

03-01-2001



Case PP/W-21916/P1/AC 526

101623725

To the Honorable Commissioner of Patents and Trademarks

Send the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael Barry Heard  
Gordon Cheng I. Chen

2.12.01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Ciba Specialty Chemicals Water  
Treatments Limited

Internal Address:

Street Address: Cleckheaton Road, Low Moor

City: Bradford, West Yorkshire State: Great Britain,  
ZIP: BD12 0JZ

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: October 31, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the  
application is: \_\_\_\_\_

A. Patent Application No.(s) 09/704,351

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom  
correspondence concerning document should  
be mailed:

Name: JoAnn Villamizar  
Ciba Specialty Chemicals Corp.  
Internal Address: Patent Department  
P.O. Box 2005  
Street Address: 540 White Plains Road  
City: Tarrytown State: NY ZIP: 10591

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account  
and any other additional fees required.

8. Deposit account number:

03-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached  
copy is a true copy of the original document.

David R. Crichton

David R. Crichton  
Signature

FEB - 9 2001

Date

Name of Person Signing  
Reg. No. 37,300

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

ASSIGNMENT

I / We **Michael Barry HEARD**, of **2845 E Point Drive, Chesapeake, Virginia 23321, USA**  
**Gordon Cheng I CHEN**, of **1533 Lauren Ashleigh Drive, Chesapeake, Virginia 23321, USA**

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to ***Ciba Specialty Chemicals Water Treatments Limited, a British corporation, of Cleckheaton Road, Low Moor, Bradford, West Yorkshire, BD12 0JZ, Great Britain and***, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**Manufacture of Paper and Paperboard**

invented by me/us and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said ***Ciba Specialty Chemicals Water Treatments Limited***, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said ***Ciba Specialty Chemicals Water Treatments Limited***.

Michael Barry Heard  
Michael Barry HEARD

31st October 2000  
Date

Gordon Cheng I Chen  
Gordon Cheng I CHEN

10/31/00  
Date

US 09/97

CSC

RECORDED: 02/12/2001

PATENT  
REEL: 011537 FRAME: 0247