

03-08-2001

FORM PTO-1596

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SHEET

U.S. DEPARTMENT OF COMMERCE

Docket No.: 50277-0014

101629837

2.23.01

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

## 1. Name of Conveying Party(ies):

Charles Simmons, Madhusudan Krishnapuram

Additional name(s) of conveying party(ies) attached? ☐ Yes

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: August 27 and 29, 1996

## 2. Name and address of receiving party(ies):

Name: Oracle Corporation

Internal Address:

Street Address: 500 Oracle Parkway

City: Redwood State/Country CA ZIP: 94065  
ShoresAdditional name(s) & address(es) attached? ☐ Yes

## 4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No(s).

U.S. Serial No. 08/669,689 filed June 24, 1996

03/08/2001 GTON11 00000010 08669689

01 FC:581

40.00 OP

## B. Patent No(s).

Additional numbers attached? ☐ Yes

## 5. Name and address of party to whom correspondence concerning

Document should be mailed:

Name: HICKMAN PALERMO TRUONG &amp; BECKER, LLP

Internal Address:

Street Address: 1600 Willow Street

City: San Jose State: CA Zip: 95125-5106

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41)

\$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

50-1302

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marcel K. Bingham, Reg. No. 42,327

February 20, 2001

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
 Box Assignments, Commissioner for Patents, Washington, D.C. 20231

on Feb. 20, 2001 by Trudy Bagdon  
 P4525

PATENT  
 REEL: 011554 FRAME: 0206

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We  
the undersigned, Charles Simmons, Madhusudan Krishnapuram and Hans Karten

hereby sell, assign, and transfer to Oracle Corporation

a corporation of Delaware, having a principal place of business at 500 Oracle Parkway,  
Redwood Shores, California 94065, ("Assignee"),  
and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and  
all foreign countries, in and to any and all improvements that are disclosed in the application for the United States  
patent that

         will be filed concurrently with this assignment, or

XX was filed on June 24, 1996, and assigned  
Serial Number 08/669,689,

and is entitled "METHOD AND APPARATUS FOR LOCK CACHING"

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other patent  
applications that have been or shall be filed in the United States and all foreign countries on any of said  
improvements; and in and to all original and reissued patents that have been or shall be issued in the United States  
and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said  
United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own  
name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns,  
and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will  
execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said  
improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said  
Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said  
improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or  
representatives in securing and maintaining proper patent protection for said improvements and for vesting title to  
said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its  
successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant,  
mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others  
by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

**Each Inventor: Please Sign and Date Below:**

<u>27 Aug</u> , 19 <u>96</u> Date	<u>Charles S.</u> Name: Charles Simmons
<u>29 Aug</u> , 19 <u>96</u> Date	<u>Madhusudan</u> Name: Madhusudan Krishnapuram
<u>        </u> , 19 <u>        </u> Date	<u>        </u> Name: Hans Karten
<u>        </u> , 19 <u>        </u> Date	<u>        </u> Name:
<u>        </u> , 19 <u>        </u> Date	<u>        </u> Name:

**Each Inventor: Please  
also list the date that  
you signed the  
accompanying  
DECLARATION AND  
POWER OF ATTORNEY:**

<u>27 Aug</u> , 19 <u>96</u> Date
<u>29 Aug</u> , 19 <u>96</u> Date
<u>        </u> , 19 <u>        </u> Date
<u>        </u> , 19 <u>        </u> Date
<u>        </u> , 19 <u>        </u> Date

State of:                          }  
County of:                          } SS.

**Assignment Document Return Address:**  
LOWE, PRICE, LEBLANC & BECKER  
50 W. San Fernando St., Suite 330  
San Jose, CA 95113 (408) 271-2300

On this          day of         , 19         , before me,   ,  
the undersigned Notary Public, personally appeared   ,  
[ ] personally known to me [ ] proved to me on the basis of satisfactory evidence to be the person(s) whose  
name(s)                          subscribed to the within instrument, and acknowledged that                          executed it.  
WITNESS my hand and official seal.

Notary's Signature

**RECORDED: 02/23/2001**

**PATENT**  
**REEL: 011554 FRAME: 0207**