03-15-2001 

101637260

**U.S. Department of Commerce Patent and Trademark Office PATENT** 

## **ECORDATION FORM COVER SHEET**

 $\kappa \leq k \leq 1/3$ 

	PATENTS ONLY
	s: Please record the attached original document(s) or copy(ies).
Submission Type 3-5-0	Conveyance Type
New	Assignment Security Agreement
Resubmission (Non-Recordation) Document ID#	License Change of Name
Correction of PTO Error Reel # Frame #	Merger
Corrective Document	U.S. Government (For Use ONLY by U.S. Government Agencies)
Reel # Frame #	Departmental File Secret File
Conveying Party(ies)	Mark if additional names of conveying parties attached Execution Date Month Day Year
Name (line 1) American Superconductor Corporation	n 01/28/2001
Name (line 2)	Formula Data
Second Party	Execution Date  Month Day Year
Name (line 1)	
Name (line 2)	
Receiving Party	Mark if additional names of receiving parties attached
Name (line 1) United States Department of Energy	If document to be recorded is an assignment and the receiving party is not
Name (line 2)	domiciled in the United States, an appointment of a domestic
Address (line 1) 1000 Independence Avenue	representative is attached. (Designation must be a separate document from Assignment.)
Address (line 2)	
Address (line 3) Washington	D.C. USA  State/Country  Zip Code
Domestic Representative Name and A	State South
Domestic Representative Name and A	Enter for the first receiving rary stary.
Name	
Address (line 1)	
Address (line 2)	
Address (line 3)	
Address (line 4)	
	FOR OFFICE USE ONLY
	Cours Shoet to be recorded, including time for reviewing the document

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington,
D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to:

Commissioner of Patents and Trademarks, Box Assignments, Washing

FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Ado	iress Area Code and Telephone Number	630-252-2393
Name Robert J. Fisher		
Address (line 1) U.S. Department of Energ	gy	
Address (line 2) Office of Intellecual Prope	erty Law	
Address (line 3) 9800 S. Cass Ave		
Address (line 4) Argonne, IL 60439		
Pages Enter the total number including any attach	er of pages of the attached conveyance docum	nent # 01
Application Number(s) or Pate	· · · · · · · · · · · · · · · · · · ·	additional numbers attached
Enter either the Patent Application Numb Patent Application Numb	ber or the Patent Number (DO NOT ENTER BOTH numbers ber(s) Pater	or the same property). nt Number(s)
09/616,810		
	Detect Application enter the date the patent applica	Month Day Year
If this document is being filed together with was	a new Patent Application, enter the date the patent application	
Patent Cooperation Treaty (PC	PCT PCT	PCT
Enter PCT application nul only if a U.S. Application	mber	PCT
has not been assigned.	realiser per	
Number of Properties Enter	the total number of properties involved.	<b>*</b>
Fee Amount Fee	Amount for Properties Listed (37 CFR 3.41):	0.00
Method of Payment:	Enclosed Deposit Account	
Deposit Account (Enter for payment by deposit account)	unt or if additional fees can be charged to the  Deposit Account Number:	#
	Authorization to charge additional fees:	Yes No

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Mark P. Dvorscak	MUMBIA
ame of Person Signing	Signatu

FEB 2 3 2001

Date

**PATENT REEL: 011574 FRAME: 0237** 

## **CONFIRMATORY LICENSE**

(Nonprofit Organizations or Small Business Firms)

Title : Multi-Layer Articles and Methods of Making Same

Inventor(s) : Leslie G. Fritzemeier, Wei Zhang, Walter C. Palm, Martin W. Rupich

Serial No. : 09/616,810 Filing Date (U.S.): July 14, 2000

Contractor : American Superconductor Corporation

DOE Contract No.: **DE-FG02-97ER82324** 

DOE Case No. : S- 93,119

Patent Rights Clause No.: DEAR 952.227-11

Foreign Applications filed in or intended to be filed at Contractor's expense in (countries):

PCT designating all countries and regions except the United States

The Contractor, having the right to retain title to Subject Inventions as a result of Public Law 96-517, has reported the above-identified invention as a Subject Invention to DOE with its election to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States, required by the Public Law and the above-identified contract, in this Subject Invention, patent application and any resulting patent, as well as any continuation, divisional, reissue, supplemental or continuation-in-part thereof, throughout the world and of all other rights acquired by the Government by the above-identified patent rights clause, a copy of which is attached hereto and incorporated by reference herein. The undersigned certifies the attached copy to be a true copy of said clause. It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified Subject Invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

	11
(SEAL)	Signed this Z g day of fm, 2001.
,	American Superconductor Corporation
Alexis	By: McContractor)  P. Malozemoff (Contractor's Official and Title) Chief Technical Officer  Two Technology Drive, Westborough, MA 01581

PATENT REEL: 011574 FRAME: 0238

ERTIFICATE OF In policant(s):	MAILING BY FIRST CLA	SS MAIL (37 CFR 1.8	3)	Docket No. S-93,119
Serial No. 09/616,810	Filing Date July 14, 2000	Examiner		Group Art Unit
ention:	Multi-Layer Articles	and Methods of Making S	Same	
Lhoroby cortify that thi	ie DECODDATION FORM	COVED SHEET FOD CO	NEIDMAT	ODV I ICENSE
		(Identify type of corresponde	nce)	
is being deposited wi	is <u>RECORDATION FORM</u> th the United States Postal Serents and Trademarks, Washington	(Identify type of corresponde	nce)	ope addressed to: The
	th the United States Postal Ser	(Identify type of corresponde rvice as first class mail in on, D.C. 20231-0001 on	n an envelo	ope addressed to: The  2 8 2001  (Date)

Note: Each paper must have its own certificate of mailing.

P07B/REV03

∟≺∕? RECORDED: 03/05/2001