

03-05-2001

ocket No.:

U.S. DEPARTMENT OF COMMERCE
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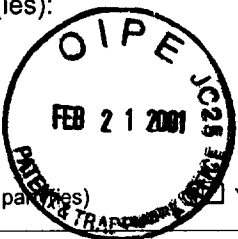
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101625307

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bakthan Singaram
Ritchie A. Wessling



Additional names(s) of conveying party(ies)

☐ Yes ☐ No

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Address: 1111 Franklin Street, Fifth Floor

City: Oakland State/Prov.: CA

Country: USA ZIP: 94607-5200

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: February 14, 2001

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: February 14, 2001

Patent Application No.

Filing date

B. Patent No.(s)

09/731,325

12-5-2000

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Howard M. Peters

Registration No. 29,202

Address: Peters Verny Jones & Biksa, LLP

385 Sherman Avenue, Suite 6

City: Palo Alto State/Prov.: CA

Country: USA ZIP: 94306

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-1331

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard M. Peters

Name of Person Signing

Signature

February 18, 2001

Date

3

Total number of pages including cover sheet, attachments, and

ASSIGNMENT

U.C. Case No. 98-101-1

Attorney Docket No. 480.94

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Bakthan Singaram
2. Ritchie A. Wessling
3. _____
4. _____

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Fifth Floor, Oakland, CA 94607-5200, and the successor, assigns and legal representatives of the ASSIGNEE all of its/their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

POLYHYDROXYL-SUBSTITUTED ORGANIC MOLECULE SENSING
OPTICAL IN VITRO METHOD UTILIZING A BORONIC ACID ADDUCT
AND THE DEVICE THEREOF

and which is found in

- (a) X U.S. patent application executed on Feb. 14, 2001,
entitled as above and listing the above named person(s) as
inventor(s).
- (b) X U.S. application serial no. 09/731,325, filed on December 5, 2000
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this agreement;

ASSIGNOR(S) further covenant(s) that ASSIGNEE, will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

14 day of February, 2001

Signature of Inventor(s)

Bakthan Singaram

Bakthan Singaram
206 Dickens Way
Santa Cruz, CA 95064

14 day of February, 2001

Ritchie A. Wessling

Ritchie A. Wessling
867 Calabassas Road
Watsonville, CA 95076-0442

____ day of _____, 2001

____ day of _____, 2001

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ALL-PURPOSE ACKNOWLEDGEMENT

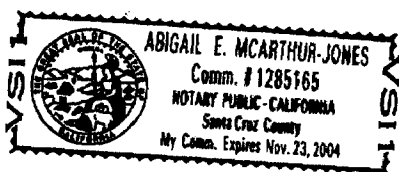
State of California

County of Santa Cruz } SS.

On February 14, 2001 before me, Abigail E. McArthur-Jones

personally appeared Bakthan Singaram & Ritchie A. Wessling

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signatures on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Abigail E. McArthur-Jones
NOTARY'S SIGNATURE

OPTIONAL INFORMATION

The information below is not required by law. However, it could prevent fraudulent attachment of this acknowledgement to an unauthorized document.

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