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Patent and Trademark Office

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To the Honorable Commissioner of

Name of conveying party(ies):

MONTGOMERY, Warren Alan
VOELKER, John AlvanAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

5-7-2001

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Dates: 9/29/2000 and 9/29/2000

ached original documents or copy thereof.

Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Address: 600 Mountain Avenue
P.O. Box 636
Murray Hill, NJ 07974-0636Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/677,919

If this document is being filed together with a new application, the execution dates of the application are:

A. Patent Application No.(s)

B. Patent No.(s)

MAY - 7 2001

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Carmen B. Patti
Wildman, Harrold, Allen & Dixon
225 West Wacker Drive
Chicago, IL 60606-1229

Docket No.: LUC-170/Montgomery 9-4

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.4):..... \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carmen B. Patti

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Reg. No. 26,784

Signature

April 30, 2001

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RAHMO 09/16/2000
To the Honorable Commissioner of Patents and Trademark
Name of conveying party(ies):

Warren Allen Montgomery
John Alvan Voelker

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Name: Lucent Technologies Inc.
Address: 600 Mountain Avenue
P.O. Box 636
Murray Hill, NJ 07974-0636

3. Nature of Conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____
Execution Dates: 9/29/2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution dates of the application are: October 3, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Carmen B. Patti
Wildman, Harrold, Allen & Dixon
225 West Wacker Drive
Chicago, IL 60606-1229

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.4):..... \$ 40.00
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Docket No.: Montgomery 9-4/LUC-170

8. Deposit account number: 12-2325

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9. Statement and signature.
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Carmen B. Patti
Name of Person Signing
Reg. No. 26,784

[Signature]
Signature

Oct 2, 2000
Date

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10/31/2000 RAHMD1 00000154 122325 09677919
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PATENT
REEL: 011186 FRAME: 0911

PATENT
REEL: 011583 FRAME: 0697

ASSIGNMENT AND AGREEMENT

For value received, we, **Warren Alan Montgomery**, residing in the town of DeKalb, in the County of DeKalb, in the State of Illinois, and **John Alvan Voelker**, residing in the town of Aurora, in the County of Kane, in the State of Illinois, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **METHOD FOR AUDIO STREAM MONITORING ON BEHALF OF A CALLING PARTY** the specification of which *is attached hereto* for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

PATENT
REEL: 011186 FRAME: 0912

PATENT
REEL: 011583 FRAME: 0698

We agree that, when requested, we shall, without charge to Lucent Technologies, Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Warren Alan Montgomery
Warren Alan Montgomery

Date: 9-29-2000

United States of America)

State of) ss.: Illinois

County of) DuPage

On this 29th day of September, 2000, before me personally came Warren Alan Montgomery, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Judith A. Spohn
Notary Public



John Alvan Voelker
John Alvan Voelker

Date: 9/29/2000

United States of America)

State of) ss.: Illinois

County of) DuPage

On this 29th day of September, 2000, before me personally came John Alvan Voelker, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Judith A. Spohn
Notary Public



RECORDED: 10/03/2000

PATENT
REEL: 011186 FRAME: 0914

RECORDED: 05/07/2001

PATENT
REEL: 011583 FRAME: 0700