

03-09-2001



U.S. DEPARTMENT OF COMMERCE
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Docket No.: 7529/1G164us1

To the Honorable Commissioner of Patents a

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inal documents or copy thereof.

1. Name of conveying party(ies):

Vikas B. PALHAN; Robert G. ROEDER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _

Execution Date: 2/6/01; 2/6/01

2. Name and address of receiving party(ies):

Name: **THE ROCKEFELLER UNIVERSITY**

Internal Address:

Street Address: **1230 York Avenue**

City: **New York** State: **New York** Zip: **10021-6399**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U. S. Serial No. 09/690,146
Filed: October 16, 2000

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: Paul F. Fehlner, Ph.D.

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Fehlner, Ph.D. (35,135)
Name of Person Signing

Signature

February 26, 2001
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
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PATENT
REEL: 011555 FRAME: 0038

ASSIGNMENT

India *Spall* 2/6/01

Vikas B. PALHAN, a citizen of the ~~United States of America~~; residing at 500 East 63rd Street, Apt. #22G, New York, NY 10021; and

14B *Spall* 2/6/01

Robert G. ROEDER, a citizen of the ~~United States of America~~; residing at 504 East 63rd Street, Apt. #36P, New York, NY 10021;

(hereinafter called "ASSIGNORS") in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration, receipt of which is hereby acknowledged, paid to us by

THE ROCKEFELLER UNIVERSITY

a corporation organized under the laws of New York, located at 1230 York Avenue, New York, New York 10021-6399, (hereinafter called the "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, all our right, title and interest, throughout the world, including priority rights, in and to our invention entitled:

SYSTEM FOR RAPID GENERATION OF RECOMBINANT BACULOVIRUS-BASED EXPRESSION VECTORS FOR SILKWORM LARVAE

invented by us, as co-inventors if other inventors are identified above, and described in Provisional Application Serial No. 09/690,146, filed October 16, 2000, and International Application No. PCT/US00/28909, filed October 16, 2000, and any and all non-provisional patent applications claiming priority thereto and Letters Patent therefor, and all divisions, reissues, continuations and extensions thereof, said interest being **our entire ownership interest** in said provisional patent applications and in any Letters Patent when granted, to be held and enjoyed by said ASSIGNEE, its successors, assigns or other legal representatives, to the full end of the term for which said Letters patent may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made;


And we hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal or reissue applications, or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said ASSIGNEE;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

For the consideration aforesaid, the ASSIGNOR has sold, assigned, transferred and set over and by these presents does sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, or the nominees of any of them, the entire right, title and interest in and to any and all Letters Patent for said invention which may be granted in countries foreign to the United States, and in and to any applications for Letters Patent which may be filed for said invention in countries foreign to the United States, and in and to the invention described in said applications including the priority right in the invention; and we hereby authorize and empower said ASSIGNEE and its successors, assigns or nominees, to apply for Letters Patent or other form of protection on said invention in its own name or in the name of its successors, assigns or nominees, in any or all countries where it may desire to file such application, and where said application may be filled by another than the inventor; and the ASSIGNORS hereby covenant and agree to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the procurement and maintenance of Letters Patent or other form of protection for said invention in countries foreign to the United States, and for further investing or confirming the right and title thereto in the ASSIGNEE, its successors, assigns or nominees, without charge to said ASSIGNEE, its successors, assigns or nominees, but at its expense.

We declare under penalty of perjury under the laws of the United States of America that we have signed this document as our own free act and that all of the foregoing is true and correct.

Dated: 2/6/01



Vikas B. FALHAN

Dated: 2/6/01



Robert G. ROEDER