

03-14-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101635333

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

Case No. 1/1116

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
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- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Execution Date
Month Day Year
 01/23/01

Name (line 1) Matthias Grauert

Name (line 2)

Second Party

Name (line 1) Adrian Carter

Name (line 2)

Execution Date
Month Day Year
 01/23/01

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Boehringer Ingelheim Pharma KG

Name (line 2)

Address (line 1) 173 Binger Strasse

Address (line 2)

Address (line 3) Ingelheim

City

Germany

State/Country

55216

Zip Code

☐ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Boehringer Ingelheim Corp.

Address (line 1)

Address (line 2) 900 Ridgebury Road, P.O. Box 368

Address (line 3) Ridgefield, CT 06877

Address (line 4)

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PATENT
REEL: 011573 FRAME: 0504

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Area Code and Telephone Number

Name

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Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

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Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes



No



Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Timothy X. Witkowski

Name of Person Signing

Signature

Date

Case No. 1/1116

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CONTINUATION
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Case No. 1/1116
Page 1 of 2

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Patent and Trademark Office
PATENT

Conveying Party(ies)



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Enter additional Conveying Parties

Name (line 1) Thomas Weiser

Execution Date
Month Day Year

01/24/01

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Helmut Ensinger

01/23/01

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Wolfram Gaida

01/29/01

Name (line 2)

Receiving Party(ies)



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Name (line 1)



If document to be recorded
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is attached. (Designation
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Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Application Number(s) or Patent Number(s)



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Patent Application Number(s)

Patent Number(s)

RECORDATION FORM COVER SHEET
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Case No. 1/1116
Page 2 of 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Joachim Mierau

Execution Date
Month Day Year

01/23/01

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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Name (line 2)

Address (line 1)

Address (line 2)

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Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

In consideration of one Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledge, I (We), the undersigned,

Mattias GRAUERT
a citizen of Germany, and resident of
In der Doerrwiese 62, 55218 Ingelheim, Germany

Adrian CARTER
a citizen of Great Britain, and resident of
Pfarrer-Heberer-Strasse 34a, 55411 Bingen, Germany

Thomas WEISER
a citizen of Germany, and resident of
Sommer-Winter-Hohl 8b, 55268 Nieder-Olm, Germany

Helmut ENSINGER
a citizen of Germany, and resident of
Magdeburger Strasse 54, 55218 Ingelheim, Germany

Wolfram GAIDA
a citizen of Germany, and resident of
Selztalstr. 77b, 55218 Ingelheim, Germany

Joachim MIERAU
A citizen of Germany, and resident of
An den Weiden 3, 55217 Mainz, Germany

hereby sell, assign and transfer to, Boehringer Ingelheim Pharma KG, a corporation of Federal Republic of Germany, having a place of business at, 173 Binger Strasse, D-55216 Ingelheim, Federal Republic of Germany, its successors, assigns and legal representatives, our entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent which is entitled:

**SUBSTITUTED 1,2,3,4,5,6-HEXAHYDRO-2, 6-METHANO-3-BENZAZOCINES
AND THEIR USE AS PHARMCEUTICAL COMPOSITIONS**



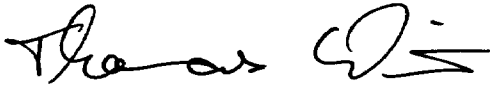

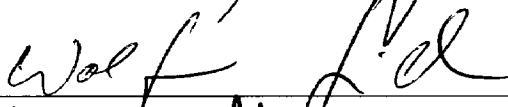
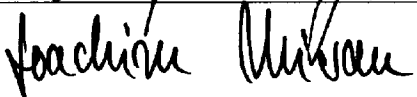
which

- ☐ was executed by me (us) on
- ☒ was filed on October 30, 2000, and accorded Serial No.: 09/699,748

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States on any of said improvements, and in and to all original and reissued patents which have been or shall be issued in the United States on said improvements;

Agree said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof, generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applicants for patents and all patents on said improvements in said Assignee, its successors, assigns and legal representative; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant mortgage license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

SIGNATURE OF APPLICANT	DATE
Matthias GRAUERT 	01/23/01
Adrian CARTER 	01/23/01
Thomas WEISER 	01/24/01
Helmut ENSINGER 	01/23/01
Wolfram GAIDA 	01/29/01
Joachim MIERAU 	01/23/01