

FORM PTO-1595
(Rev. 6-93)

03-01-2001

ER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)



2-16-01

To the Honorable Comm

101623671

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John F. Kadow, Harold Mastalerz, Qiufen May Xue,
Steven Hansel, Mary Edson Zoeckler, William C.
Rose, James G. Tarrant

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: 11/15/00, 11/14/00, 11/17/00, 11/17/00,

11/15/00, 12/1/00, 11/15/00

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

USSN 09/712,352 filed November 14, 2000

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marla J. MathiasInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-40006. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Samuel J. DuBoffName of Person Signing
Reg. No. 25,969Samuel J. DuBoff
Signature2/13/01
Date☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 9

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

03/01/2001 09:00:00 00000040 193880 09712352

01 FC:581 40.00 CH

PATENT
REEL: 011538 FRAME: 0484

ASSIGNMENT

We,

John F. Kadow	residing at	9 Quarry Run Wallingford, Connecticut 06492 United States of America
Harold Mastalerz	residing at	70 Robin Lane Guilford, Connecticut 06437 United States of America
Qiufen May Xue	residing at	75 Barrington Way Glastonbury, Connecticut 06033 United States of America
Steven Hansel	residing at	113 Old Farms East Middletown, Connecticut 06457 United States of America
Mary Edson Zoeckler	residing at	39 Dover Lane Madison, Connecticut 06443 United States of America
William C. Rose	residing at	362 Cafferty Road Pipersville, Pennsylvania 18947 United States of America
James G. Tarrant	residing at	156 Handy Road Hamden, Connecticut 06518 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

C-4 Carbonate Taxanes

invented by us and described in the non-provisional United States patent application

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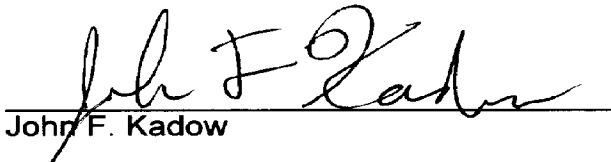
Application No. 09/712,352 filed November 14, 2000

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

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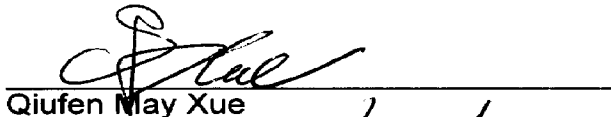
Signed this 15 day of November, 2000 by


John F. Kadow

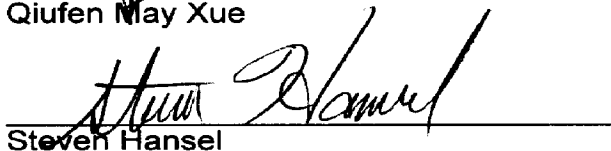
Signed this 14 day of November, 2000 by

Harold Mastalerz
Harold Mastalerz

Signed this 17 day of November, 2000 by


Qiufen May Xue

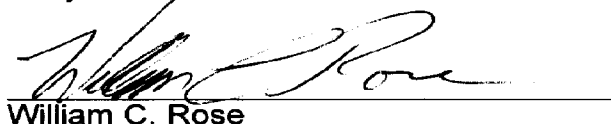
Signed this 17 day of November, 2000 by


Steven Hansel

Signed this 15 day of November, 2000 by

Mary Edson Zoeckler
Mary Edson Zoeckler

Signed this 1 day of December, 2000 by


William C. Rose

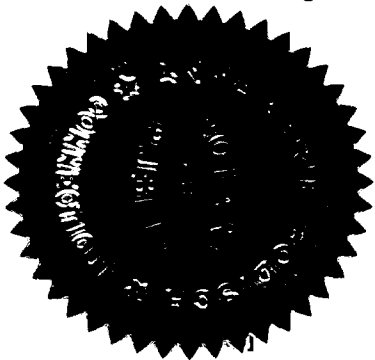
Signed this 15 day of November, 2000 by


James G. Tarrant

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STATE OF Connecticut)
) ss. Wallingford
COUNTY OF New Haven)

On the 15th day of NOVEMBER, 2000, before me came John F. Kadow, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



Annamarie Bodisch
Notary Public

ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005

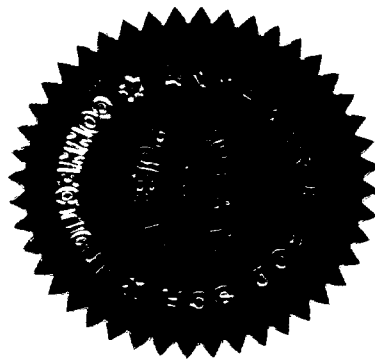
STATE OF Connecticut)
) ss. Wallingford
COUNTY OF New Haven)

On the 14th day of NOVEMBER, 2000, before me came Harold Mastalerz, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Annamarie Bodisch
Notary Public

ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005

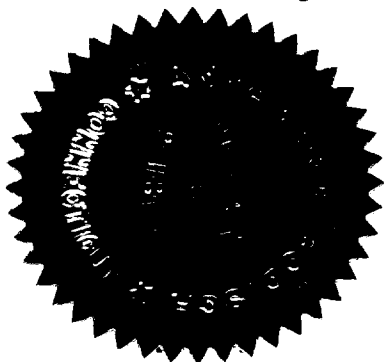
[SEAL]



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STATE OF Connecticut)
) ss. Wallingford
COUNTY OF New Haven)

On the 17th day of NOVEMBER, 2000, before me came Qiufen May Xue, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that she executed it.



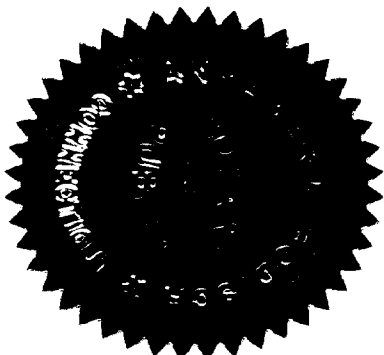
Annamarie Bodisch
Notary Public

ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005

STATE OF Connecticut)
) ss. Wallingford
COUNTY OF New Haven)

On the 17th day of NOVEMBER, 2000, before me came Steven Hansel, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

[SEAL]



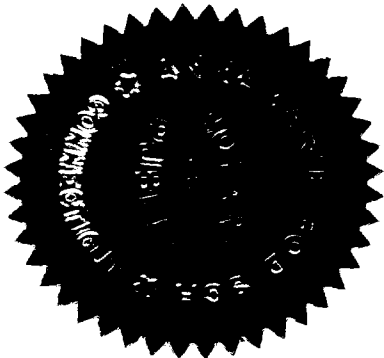
Annamarie Bodisch
Notary Public

ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005

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STATE OF Connecticut)
) ss. Wallingford
COUNTY OF New Haven)

On the 15th day of NOVEMBER, 2000, before me came Mary Edson Zoeckler, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that she executed it.



Annamarie Bodisch
Notary Public

ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the 1st day of December, 2000, before me came William C. Rose, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Tina M. Markee
Notary Public

TINA M. MARKEE
Notary Public of New Jersey
My Commission Expires Oct. 28, 2001

[SEAL]

STATE OF Connecticut)
) ss. Wallingford
COUNTY OF New Haven)

On the 15th day of NOVEMBER, 2000, before me came James G. Tarrant, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Annamarie Bodisch
Notary Public

ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005

[SEAL]

