FORM PTO-1595 (Modified) (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94) RECORDATION FORM C

03-20-2001



PARTMENT OF COMMERCE

Patent and Trademark Office

101641222

To the Honorable Commissioner of Prier is and Tr	ademarks: Please record the attached original documents or copy thereof.			
1. Name of Conveying party (ies):	2. Name and address of receiving party (ties):			
KVAENER U.S. INC. 124 Roddy Avenue South Attleboro, MA 02703	Name: BERINGER LLC			
South Attleboro, MA 02703	Internal Address:			
Additional name(s) of conveying party(ies) Yes No				
3. Nature of conveyance:  ☐ Assignment ☐ Merger	Street Address: Beringer Way; Peach Highlands			
<ul> <li>☐ Security Agreement</li> <li>☐ Change of Name</li> <li>☐ Other Corrective Application</li> </ul>	City: <u>Marblehead</u> State: <u>MA</u> ZIP: <u>01945</u>			
Execution Date: December 30, 1998	Additional name(s) & address(es) attached? ☐ Yes ☒ No			
4.Application number(s) or registration number(s):				
If this document is being filed together with a new application,	the execution date of the application is:			
A. Patent Application No. (s)	B. Patent No. (s) <b>5,439,589</b>			
Additional numbers attached?	□ Yes ⊠ No			
5.Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1			
Name: John K. Harrop	7. Total fee (37 CFR 3.41)\$40.00			
Internal Address: DORSEY & WHITNEY LLP	☐ Enclosed—Any excess or insufficiency should be credited or debited to deposit account			
	☐ Authorized to be charged to deposit account			
Street Address: 1001 Pennsylvania Avenue, N.W.	8. Deposit account number:			
Suite 300 South	·			
City: Washington State: D.C. ZIP: 20004	04-1425			
DO NOT USE THIS SPACE				
9. Statement and signature.				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
John K. Harrop, Reg. No. 41,817  Name of Person Signing	Signature March 13, 2001 Date			
	dding cover sheet, attachments, and document: 6			
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FORM PTO-1595 RE	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office Patent and Trademark Office Patent and Trademark Office
To the Honorable Commissioner of Patents and Tradequeks	Please record the attached original documents or copy thereof.
1. Name of conveying party(ies):	2. Name and address of receiving party (les): 4 1999
Kvaerner U.S. Inc. 124 Roddy Avenue South Attleboro, MA 02703 Additional name(s) of conveying party(ies) attached.  No. 1 3 2001	Name: Beringer LLC Internal Address:
3. Nature of conveyance:	
☑ Assignment □ Merger	Street Address Beringer Way; Peach Highlands
☐ Security Agreement ☐ Change of Name	
□ Other	City: Marblehead State: MA ZIP: 01945
Execution Date: December 30, 1998	Additional name(s) and address(es) □ Yes ☑ No
If this document is being filed together with a new application A. Patent Application No.(s) 08/950,671	B. Patent No.(s)
A. Patent Application No.(s)	
A. Patent Application No.(s) 08/950,671	B. Patent No.(s) 4,237,014; 4,359,387; 4,814,186; 5,215,763; 5,439,581;
A. Patent Application No.(s) 08/950,671  Additional numbers attached?  5. Name and address of party to whom correspondence	B. Patent No.(s)  4,237,014; 4,359,387; 4,814,186; 5,215,763; 5,439,581;  ☐ Yes ☒ No  6. Total number of applications and
A. Patent Application No.(s)  08/950,671  Additional numbers attached?  5. Name and address of party to whom correspondence concerning document should be mailed:	B. Patent No.(s)  4,237,014; 4,359,387; 4,814,186; 5,215,763; 5,439,581;  ☐ Yes ☒ No  6. Total number of applications and registrations involved:
A. Patent Application No.(s)  08/950,671  Additional numbers attached?  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Jocelyn G. Bolling	B. Patent No.(s) 4,237,014; 4,359,387; 4,814,186; 5,215,763; 5,439,581;  ☐ Yes ☒ No  6. Total number of applications and registrations involved:
A. Patent Application No.(s)  08/950,671  Additional numbers attached?  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Jocelyn G. Bolling	B. Patent No.(s)  4,237,014; 4,359,387; 4,814,186; 5,215,763; 5,439,581;  ☐ Yes ☒ No  6. Total number of applications and registrations involved:
A. Patent Application No.(s)  08/950,671  Additional numbers attached?  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Jocelyn G. Bolling  Internal Address: Dorsey & Whitney LLP	B. Patent No.(s)  4,237,014; 4,359,387; 4,814,186; 5,215,763; 5,439,581;  ☐ Yes ☒ No  6. Total number of applications and registrations involved:
A. Patent Application No.(s)  08/950,671  Additional numbers attached?  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Jocelyn G. Bolling  Internal Address: Dorsey & Whitney LLP  Street Address:  1330 Connecticut Avenue NW; Suite 200  City: Washington State DC ZIP: 20036	B. Patent No.(s) 4,237,014; 4,359,387; 4,814,186; 5,215,763; 5,439,581;  Per No  6. Total number of applications and registrations involved:  7. Total fee (37 CFR 3.41):  Enclosed  Authorized to be charged to deposit account  8. Deposit account number:  (For Any Deficiency) 04-1425  (Attach duplicate copy of this page if paying by deposit account)
A. Patent Application No.(s)  08/950,671  Additional numbers attached?  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Jocelyn G. Bolling  Internal Address: Dorsey & Whitney LLP  Street Address:  1330 Connecticut Avenue NW; Suite 200  City: Washington State DC ZIP: 20036	B. Patent No.(s)  4,237,014; 4,359,387; 4,814,186; 5,215,763; 5,439,581;   Yes No  6. Total number of applications and registrations involved: 6  7. Total fee (37 CFR 3.41): \$240  Enclosed  Authorized to be charged to deposit account  8. Deposit account number:  (For Any Deficiency) 04-1425  (Attach duplicate copy of this page if paying by deposit account)  SE THIS SPACE

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments

Washington, D.C. 20231

(Form PTO—1595—Recordation Form Cover Sheet [16-5]—page 1 of 3)

### ASSIGNMENT OF PATENTS FROM KVAERNER U.S. INC. TO BERINGER LLC

WHEREAS, KVAERNER U.S. INC., a Delaware corporation ("ASSIGNOR"), presently holds all right, title and interest to the patents set forth in Appendix A hereto which are registered in the United States Patent and Trademark Office under the application or registration numbers listed, together with the inventions claimed therein (collectively, the "Patents and Inventions");

WHEREAS, BERINGER LLC, a Delaware limited liability company ("ASSIGNEE"), is desirous of acquiring said Patents and Inventions;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR sells, assigns, transfers to ASSIGNEE the entire right, title, and interest in and to the Patents and Inventions set forth in Appendix A, and any reissues thereof, together with the right to sue and recover for the past infringements of the Patents and Inventions, the same to be held and employed by ASSIGNEE for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment not been made.

FURTHER, ASSIGNOR agrees to execute and provide promptly after its execution hereof such further instruments and documents or assignments as may be reasonably necessary to effectuate the purpose of this transaction and to consolidate, vest and record in ASSIGNEE, ASSIGNOR'S rights in and to the Patents and Inventions.

IN WITNESS WHEREOF, ASSIGNOR has executed this Assignment of Patents as of the day of December, 1998.

KVAERNER U.S. INC

Name:

Title:

SUBSCRIBED AND SWORN TO

before me this 30th day

of December, 1998.

OFFICIAL SEAL
JENNIFER L. SMITH
NOTARY PUBLIC, STATE OF ILLINOIS

MY COMMISSION EXPIRES 5-1-2000

otary Public

## APPENDIX A

## I. <u>Patents</u>

Patent No.	Title	Issue Date
4,237,014	FLOWABLE MATERIAL PASSAGE WITH INTERPOSABLE SLIDE MEMBER	12/02/80
4,359,387	FLOWABLE MATERIAL PASSAGE WITH INTERPOSABLE SLIDE MEMBER	11/16/82
4,814,186	POLYMER FILTRATION APPARATUS	03/21/89
5,215,763	WATER RING PELLETIZER	06/01/93
5,439,58 <b>/9</b> 5	SEALING MEANS FOR SLIDE PLATE SCREEN CHANGER	08/08/95
4,424,080	PROCESS FOR HYDROLYSIS REMOVAL OF CONDENSATION POLYMER FOR VARIOUS OBJECTS*/	01/03/84
4,511,472	APPARATUS FOR CONTINUOUS POLYMER FILTRATION"	04/16/85

# II. Patent Application

App. No.	Title	_
08/950,671	SCREEN CHANGER WITH CONTROLLED GAP	_

893968

±' This patent has expired.

August 8, 1995

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Issued:

Applicant:

Timothy W. Whitman

Patent No.:

5,439,589

Filed:

May 9, 1994

Title:

SEALING MEANS FOR SLIDE PLATE SCREEN CHANGER

MAR 1 3 2001

#### BOX ASSIGNMENT BRANCH

Commissioner for patents Washington, D.C. 20231

### REQUEST FOR CORRECTED ASSIGNMENT

Sir:

The Assignment as it appears on the Notice of Recordation of Assignment Document dated January 14, 1999 at reel/frame No. 9711/0390 is incorrect. The assignment recordation was inadvertently recorded listing patent number **5,439,581**. The correct patent number should have been recorded as **5,439,582**. Accordingly, please find enclosed a new Recordation Form Cover Sheet and copies of the previously recorded Assignment to record the above-identified patent listing the correct patent number.

In view of the above request for corrected Assignment, the undersigned respectfully requests the Commissioner for Patents to issue a Notice of Recordation of Assignment Document in connection with the above-identified patent. The Assignment Branch is hereby authorized to charge any underpayment or credit any overpayment to Deposit Account 04-1425.

Date: March 13, 2001

Respectfully submitted,

John K. Harrop, Reg. No. 41,817

DØRSEY & WHITNEY LLP

1001 Pennsylvania Avenue, N.W.

Suite 300 South

Washington, DC 20004

Tel. (202) 824-8859 Fax (202) 824-8990

PATENT

**REEL: 11575 FRAME: 0861** 



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APRIL 21, 1999

DORSEY & WHITNEY LLP JOCELYN G. BOLLING 1330 CONNECTICUT AVNEUE NW, SUITE 300 WASHINGTON, DC 20030

PTAS

ADD 2 7 1999

UNITED STATES PATENT AND TRADEMARK OFFICE UUSUUUS NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT DORSEY & WHITNE

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/14/1999

REEL/FRAME: 9711/0390

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KVAERNER U.S. INC.

DOC DATE: 12/30/1998

ASSIGNEE:

BERINGER LLC

BERINGER WAY; PEACH HIGHLANDS MARBLEHEAD, MASSACHUSETTS 01945

SERIAL NUMBER: 08950671

FILING DATE: 10/16/1997

ISSUE DATE:

PATENT NUMBER:

SERIAL NUMBER: 06019159

FILING DATE: 03/12/1979

PATENT NUMBER: 4237014

ISSUE DATE: 12/02/1980

SERIAL NUMBER: 06140006

FILING DATE: 04/14/1980

PATENT NUMBER: 4359387

ISSUE DATE: 11/16/1982

SERIAL NUMBER: 07162052 PATENT NUMBER: 4814186 FILING DATE: 02/29/1988

ISSUE DATE: 03/21/1989

9711/0390 PAGE 2

SERIAL NUMBER: 07711923 FILING DATE: 06/07/1991 PATENT NUMBER: 5215763 FILING DATE: 06/01/1993

SERIAL NUMBER: 08224160 FILING DATE: 04/07/1994 PATENT NUMBER: 5439581 ISSUE DATE: 08/08/1995

LAWAN FLETCHER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

RECORDED: 03/13/2001