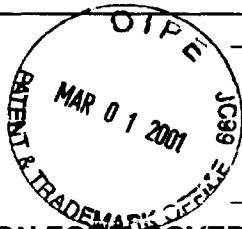


03-21-2001



101642148



**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☐ New

☒ Resubmission (Non-Recordation)
Document ID# 101530551

☐ Correction of PTO Error
Reel # Frame #

☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) <u>Horst Lindhofer</u>	Execution Date Month Day Year <u>07/02/1998</u>
Name (line 2) <u> </u>	

Second Party

Name (line 1) <u>Stefan Thierfelder</u>	Execution Date Month Day Year <u>07/07/1998</u>
Name (line 2) <u> </u>	

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) GSF-Forschungszentrum für Umwelt und Gesundheit GmbH

Name (line 2)

Address (line 1) Ingolstädter Landstr. 1, 85764 Oberschleissheim, Germany

Address (line 2)

Address (line 3)
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

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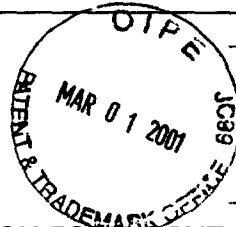
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FORM
Expires 06
OMB 0651

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101642148



U.S. Department of Commerce
Patent and Trademark Office
PATENT

**RECORDATION FORM COVER SHEET
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- ☒ Resubmission (Non-Recordation)
Document ID# 101530551

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U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☐ Mark if additional names of conveying parties attached
- Name (line 1) Execution Date
Month Day Year
07/02/1998

Second Party

- Name (line 1) Execution Date
Month Day Year
07/07/1998
- Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached

- Name (line 1)
- Name (line 2)
- Address (line 1)
- Address (line 2)
- Address (line 3) City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

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PATENT
REEL: 011589 FRAME: 0317

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

8

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/029,369

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

one (1)

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

18-2220

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Daryl A. Basham

Name of Person Signing

Signature

March 1, 2001

Date

11-30-2000

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ET

U.S. Department of Commerce
Patent and Trademark Office

101530551

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Horst Lindhofer
Stefan Thierfelder

Add'l names of conveying parties attached?

☐ Yes ☒ No3. Nature of conveyance: ☒ Assignment☐ Merger ☐ Security Agreement ☐ Other☐ Change of Name ☐ Reassignment4. Date of execution: 07/02/98 and
07/07/98

2. Name/address of receiving party(ies):

GSF - Forschungszentrum für
Umwelt und Gesundheit GmbHIngolstädter Landstr. 1, 85764
Oberschleissheim, Germany

Add'l names of receiving parties attached?

☐ Yes ☒ No

5. Application number(s) or patent number(s):

If this document is being filed together with new application
the execution date of application is _____.

A. Patent Application No.(s)

09/029,369 filed 02/25/98

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No6. Name and address of party to whom
correspondence concerning docu-
ment should be mailed:Dehlinger & Associates
P.O. Box 60850
Palo Alto, CA 94306
(650) 324-08807. Total No. of applications and patents
involved:one (1)8. Total fee (37 CFR \$3.41): \$40.00☒ Enclosed☐ Charge to Acct. No. 04-05319. Total number of pages, including cover
sheet, attachments and document 3.

1/30/1998 JMWKING 00000051 09029369

01 FC:581

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All OK

10. Statement and Signature:

To the best of my knowledge and belief, the foregoing information
is true and correct and any attached copy is a true copy of the
original document.Jeffery D. Frazier
Name of Person Signing

Signature

18 Nov 1998
Date

G:\USERS\FINALIZER\PTO\9456-001.1AX

Assignment

SERIAL NO. _____

FILED _____

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged,

Horst LINDHOFER

Stefan THIERFELDER

Insert Name(s)
of Inventor(s)

(hereinafter designated as the undersigned) hereby sell(s) and assign(s) to

GSF - Forschungszentrum für Umwelt und Gesundheit GmbH

Insert Name
of Assignee

Address of
Assignee

of Ingolstädter Landstr. 1, 85764 Oberschleissheim, Germany

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee), the entire right, title and interest in the invention or improvements in

Pharmaceutical Compositions For Immunotherapy Containing Antibodies Wh

Title of
Invention

Specifically Recognize The MHCII Antigen Of A Patient To Be Treated

for which an application for Letters Patent of the United States of America has been executed by the undersigned

Date of Signing
of Application

on _____
The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof or letters patent or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 2. July 1988, Name of Inventor H. Lindhofer

Date 7. July 1988, Name of Inventor S. Thierfelder
Stefan Thierfelder

Date _____, Name of Inventor _____

Date _____, Name of Inventor _____

Date _____, Name of Inventor _____

(This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed by at least two other persons who sign here.)

Witness P. Dargatzis Sylvia Donhauser
Witness P. Ruf Peter Ruf
Witness _____

ACKNOWLEDGMENT

_____ }

On this _____ day of _____, 19____, before me
personally appeared the above-named _____

to me personally known to be the individual(s) who executed the foregoing assignment, who did
acknowledge to me that he (they) executed the same of his (their) own free will for the purposes therein set
forth.

Witness my hand and seal the day and year last above given.

(SEAL)

Official Signature