

03-13-2001

2/16/01

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp  
M&G- 50037.18US01/MS



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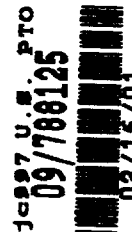
To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ROMAN SHERMAN  
SCOTT R. SHELL

2. Name and address of receiving party(ies):

MICROSOFT CORPORATION  
ONE MICROSOFT WAY  
REDMOND, WASHINGTON 98052



Additional name(s) of conveying party(ies) attached?  Yes  No

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other:
- Merger
- Change of Name

09788125

Execution Date: 2/15/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 2/15/01

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brett A. Hertzberg  
Address: Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903



6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00  
 Enclosed  
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8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brett A. Hertzberg

Name of Person Signing

Signature

2/16/01

Date

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PATENT  
REEL: 011568 FRAME: 0373

**ASSIGNMENT**

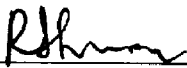
WHEREAS, we, Roman Sherman, and Scott R. Shell, (hereinafter referred to as ASSIGNORS), having post office addresses of 604 122<sup>nd</sup> Avenue NE, Bellevue, Washington 98005, and 8500 148<sup>th</sup> Avenue NE, Apt. D1012, Redmond, Washington 98052, respectively, are the joint inventors of an invention entitled "SYSTEM AND METHOD FOR MANAGING A SERIAL PORT," as described and claimed in the specification forming part of an application for United States Letters Patent executed herewith;

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a principal place of business at One Microsoft Way, Redmond, Washington 98052, is desirous of acquiring the entire right, title and interest in and to the invention, and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and

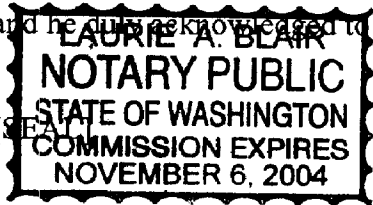
request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

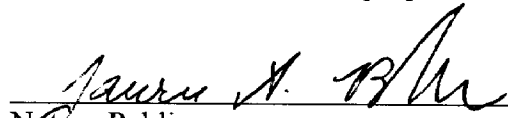
IN TESTIMONY WHEREOF, I have hereunto set my hand this 15<sup>th</sup> day of February, 2001.

  
\_\_\_\_\_  
ROMAN SHERMAN

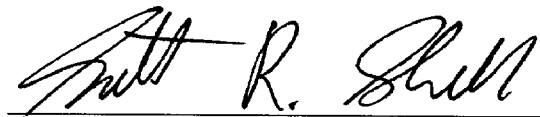
STATE OF WASHINGTON        )  
  ) ss.  
COUNTY OF KING            )

On this 15<sup>th</sup> day of February 15, 2001, before me personally appeared Roman Sherman, to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.



  
\_\_\_\_\_  
Notary Public

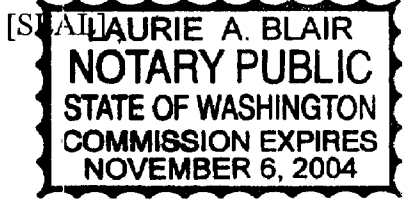
IN TESTIMONY WHEREOF, I have hereunto set my hand this 15<sup>th</sup> day of February, 2001.

  
\_\_\_\_\_  
SCOTT R. SHELL

STATE OF WASHINGTON        )  
  ) ss.  
COUNTY OF KING            )

On this 15 day of February, 2001 before me personally appeared Scott R. Shell, to me known and known to me to be the person described in and who executed the foregoing

instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.



*Laurie A. Blair*  
\_\_\_\_\_  
Notary Public



**27488**

PATENT TRADEMARK OFFICE