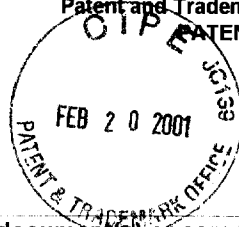


03-08-2001



101629832

U.S. Department of Commerce
Patent and Trademark Office



2.20.01

**RECORDATION FORM COVER SHEET
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error
Reel # Frame #

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☒ Assignment ☐ Security Agreement

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09493194

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Address (line 1)

Address (line 2)

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Correspondent Name and Address

Area Code and Telephone Number

202-586-2810

Name Betty A. Winchester

Address (line 1) MS 6F-067 (FORSTL), GC-62

Address (line 2) United States Department of Energy

Address (line 3) 1000 Independence Avenue, S. W.

Address (line 4) Washington, D. C. 20585-0162

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

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09/493,194

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

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Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

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Number of Properties

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1

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Fee Amount for Properties Listed (37 CFR 3.41): \$

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Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Betty A. Winchester

Name of Person Signing



Signature

February 16, 2001

Date

09/493,194

U.S. DEPARTMENT OF ENERGY
PATENT ASSIGNMENT FORM

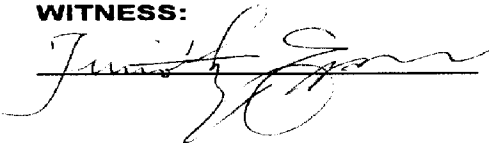
WHEREAS, I, James Lee Hendrix, a citizen of the United States residing in Livermore, County of Alameda, State of California, have invented certain new and useful improvements identified as "Polarization Selecting Optical Element Using A Porro Prism Incorporating A Thin Film Polarizer In A Single Element", which I am about to file an application for United States Letters Patent identified as S-82,336; and; executed by me on 11 January 2000; and;

WHEREAS, the Government of the United States desires to acquire the entire right, title, and interest in and to the said invention and in and to any Letters Patent wherever they may be issued thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I by these presents do sell, assign, and transfer unto the said Government of the United States, as represented by the United States Department of Energy, and/or its assigns, the entire right, title, and interest in and to the said invention and in and to any and all Letters Patent wherever they may be granted thereon as well as reissues and extensions of said Letters Patent, the same to be held and enjoyed by the said Government of the United States to the full end of the term or terms for which Letters Patent are or may be granted, reissued, or extended, as fully and entirely as the same would have been held or enjoyed by me had this assignment not been made.

I agree to make, execute, and deliver unto the Government of the United States, or to the United States Department of Energy, any and all papers, documents, affidavits, renewal, divisional and reissue applications, statements, or other instruments in such usual or other forms, terms, and contents as may be required by the United States Department of Energy, or its duly authorized representative, in or incident to the prosecution or conduct of any and all applications, before as well as after the issuance of any Letters Patent thereon, or in the adjustment or settlement of any interferences or other actions or proceedings that said applications may encounter or in which they may become involved, and I agree that I will aid the Government of the United States in every way in protecting the invention as may be requested by the United States Department of Energy or its assigns, except that any expenses arising through extending such assistance will be paid for by proper arrangement with the Government of the United States.

WITNESS:



INVENTOR:



11 January 2000
Date

Accepted for the Benefit of the Government of the United States.

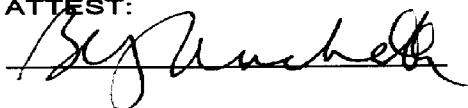
UNITED STATES DEPARTMENT OF ENERGY

By


Paul A. Gottlieb

Assistant General Counsel for Technology
Transfer and Intellectual Property

ATTEST:



Betty A. Winchester
Office of the Assistant General Counsel for
Technology Transfer and Intellectual
Property
U.S. Department of Energy
GC-62 (FORSTL) MS 6F-067
1000 Independence Avenue, S.W.
Washington, D. C. 20585

PATENT
REEL: 011554 FRAME: 0171

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

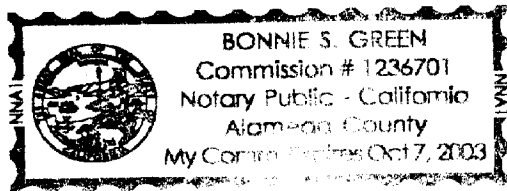
County of Alameda } ss.

On January 11, 00, before me, Bonnie S. Green,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared James L. Hendrix,
Name(s) of Signer(s)

☐ personally known to me
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Bonnie S. Green

Place Notary Seal Above

Signature of Notary Public

OPTIONAL

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Description of Attached Document

Title or Type of Document: U.S. Dept of Energy Patent Assignment DOE SF# 82,336

Document Date: 01/11/00 Number of Pages: 1 Form

Signer(s) Other Than Named Above: NONE

Capacity(ies) Claimed by Signer

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
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☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

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