claims the benefit under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our names or in its own name for patents and like rights of exclusion and for inventor's certificates for said inventions and improvements; and we agree for ourselves and our heirs, legal representatives and assigns, without further compensation, upon request to perform such lawful acts, to promptly provide Assignee with all pertinent facts and documents relating to said invention or application as may be known and accessible to us, to testify in any interference or litigation related to said invention or application and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

The Assignors hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this Agreement.

WITNESS my hand at San Diego, California,
this 5th day of September, 2000.


ANTHONY W. THOMPSON

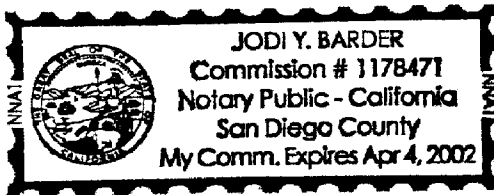
STATE OF California)
COUNTY OF San Diego) ss

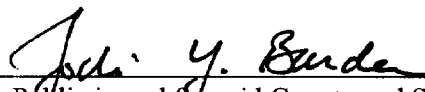
On Sept. 5, 2000 before me, Jodi Y. Barder, personally appeared

ANTHONY W. THOMPSON

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.




Notary Public in and for said County and State

WITNESS my hand at San Diego, California,

this 5th day of September, 2000.

Jyun-Hung Chen
JYUN-HUNG CHEN

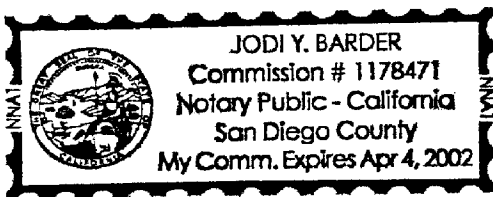
STATE OF California)
) SS.
COUNTY OF San Diego)

On Sept. 5, 2020 before me, Jodi G. Bender, personally appeared

JYUN-HUNG CHEN

☒ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Judi G. Burda
Notary Public in and for said County and State