

03-15-2001

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101638082

Y

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tigran GALSTIAN

Additional names of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: February 26, 2001

2. Name and address of receiving party(ies):

Name: UNIVERSITÉ LAVAL

Internal Address: _____

Cité Universitaire, Sainte-Foy,

Québec, CANADA G1K 7P4

Street Address: _____

SAME AS ABOVE

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application Number 09/726,536

B. Patent No.(s)

Application filed herewith

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

SWABEY OGILVY RENAULT
1981 McGill College Ave., Suite 1600
Montreal, Quebec, Canada H3A 2Y3
Tel. (514) 845-7126

Attorney Docket No.: 6013-64us-3

6. Total number of application and patents involved:

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Any deficiencies in enclosed fees are authorized to be

8. Deposit account number: 19-5113

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James Anglehart, Reg. 38,796

Name of Person Signing

Signature

March 6, 2001

Date

Total number of pages comprising cover sheet:

03/08/2001 SDENB001 00000091 09726536

03 FC:581

40.00 OP

ASSIGNMENT OF INVENTION UNIVERSAL – INVENTOR

WHEREAS, I/We, Tigran GALSTIAN

whose full post office address is 2356 Jean-Durand Street, Apt. 6, Ste-Foy, Quebec,

CANADA G1V 4V7

invented certain improvements in NON-RECIPROCAL OPTICAL ELEMENT FOR PHOTONIC
DEVICES

and described in

☒ a patent application bearing the same title

☐ a US patent application executed on _____

☒ a US patent application serial number 09/726,536 filed on December 1, 2000

☐ _____ patent number _____ granted on _____

and WHEREAS, UNIVERSITÉ LAVAL

of Cité Universitaire, Ste-Foy, Quebec, CANADA G1K 7P4

(hereinafter referred to as the assignee), is desirous of securing the entire legal right, title and interest in and to said invention or inventions and in and to any and all patents obtained and to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other valuable consideration, the receipt of which is hereby acknowledged,

☐ I/We by these presents, do hereby sell, assign and transfer

☒ I/We hereby confirm that effective the date of my/our invention of the said invention or inventions, I/We did sell, assign and transfer, by virtue of an employment agreement or other form of contract or agreement entered into with said assignee prior to making the said invention or inventions,

☐ I/We hereby confirm that effective _____, I/We sold, assigned and transferred unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid patent or application, in any form or embodiment thereof, and in and to the aforesaid patent or application; and in and to any patents or applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, continuation-in-part, nonprovisional, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/We hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We further agree without any payment by said assignee other than expenses incurred by the undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any interference, litigation or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal representatives, administrators and assigns.

Le(s) soussigné(s) désire(nt) que la présente cession soit en anglais. The undersigned request(s) that the present assignment be in English.

Place: Quebec

Inventor Signature: _____

Date: 26.02.01

Inventor Name: Tigran GALSTIAN

ASSIGNMENT OF INVENTION
UNIVERSAL - INVENTOR

-2-

Declaration of Witness

I, Louise Dubois hereby declare that I was personally present and did see Tigran GALSTIAN, who is personally known to me, duly sign and execute the foregoing assignment.

Louise Dubois
Signature of Witness