

03-07-2001

FORM PTO-1584
01-10-96:CMGRECORDATION FORM COVER SHEET
PATENTS ONLYRCE
Office

Atty Ref/Docket No.: 723.033US1

2-2001

101628309

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John Kucharczyk
Michael E. Moseley

2. Name and address of receiving party(ies):

Name: Regents of the University of Minnesota

Address: University of Minnesota
University Gateway
200 Oak Street Southeast
Minneapolis, Minnesota 55455-2020Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Name: Board of Trustees of the Leland Stanford Junior University

Address: Office of Technology Licensing
900 Welch Road, Suite 350
Palo Alto, California 94304

Execution Date: November 20, 2000 and November 22, 2000.

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/566,798, filed May 8, 2000

Additional numbers attached? ☐ Yes ☒ No Attorney's Docket No. 723.033US1

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark A. Litman

Address: Mark A. Litman & Associates, P.A.
York Business Center
3209 West 76th St
Suite 205
Edina, MN 55435

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account (50-1391)8. Please charge any additional fees or credit any overpayments to our
Deposit account number: 50-1391

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark A. Litman/Reg. No. 26.390

Name of Person Signing: Mark A. Litman

Signature

Date

15 February 2001

Total number of pages including cover sheet: 5 Pages of Application

OMB No. 0651-0011 (exp. 4/94)

03/07/2001 00000006 501391 09566798

01 FC1581 40.00 CH

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
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Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT
REEL: 011548 FRAME: 0637

ASSIGNMENT

WHEREAS, I, John Kucharczyk, residing at 261 Wilson Avenue, Novato, California 94947 made certain new and useful inventions and improvements for which I executed an application for Letters Patent of the United States on May 8, 2000, Serial Number 09/566,798, which is entitled METHOD AND APPARATUS FOR USE WITH ACTIVE ELECTRODE AND DRUG DELIVERY CATHETER.

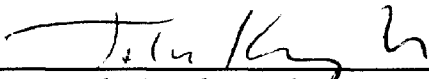
AND WHEREAS, Regents of the University of Minnesota, a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at University of Minnesota, University Gateway, 200 Oak Street Southeast, Minneapolis, Minnesota 55455-2020 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, consistent with the official policy of the Regents of the University of Minnesota with respect to inventorship compensation, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day of Nov., 2000.

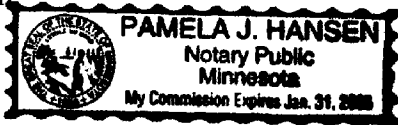


Name: John Kucharczyk

STATE OF Minnesota
COUNTY OF Hennepin ss.

On this 20th day of November, 2000 before me personally appeared John Kucharczyk to me

known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth



[SEAL]



Notary Public

ASSIGNMENT

WHEREAS, I, Michael E. Moseley, residing at 253 Sylvan Way, Redwood City, California 94062 made certain new and useful inventions and improvements for which I executed an application for Letters Patent of the United States on May 8, 2000, Serial Number 09/566,798, which is entitled METHOD AND APPARATUS FOR USE WITH ACTIVE ELECTRODE AND DRUG DELIVERY CATHETER.

AND WHEREAS, The Board of Trustees of the Leland Stanford Junior University, a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at Office of Technology Licensing, 900 Welch Road, Suite 350, Palo Alto, California 94304 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

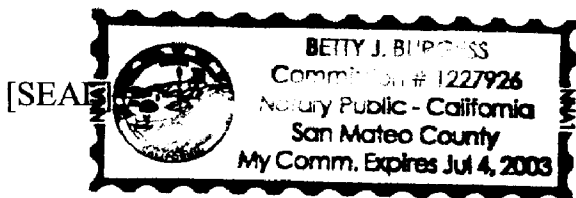
AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22 day of Nov, 2000.

Michael E. Moseley
Name: Micheal E. Moseley

STATE OF CA)
)ss.
COUNTY OF SANTA CLARA)

On this 22 day of November 2000 before me personally appeared Michael E. Moseley to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.



Betty J. Burgess 11/22/00
Notary Public