

03-06-2001

Docket No.: 1510.005

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101625593

Tab settings → → → ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**CARTER, Michael Anthony**  
**JULL, Gwendolen Anne**  
**RICHARDSON, Carolyn Anne**  
**HAMILTON, Christine**

Additional names(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: **SPINAL SENSOR TECHNOLOGIES LIMITED**

Address: **19a Woodlau Rise**

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: **Christchurch**

State/Prov.: \_\_\_\_\_

Country: **New Zealand**

ZIP: \_\_\_\_\_

Execution Date: **10/2 - 10/12/00**

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

**09/622,294**

**12/14/99**

11/09/2000 AGIZON 00000083 09622294

02 FC:581

40.00 CH

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Jeff Rothenberg, Esq.**

Registration No. **26,429**

Address: **Heslin & Rothenberg, P.C.**

**5 Columbia Circle**

City: **Albany**

State/Prov.: **NY**

Country: **US**

ZIP: **12203**

6. Total number of applications and patents involved:

**1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

**08-1935**

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Jeff Rothenberg**

Name of Person Signing

Signature

**November 7, 2000**

Date

Total number of pages including cover sheet, attachments, and document:

**13**

**PATENT**

**REEL: 011545 FRAME: 0856**

Continuation of Box 1

ROLL, Suzanne Louise  
MATCHETT, Robert Stephen  
HILL, Peter Wilson  
BULL, David John  
RYAN, Bradley Peter  
SIZER, Geoffrey David  
MOUTZOURIS, Peter

Continuation of Box 2

CARTER (NEW ZEALAND) LIMITED  
Markhams Christchurch  
Level Two  
190 Armagh Street  
Christchurch, New Zealand

## ASSIGNMENT

WHEREAS, MICHAEL ANTHONY CARTER, residing at 42 Cresthaven Drive, Mansfield 4122, Queensland, Australia, a citizen of Australia; GWENDOLEN ANNE JULL, residing at 51 Barkala Street, The Gap 4061, Queensland, Australia, a citizen of Australia; CAROLYN ANNE RICHARDSON, residing at 40 Carwoola Street, Mooloolaba, Queensland, Australia, a citizen of Australia; CHRISTINE HAMILTON, residing at Sudetenstr 1, D-91052, Erlangen, Germany, a citizen of Germany; SUZANNE LOUISE ROLL, residing at 7/11 Austral Street, St. Lucia 4067, Queensland, Australia, a citizen of Australia; ROBERT STEPHEN MATCHETT, residing at 1 Melrose Street, Mosman 2088, New South Wales, Australia, a citizen of Australia; PETER WILSON HILL, residing at C/-101b Marriott Street, Redfern 2016, New South Wales, Australia, a citizen of Australia; DAVID JOHN BULL, residing at 11 Yamba Close, Marsfield 2122, New South Wales, Australia, a citizen of Australia; BRADLEY PETER RYAN, residing at 16 Balderstone Street, Corinda 4075, Queensland, Australia, a citizen of Australia; GEOFFREY DAVID SIZER, residing at 92 Warragal Road, Turrumurra 2074, New South Wales, Australia, a citizen of Australia and PETER MOUTZOURIS, residing at 2/12 Apsley Street, Penshurst 2222, New South Wales, Australia, a citizen of Australia (collectively **INVENTORS**) have invented certain new and useful improvements in SPINAL MONITOR APPARATUS AND METHOD for which an Application for Letters Patent of the United States, Application No. 09/622,294 , was filed with the United States Patent and Trademark Office on August 14, 2000, as the United States national phase entry of international application PCT/AU99/01116 filed December 14, 1999; and

WHEREAS, **SPINAL SENSOR TECHNOLOGIES LIMITED** having offices at 19a Woodlau Rise, Christchurch, New Zealand and **CARTER (NEW ZEALAND) LIMITED**, having offices at Markhams Christchurch, Level Two, 190 Armagh Street, Christchurch, New Zealand, (collectively **ASSIGNEES**) are desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said Application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We the said **INVENTORS**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **ASSIGNEES**, their successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said Application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **ASSIGNEES**, their successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said ASSIGNEES, their successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEES, their successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
2nd day of October, 2000.

  
\_\_\_\_\_  
MICHAEL ANTHONY CARTER

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
GWENDOLEN ANNE JULL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
CAROLYN ANNE RICHARDSON

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
CHRISTINE HAMILTON

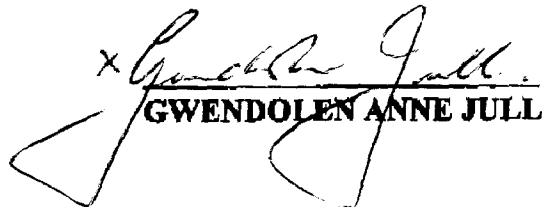
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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
2nd day of October, 2000.

  
 MICHAEL ANTHONY CARTER

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
9th day of OCTOBER, 2000.

  
 GWENDOLEN ANNE JULL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
 \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
 CAROLYN ANNE RICHARDSON

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
 \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
 CHRISTINE HAMILTON

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 MICHAEL ANTHONY CARTER

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
 \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
 GWENDOLEN ANNE JULL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
12<sup>th</sup> day of Oct, 2000.

  
 CAROLYN ANNE RICHARDSON

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
 \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
 CHRISTINE HAMILTON

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2nd day of October, 2000.

  
 MICHAEL ANTHONY CARTER

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
 \_\_\_\_\_ day of \_\_\_\_\_, 2000.

GWENDOLEN ANNE JULI

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
 \_\_\_\_\_ day of \_\_\_\_\_, 2000.

CAROLYN ANNE RICHARDSON

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
 X 10<sup>th</sup> day of October, 2000.

X   
 CHRISTINE HAMILTON

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

x 11<sup>th</sup> day of October, 2000.

x   
**SUZANNE LOUISE ROLL**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

\_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
**ROBERT STEPHEN MATCHETT**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

\_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
**PETER WILSON HILL**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

\_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
**DAVID JOHN BULL**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

\_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
**BRADLEY PETER RYAN**



\_\_\_\_\_ day of \_\_\_\_\_, 2000.

**SUZANNE LOUISE ROLL**

IN TESTIMONY WHEREOF, I hereunto ~~set my hand and seal this~~

X 12 day of OCT, 2000.

x R. M. T. G. H.

**ROBERT STEPHEN MATCHETT**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

\_\_\_\_\_ day of \_\_\_\_\_, 2000.

**PETER WILSON HILL**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

           day of           , 2000.

DAVID JOHN BULL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

\_\_\_\_\_ day of \_\_\_\_\_, 2000.

**BRADLEY PETER RYAN**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

SUZANNE LOUISE ROLL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

ROBERT STEPHEN MATCHETT

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
10th day of OCTOBER, 2000.

x Peter Wilson  
PETER WILSON HILL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

DAVID JOHN BULL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

BRADLEY PETER RYAN

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

SUZANNE LOUISE ROLL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

ROBERT STEPHEN MATCHETT

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

PETER WILSON HILL

x 9 IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
day of October, 2000.

  
DAVID JOHN BULL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
\_\_\_\_\_ day of \_\_\_\_\_, 2000.

BRADLEY PETER RYAN

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
 \_\_\_ day of \_\_\_\_\_, 2000.

day of \_\_\_\_\_, 2000.

**SUZANNE LOUISE ROLL**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

day of \_\_\_\_\_, 2000.

**ROBERT STEPHEN MATCHETT**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

day of \_\_\_\_\_, 2000.

**PETER WILSON HILL**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

day of \_\_\_\_\_, 2000.

DAVID JOHN BULL

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

day of NOVEMBER, 2000.

**BRADLEY PETER RYAN**

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
9th day of OCTOBER, 2000.

x   
\_\_\_\_\_  
GEOFFREY DAVID SIZER

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  
9th day of OCTOBER, 2000.

x   
\_\_\_\_\_  
PAUL MOUTZOURIS

HESLIN & ROTHENBERG, P.C.  
5 Columbia Circle  
Albany, NY 12203  
Tel: (518) 452-5600  
Fax: (518) 452-5579

Continuation of Box 1

ROLL, Suzanne Louise  
MATCHETT, Robert Stephen  
HILL, Peter Wilson  
BULL, David John  
RYAN, Bradley Peter  
SIZER, Geoffrey David  
MOUTZOURIS, Peter

Continuation of Box 2

CARTER (NEW ZEALAND) LIMITED  
Markhams Christchurch  
Level Two  
190 Armagh Street  
Christchurch, New Zealand

PATENT

RECORDED: 11/07/2000

REEL: 011545 FRAME: 0869