

03-13-2001

Y

Attorney Docket Number  
8932-248

2-16-01

TO



ENTS AND TRADEMARKS

101632930

Please record the attached original documents or copy thereof.

2-16-01

## 1. Name of conveying party(ies):

Balaji S. RAMAMURTI, Barclay Ross DAVIS,  
G. Kris KUMAR, Thomas J. RUNCO,  
Thomas STEFFEN

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: SYNTHES (USA)

Address: 1690 Russell Road  
Paoli, Pennsylvania 19301

Country (if other than USA):

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: October 12, 2000

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/620,045

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP  
1667 K Street, N.W.  
Washington, D.C. 200066. Number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00  
Please charge to the deposit account listed in Section 8.8. Deposit account number:  
16-1150

03/08/2001 TDIA/1 00000067 161150 09620045

01 FC:501 40.00 CH

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

PAUL D. B. POISSANT 43,500  
Brian M. Poissant

28,462

Signature

February 16, 2001  
Date

Total number of pages including cover sheet:

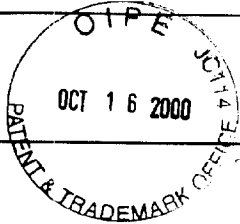
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Mail documents to be recorded with required cover sheet information to:  
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Washington, D.C. 20231

PATENT  
REEL: 011565 FRAME: 0413

11-21-2000

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Attorney Docket Number  
8932-0248-999TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS  
Box Assignment  
Washington, DC 20231

Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

BALAJI S. RAMAMURTI  
BARCLAY ROSS DAVIS  
G. KRIS KUMAR  
THOMAS J. RUNCO  
THOMAS STEFFENAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: SYNTHES AG CHURAddress: Grabenstrasse 15  
CH-7002 ChurCountry (if other than USA): Switzerland

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of  
Name☐ Other \_\_\_\_\_Name: SYNTHES (USA)Address: 1690 Russell Road  
Paoli, Pennsylvania 19301

Country (if other than USA): \_\_\_\_\_

Execution Date: October 12, 2000

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If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/620,045

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom  
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mailed:PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, NY 100366. Number of applications  
and patents involved: one7. Total fee (37 CFR 3.41):.....\$ 40.00  
Please charge to the deposit account listed in  
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16-1150**DO NOT USE THIS SPACE**

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Brian M. Poissant 28,462

Signature

Date

October 16, 2000

Total number of pages including cover sheet:

5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignment  
Washington, D.C. 20231**PATENT**  
**REEL: 011565 FRAME: 0414**

NY2 - 1125169.1

# ASSIGNMENT

WHEREAS, WE, **Balaji S. RAMAMURTI**, citizen of India, residing at 1100 West Chester Pike, #D30, West Chester, Pennsylvania 19382; **Barclay Ross DAVIS**, citizen of the United States, residing at 203 Wren Court, Downingtown, Pennsylvania 19335; **G. Kris KUMAR**, citizen of India, residing at 200 Piedmont Road, West Chester, Pennsylvania 19382; **Thomas J. RUNCO**, citizen of the United States, residing at 30 N. Waterloo Road #40A, Devon, Pennsylvania 19333; **Thomas STEFFEN**, citizen of Switzerland, residing at 373 Place D'Youville, Apt. 505, Montreal, Quebec H2Y 2B7 Canada, ASSIGNORS, are the inventors of the invention in **SAFETY RATCHET MECHANISM** for which we have executed an application for a Patent of the United States

- ☐ which is executed concurrently herewith
- ☒ which is identified by Pennie & Edmonds LLP docket no. **8932-0248-999**
- ☒ was filed on **July 20, 2000**, Application No. **09/620,045**

AND WHEREAS, **SYNTHE AG CHUR**, ASSIGNEE, having a registered office and place of business at Grabenstrasse 15, CH-7002 Chur, Switzerland, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application in all geographic locations except the United States and its possessions and territories, Canada and its possessions and territories, the Bahamas, and Bermuda, (hereinafter "the excluded geographic locations")

AND WHEREAS, **SYNTHE (USA)**, ASSIGNEE, a General Partnership existing under the laws of the Commonwealth of Pennsylvania and having a place of business at 1690 Russell Road, Paoli, PA 19301, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application in the excluded geographic locations:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over:

(1) unto the said **SYNTHE (USA)**, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention in the excluded geographic locations, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection in the excluded geographic locations, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country in the excluded geographic locations, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection in the excluded geographic locations, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country in the excluded geographic locations and all extensions, renewals and reissues thereof, and

(2) unto the said **SYNTHE AG CHUR**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention in all geographic locations except the excluded geographic locations; and all applications for industrial property protection in all geographic locations except the excluded geographic locations, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States in all geographic locations except the excluded geographic locations, together with the right to file such applications and the right to claim for the

same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection in all geographic locations except the excluded geographic locations, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the respective ASSIGNEES, their successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEES, their successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEES, their successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 10/2/, 2000 X Balaji S. Ramamurti L.S.  
Balaji S. Ramamurti

Date 12 OCT 00, 2000 Bardley Ross Davis L.S.  
Bardley Ross Davis

Date 03-OCT-2000, 2000 G. Kris Kumar L.S.  
G. Kris Kumar

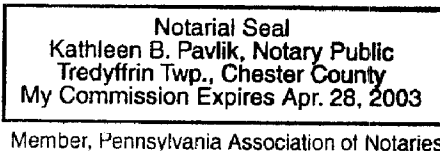
Date 03 OCT. 2000, 2000 X Thomas J. Runco L.S.  
Thomas J. Runco

State of Penn. )  
County of Chester ) SS.:

On 12/2/, 2000, before me, Balaji S. Ramamurti  
Notary Public, personally appeared BALAJI S. RAMAMURTI, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Kathleen B. Pavlik



State of Penna )  
County of Chester ) SS.:

On 10/12, 2000, before me, Barclay Ross Davis,  
Notary Public, personally appeared BARCLAY ROSS DAVIS, personally known  
to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument  
and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by  
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,  
executed the instrument.

WITNESS my hand and official seal

Kathleen B. Pavlik

Notarial Seal  
Kathleen B. Pavlik, Notary Public  
Tredyffrin Twp., Chester County  
My Commission Expires Apr. 28, 2003  
Member, Pennsylvania Association of Notaries

State of Penna )  
County of Chester ) SS.:

On 10/3, 2000, before me, G. Kris Kumar,  
Notary Public, personally appeared G. KRIS KUMAR, personally known to me on  
the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and  
acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by  
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,  
executed the instrument.

WITNESS my hand and official seal

Kathleen B. Pavlik

Notarial Seal  
Kathleen B. Pavlik, Notary Public  
Tredyffrin Twp., Chester County  
My Commission Expires Apr. 28, 2003  
Member, Pennsylvania Association of Notaries

State of Penna )  
County of Chester ) SS.:

On 10/3, 2000, before me, Thomas J. Runco,  
Notary Public, personally appeared THOMAS J. RUNCO, personally known to  
me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument  
and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by  
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,  
executed the instrument.

WITNESS my hand and official seal

Kathleen B. Pavlik

Notarial Seal  
Kathleen B. Pavlik, Notary Public  
Tredyffrin Twp., Chester County  
My Commission Expires Apr. 28, 2003  
Member, Pennsylvania Association of Notaries

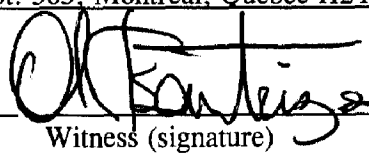
THOMAS STEFFEN

Inventor (print name)

Address: 373 Place D'Youville, Apt. 505, Montreal, Quebec H2Y 2B7 Canada

ANTHONY TSANTRIZOS

Witness (name)



Witness (signature)

(Date)

12-9-00

Date 12 Sept, 2000

THOMAS STEFFEN

L.S.