

03-14-2001

Form PTO 1595

RECO



S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patent:

1 original documents or copy thereof

1. Name of conveying party(ies):

101636783

Name of receiving party(ies):

David K. Carlson

EXECUTION DATE

Name: Applied Materials, Inc.

Dale R. Du Bois

02/16/2001

Internal Address: Legal Affairs Department - M/S 2061

02/16/2001

Street Address: P. O. Box 450A

City: Santa Clara State: CA Zip: 95052

Additional name(s) of conveying Part(ies) attached? Yes: No:XX

Additional Name(s) &amp; Address(es) attached? Yes: No: XX

3. Nature of conveyance;

XX - Assignment

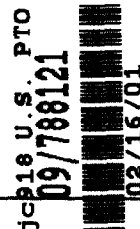
Merger

Security Agreement

Change of Name

Other

Execution Date: See Above



4. Application number(s) or patent number(s): Not yet assigned

If this document is being filed together with a new application, the execution date of the application is: Herewith on 2-16-2001

A. Patent Application No.(s)

B. Patent No.(s)

Serial No.: Not yet assigned

Filed: Herewith

Additional Numbers attached? Yes: No:XX

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: PATENT COUNSEL

Internal Address: Applied Materials, Inc.

Legal Affairs Department - M/S 2061

Street Address: P. O. Box 450A

City: Santa Clara State: CA Zip: 95052

6. Total number of applications and patent involved: 1

7. Total Fee (37 CFR 3.41)

\$40.00

9 Enclosed

: Authorized to be charged to deposit account

8. Deposit Account Number:

50-1074

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and any attached copy is a true copy of the original document.

Robert W. Mulcahy, Reg. No.: 25,436

Name of Person Signing

Signature

Date

10. Total number of pages comprising cover sheet, attachments, and document: 3

Mail documents to be recorded with the required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignment

Washington, D.C. 20231

PATENT  
REEL: 011573 FRAME: 0310

**ASSIGNMENT FOR APPLICATION FOR PATENT**

WHEREAS:

Names and Addresses of Inventors:

1)	David K. Carlson 2308 Dundre Drive Santa Clara, CA 95051	2)	Dale R. Du Bois 14285 Mulberry Avenue Los Gatos, CA 95032
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(hereinafter referred to as Assignors), have invented a certain invention entitled:

**METHOD AND A SYSTEM FOR SEALING AN EPITAXIAL SILICON LAYER ON A SUBSTRATE**for which application for Letters Patent in the United States was filed on 2-16-01,under Serial No. Unknown, executed on even date herewith; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as the Application), and the invention disclosed therein (hereinafter referred to as the Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as the Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) 2/16, 2001

David K. Carlson  
David K. Carlson

2) 2/16/, 2001

Dale R. DuBois  
Dale R. Du Bois