03-15-2001 U.S. Department of Commerce **FORM PTO-1595** ET Patent and Trademark Office (Rev. 6-93) Attorney Docket No. D976 OMB No. 0651-001 (exp. 4/94) 101637378 attached original documents or copy thereof. To the Honorable Commissions 2. Name and address of receiving party(ies): Name of conveying party(ies): ADVANCED MICRO DEVICES, INC. KINOSHITA, Hiroyuki One AMD Place HU, YongZhong P.O. Box 3453 SUN, Yu Sunnyvale, CA 94088-3453 WANG, Fei 2-6-0 Additional name(s) of conveying party(ies) Additional name(s) & address(es) attached? □Yes ⊠No ⊠No 3b. Submission Type: 3a. Nature of Conveyance: Reel # 011248 Frame # 0964 Security Agreement ☐ Other FFR - 6 2001 Execution Date(s): 05/26/00, 06/23/00, 05/26/00, 06/19/00, 05/26/00 Application number(s) or patent number(s): If this document is being filed together with a new application, execution date of the application is: 08/21/00 B. Patent No(s). A. Patent Application No(s). Serial No.: Date Filed: Additional numbers attached? NO Total number of applications and patents Name and address of party to whom correspondence concerning document should involved: 1 be mailed: 6. Total Fee (37 CFR 3.41): <u>\$40.00</u> Mikio Ishimaru Law of Mikio Ishimaru Enclosed 1046 Pinenut Court Sunnyvale, CA 94087-1842 account 7. Deposit Account Number: 01-0365 **Customer No 22898** (Attach duplicate copy of this page if paying by deposit account) 0<u>0000152 010355 09685988</u> 4/2001 0 DO NOT USE THIS SPACE 8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. February 6, 2001 White Set Mikio Ishimaru Signature Name of Person Signing Total number of pages including cover sheet, attachments, and document: 4 Do not detach this portion Mail document to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks **Box Assignment** Washington, D.C. 20231 (From PTO-1595-Recordation Form Cover Sheet - page 1 of 1

0:581

PATENT REEL: 011576 FRAME: 0930

PATENT Docket No.: D976

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kinoshita, Hiroyuki

Serial No.: 09/685, 968 : Assignment Division

Filed: October 10, 2000 : Reel/Frame: 011248/0708 For: METHOD FOR FORMING SELF-ALIGNED CONTACTS AND LOCAL INTERCONNECTS USING SELF-ALIGNED LOCAL INTERCONNECTS

TRANMITTAL AND REQUEST FOR CORRECTION TO RECORDATION OF **ASSIGNMENT**

U.S. Patent and Trademark Office Assignment Division Box Assignments, CG-4 1213 Jefferson Davis Hwy. Suite 320 Washington, D.C. 20231

Dear Sir or Madam:

Enclosed is a copy of the following documents.

- Transmittal and Request for Correction to Recordation of Assignment
- Recordation Cover Sheet w/ duplicate copy
- Copy of Assignment
- Notice of Recordation of Assignment of January 22, 2000
- Acknowledgement Postcard

There is an error with respect to the following, which is incorrectly recorded.

Error in

3rd Assignor's Name is wrong

Correct data

Mikio Shiman

SUN, Yu

Payment of the fee's by deposit account as indicated on the Recordation Cover Sheet.

Respectfully submitted,

Mikio Ishimaru

Registration No. 27,449

Express Mail: EL735224192US The Law Offices of Mikio Ishimaru

1110 Sunnyvale-Saratoga Rd., Ste. A1 Sunnyvale, CA 94087

Telephone: (408) 738-0592

February 6, 2001

REEL: 011576 FRAME: 0931

PATENT

Docket No: D976

ASSIGNMENT

WHEREAS, the undersigned (hereinafter termed Assignor(s)) has/have invented certain new and useful improvements in

"METHOD FOR FORMING SELF-ALIGNED CONTACTS AND LOCAL INTERCONNECTS USING SELF-ALIGNED LOCAL INTERCONNECTS"

for which a United States patent application has been executed on or before the date of this assignment.

WHEREAS, <u>ADVANCED MICRO DEVICES</u>, <u>INC.</u>, a Corporation of the State of <u>Delaware</u>, having a place of business at <u>One AMD Place</u>, <u>P.O. Box 3453</u>, <u>Sunnyvale</u>, <u>California 94088-3453</u>, (hereinafter termed Assignee), is desirous of acquiring the entire right, title and interest in and to said application and said invention and improvements thereon, and in and to Letters Patents thereon when granted in the United States and foreign countries.

NOW, THEREFORE, for good and valuable consideration received by said Assignor(s) from said Assignee, the receipt of which is hereby acknowledged by said Assignor(s):

- 1. Said Assignor(s) does hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest in and to said application and said invention and in and to any and all improvements on said invention heretofore or hereafter made or acquired by said Assignor(s); and in and to any and all Letters Patent on said invention and/or said improvements that may be granted in the United States or any foreign country, including each and every Letters Patent granted on any application which is a division, continuation, substitution, renewal, or continuation-in-part of any said application, and in and to each and every reissue or extension of said Letters Patent.
- 2. Said Assignor(s) hereby covenants and agrees to cooperate with said Assignee where said Assignee may enjoy to the fullest extent the right, title and interest herein conveyed. Such cooperation shall include (a) prompt execution of all papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee to perfect in it the right, title and interest herein conveyed, (b) prompt execution of all petitions, oaths, specifications or other papers (prepared at the expense of Assignee) which are deemed necessary or desirable by assignee for prosecuting said application, for filing and prosecuting divisional, continuation, substitution, renewal, continuation-in-part, or additional applications in the United States and/or foreign countries covering said invention and/or said improvements, for filing and prosecuting applications for reissuance of letters patent included herein, or for interference proceedings involving said invention and/or said improvements and (c) prompt assistance and cooperation in the prosecution of interference proceedings involving said invention and/or said improvements and in the adjudication of said Letters Patent, particularly by the disclosure of facts and the production of evidence relating to said invention and/or said improvements, provided the expenses which may be incurred by said Assignor(s) in lending such assistance and cooperation shall be paid by the Assignee.
- 3. The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and/or other legal representatives and shall be binding upon said Assignor(s), his/her heirs, legal representatives and assigns.
- 4. Said Assignor(s) hereby warrants and represents that he/she has not entered into any assignment, contract or understanding in conflict herewith.

1 of 3

IN WITNESS WHEREOF, the said A date set forth below.	Assignor has executed and delivered this instrument on the
8/21/00	Hiroyuki Kinoshita
STATE OF CALIFORNIA))ss.
COUNTY OF SANTA CLARA)
appeared <u>Hiroyuki Kinoshita</u> , know evidence) to be the person whose nanto me that he executed the same in	wind C. Orange, Notary Public, personally on to me (or proved to me on the basis of satisfactory ne is subscribed to the within instrument and acknowledged his authorized capacity, and that by his signature on the y upon behalf of which the person acted, executed the
WITNESS my hand and official seal Signature	WINDNA C. ORANGE Commission # 1127987 Notary Public — California Santa Clara County My Comm. Expires Apr 1, 2001
IN WITNESS WHEREOF, the said date set forth below. 9/1/2000 Date	Assignor has executed and delivered this instrument on the YongZhong Hu
STATE OF CALIFORNIA))ss.
COUNTY OF SANTA CLARA	
to be the person whose name is subs	e, Winam C. Ornes, Notary Public, personally one (or proved to me on the basis of satisfactory evidence) acribed to the within instrument and acknowledged to me that zed capacity, and that by his signature on the instrument the which the person acted, executed the instrument.
WITNESS my hand and official se	al. WINDNA C. CRANGE

IN WITNESS WHEREOF, the said A date set forth below.	Assignor has execute	ed and delivered	I this instrument on the
6-19-00	(he		,
Date	Yu Sun	9 CASA	
STATE OF CALIFORNIA)		
COUNTY OF SANTA CLARA)ss.)		
On June 19, 2000 before me, appeared Yu Sun, known to me (or person whose name is subscribed to executed the same in his authorized person, or the entity upon behalf of v	roved to me on the lot the within instrument capacity, and that	basis of satisfact nent and ackno- by his signature	tory evidence) to be the wledged to me that he on the instrument the
WITNESS my hand and official seal Signature	J. Jackey		MORENA G. PACHECO Commission # 1230694 Notary Public - California Santa Clara County My Comm. Expires Jul 30, 2003
IN WITNESS WHEREOF, the said date set forth below. 6(2) (0 0	Assignor has execu	ted and delivere	d this instrument on the
Date	Fei Wang		
STATE OF CALIFORNIA COUNTY OF SANTA CLARA))ss.)		
On June 23, 2000 before me appeared Fei Wang, known to me the person whose name is subscribe executed the same in his authorize person, or the entity upon behalf of	ed to the within inst	t by his signatu	re on the instrument the
WITNESS my hand and official se			Commission & 1545745 Notary Publis - Castlanta Sonta Class County My Comm. Refus Nov 5, 2003

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RECORDED: 02/06/2001

PATENT REEL: 011576 FRAME: 0934