

03-09-2001

U.S. DEPARTMENT OF COMMERCE

REC



101631067

Patent and Trademark Office
Docket No. 220002059700

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Thomas INNERARITY and Jan BOREN

Individual(s) Association
 General Partnership Limited Partnership
 Corporation-State Other

Additional name(s) of conveying party(ies) attached? Yes No

Handwritten initials

2. Name and address of receiving party(ies):

Name: The Regents of the University of California
 Internal Address: 1111 Franklin Street, 12th Floor
 Street Address: 1111 Franklin Street, 12th Floor
 City: Oakland, State: California ZIP: 94607-5200

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other:

Execution Date: February 6, 2001

Additional name(s) & address(es) attached? Yes No

FEB 6 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/265,222 filed on March 5, 1999
Additional numbers attached? Yes No

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Gladys H. Monroy
 Morrison & Foerster LLP
 755 Page Mill Road
 Palo Alto, California 94304-1018

6. Total number of applications and patents involved: one (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

Enclosed
 Authorized to be charged to deposit account, referencing Attorney Docket 220002059700, duplicate copy enclosed for fee processing

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Gladys H. Monroy
 Registration No: 32,430

Gladys H. Monroy
 Signature

02/22/01
 Date

Total number of pages comprising cover sheet, attachments and document: three (3)

Mail documents to be recorded with required cover sheet information to:
 U.S. Patent and Trademark Office
 Office of Public Records
 Box Assignments
 Crystal Gateway 4, Room 335
 Washington, D.C. 20231

pa-566566

ASSIGNMENT

U.C. Case No.: 98-136-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Thomas Innerarity
- 2. Jan Boren

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHODS AND TOOLS FOR IDENTIFYING COMPOUNDS WHICH MODULATE ATHEROSCLEROSIS BY IMPACTING LDL-PROTEOGLYCAN BINDING

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 09/265,222, filed on March 5, 1999.
- (d) _____ U.S. Patent No.: _____, issued _____

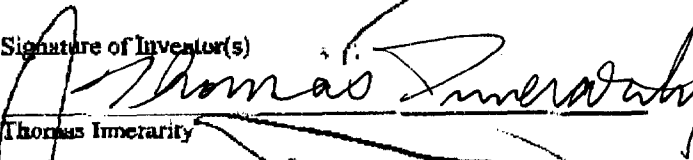
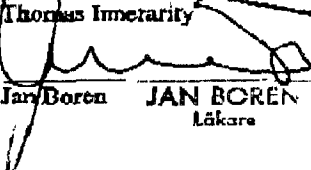
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this
 _____ day of 2/6 , 2001
 _____ day of 2/6 , 2001

Signature of Inventor(s)

 Thomas Innerarity

 Jan Boren JAN BOREN
 Läkare

pa-368631