

03-15-2001



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. U.S. Department of Energy
1000 Independence Avenue, S.W.
Washington, D.C. 20585

2-26-01

Attorney Docket No.: IL-8608

2. Name and Address of receiving party(ies):

1. Regents of the University of California
Lawrence Livermore National Laboratory
P.O. Box 808, L-703
Livermore, CA 04551

FEB 26 2001

3. Nature of conveyance:

☒ Assignment

Execution Date: February 9, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new
application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,189,302 issued February 23, 1993

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Alan H. Thompson
Address: Lawrence Livermore Nat. Laboratory
Patent Group, L-703
Box 808, L-703
Livermore, CA 94551

6. Total Number of applications and patents involved:
ONE

7. Total fee \$ 40.00

☐ Enclosed

☒ Authorized charge deposit account

8. Deposit Account number:

12-0695

DO NOT USE THIS SPACE

03/14/2001 DEYRNE 00000070 120695 5189302
01 FD:581 40.00 CR

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9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy
is a true copy of the original document.

Nancy J. Stone

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet

1

March 1993

LLNL Patent Group

ASSIGNMENT AND CONFIRMATORY LICENSE

Title: Small System for Tritium Accelerator Mass
Spectrometry
Inventor(s): Mark L. Roberts et al.
Contract Number: W-7405-ENG-48
Contractor Docket No.: IL-8608
Contractor: Regents of the University of California
(Lawrence Livermore National Laboratory)

DOE Docket No. S-72,167 (RL-11190)
U.S. Patent Application S.N.: 07/850,642 01/783,803
Filing Date: October 28, 1991
U.S. Patent No.: 5,189,302
Issue Date: February 23, 1993
Class Waiver Number: W(C) 96-004-040

Foreign patent applications filed or intended to be filed at contractor's expense: None.

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract has been previously submitted to the U.S. Department of Energy by certification dated February 29, 1996.

WHEREAS, the above-identified invention is a subject invention under the above-identified contract;

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has been assigned the entire right, title and interest in and to the above-identified invention in the United States; and

WHEREAS, a waiver of Government rights in the above-identified invention, having an effective date of November 6, 2000, has been granted to the Contractor.

NOW, in conformity with said waiver of Government rights, the Government of the United States as represented by the U.S. Department of Energy, HEREBY ASSIGNS AND TRANSFERS to the Contractor the entire right, title and interest to the above-identified invention, including the above-identified patent applications and any resulting patents, EXCEPT FOR a paid-up license under the above-identified contract and the above-identified waiver and such other rights required under 10 CFR 784 to be retained or reserved to the Government.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights and the above-identified waiver, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon. This instrument is also confirmatory of the rights required to be granted to the Government under 10 CFR 784 where a waiver is granted to an invention, patent application, and any resulting patent, and of all other rights reserved to the Government under 10 CFR 784.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Approved and granted on behalf of the Government of the United States.

UNITED STATE DEPARTMENT OF ENERGY

By:

Paul A. Gottlieb 02/9/01
Paul A. Gottlieb Date
Assistant General Counsel for Technology
Transfer and Intellectual Property

Witness:

Gwendolyn H. Banks

Approved, accepted and consented to on behalf of The Regents of the University of California

Witness:

Nancy J. Stone
(Signature)

By:

Janet G. Tulk 1/22/04
(Official's Signature) (Date)

Nancy J. Stone, Administrative Specialist

(Print/Type Name and Title)

Janet G. Tulk, Laboratory Counsel

(Print/Type Official's Name and Title)

PATENT

RECORDED: 02/26/2001

REEL: 011571 FRAME: 0968