

03-01-2001



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U.S. DEPARTMENT OF

Patent and Trademark

31-01

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Zlatko Strbuncelj and James Hubbard

2. Name and address of receiving party(ies):

Otis Elevator Company

Internal Address: 10 Farm Springs

Additional name(s) of conveying party(ies) attached? yes ☐ no ☒

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: 10 Farm Springs

City: Farmington State: CT Zip: 06032

Execution Date: January 31, 2001

Additional name(s) and addresses(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 31, 2001

A. Patent Application No.(s)

B. Patent No.(s)

ELEVATOR HOIST MACHINE AND RELATED ASSEMBLY METHOD

Additional numbers attached? ☐ yes ☒ no

09773056

5. Name and Address of party to whom correspondence concerning document should be mailed:

Name: Sean W. O'Brien

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

Internal Address: Otis Elevator Company

☐ Enclosed

☒ Authorized to be charged to deposit account

Street Address: 10 Farm Springs

8. Deposit account number:

15-0750, OT-4832

City: Farmington State: CT Zip: 06032

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

02/28/2001 DBYRNE 00000132 150750 09773056

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean W. O'Brien

Name of Person Signing

Sean W. O'Brien
Signature

January 31, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT

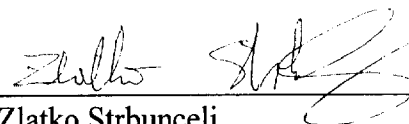
WHEREAS, I, Zlatko Strbuncelj and James Hubbard of 91 Fox Hollow, Avon, Connecticut 06001 and 25 Evergreen Court, Kensington, Connecticut 06037, respectively, has invented certain improvements in ELEVATOR HOIST MACHINE AND RELATED ASSEMBLY METHOD identified as Attorney Docket No. OT-4832, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, OTIS ELEVATOR COMPANY, of Farmington, Connecticut, a corporation of the State of New Jersey (assignee) is desirous of acquiring the entire United States right, title and interest in and to said invention or inventions and any and all U.S. Patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid U.S. Patent application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

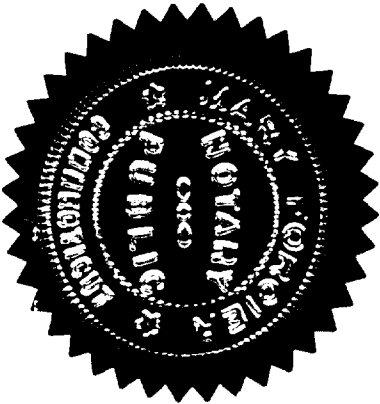
The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

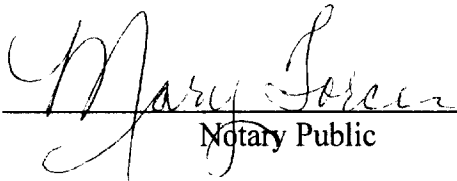
IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

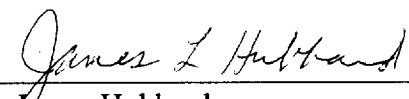
L.S.  1/31/2001, 2001
Zlatko Strbuncelj

State of _____)
) ss.
County of _____)

On this 31 day of January, 2001 before me personally appeared Zlatko Strbuncelj to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her (their) free act and deed.

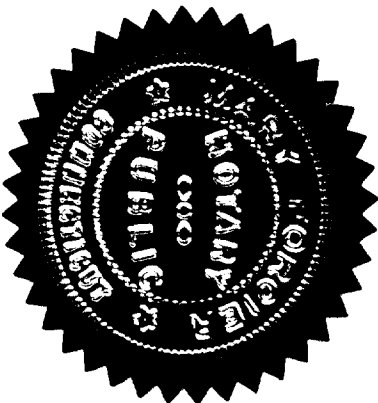


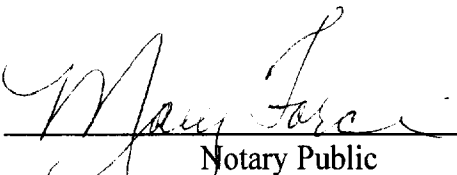

Notary Public
MARY FORCIER
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2002

L.S.  1/31, 2001
James Hubbard

State of _____)
) ss.
County of _____)

On this 31 day of January, 2001 before me personally appeared James Hubbard to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her (their) free act and deed.




Notary Public
MARY FORCIER
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2002