

2/09/01

Docket No.: CHA9-2001-0001US1

03-16-2001

EET

U.S. DEPARTMENT OF COMMERCE  
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101639527

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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Tab settings

To the Honorable Commissioner of F...

he attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Charles P. Tresser**

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**

Internal Address:

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Street Address: **New Orchard Road**

City: **Armonk** State: **NY** ZIP: **10504**

Execution Date: **February 8, 2001**

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **February 8, 2001**

A. Patent Application No.(s)

B. Patent No.(s)

09779954

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Michael F. Hoffman**

Internal Address:

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

Street Address: **Hoffman, Warnick & D'Alessandro LLC**

**Three E-Comm Square**

8. Deposit account number:

**09-0469 (IBM)**

City: **Albany** State: **NY** ZIP: **10504**

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Michael F. Hoffman**

**February 9, 2001**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **2**

**2**

**PATENT**

**REEL: 011582 FRAME: 0403**

jc021 U.S. PTO  
09/779954  
02/09/01

Atty. Docket No. CHA9-2001-0001US1

**ASSIGNMENT**

Whereas, I

Charles P. Tresser  
County of New York

City of New York  
and State of New York


have invented certain improvements in **SYSTEM AND METHOD FOR MAINTAINING CUSTOMER PRIVACY**

and have executed, respectively, a United States patent application therefor on: February 8, 2001

Whereas, **INTERNATIONAL BUSINESS MACHINES CORPORATION**, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called **IBM**), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, the above named hereby sells, assigns, and transfers to **IBM**, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to **IBM**, its successors and assigns; and I hereby agree that **IBM** may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by **IBM**.

Signed at Hawthorne  
on 2/2/01

  
Charles P. Tresser