

03-21-2001



PATENT

Atty. Docket No. 1588.005

101644204

3.6.01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: William C. Manning Jr. et al.

Serial No.: 09/665,493 Group Art Unit: to be assigned

Filed: September 20, 2000 Examiner: to be assigned

For: USE OF RECOMBINANT GENE DELIVERY VECTORS FOR TREATING OR PREVENTING DISEASES OF THE EYE

**ASSIGNMENT DOCUMENT COVER LETTER**

Box Assignment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

1. The accompanying assignment covers the patent or application identified above.

X No other patents or applications are covered by the assignment.

Other patents and/or applications covered by the assignment as shown on the attached LIST OF PATENTS AND/OR APPLICATIONS COVERED BY ASSIGNMENT.

**NAMES OF PARTY MAKING TRANSFER**

- 2. Name 1: William C. Manning Jr.
- Name 2: Varavani J. Dwarki
- Name 3: Katherine Rendahl
- Name 4: Shangzhen Zhou

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 24 day of February, 2001.  
By [Signature]

**IDENTITY OF PARTY TO WHOM TRANSFER IS MADE**

3. Transfer is being made to:

Chiron Corporation  
4560 Horton Street - R440  
Emeryville, CA 94608-2916  
United States of America

**INTENTION OF DOCUMENT**

4. The accompanying document is an:

Assignment (original)                       License  
 Security Interest                                 Other

Execution Date(s): February 5, 2001, February 8, 2001, February 21, 2001,  
and February 23, 2001

**FEE PAYMENT**

5. Total fee due \$40.00

Charge Deposit Account No. 03-1664 in the sum of \$40.00.

Enclosed is a check for \$40.00.

The Commissioner is hereby authorized to charge any additional fees  
which may be required or credit any overpayment to Deposit Account No.  
03-1664. THIS IS NOT AN AUTHORIZATION FOR PAYMENT OF  
ANY ISSUE FEES.

**ADDRESS TO WHICH DOCUMENT SHOULD BE RETURNED  
AFTER RECORDAL BY PTO**

6. Please return the recorded document to:

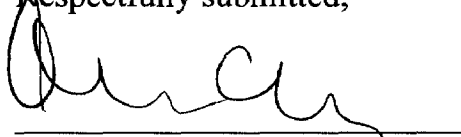
CHIRON CORPORATION  
Intellectual Property - R440  
P.O. Box 8097  
Emeryville, California 94662-8097  
United States of America

**STATEMENT BY THE PARTY**

7. I hereby submit that, to the best of my knowledge and belief, the information contained herein is true and correct and any copy submitted herewith is a true and correct copy of the original document.

Respectfully submitted,

By:



Alisa A. Harbin  
Attorney for Applicants  
Reg. No. 33,895

February 27, 2001

CHIRON CORPORATION  
Intellectual Property - R440  
P.O. Box 8097  
Emeryville, California 94662-8097  
(510) 923-2706  
(510) 655-3542 (fax)

Total number of pages comprising cover sheet: 12 (cover Letter plus Original Assignment)

**ASSIGNMENT**

*WHEREAS*, We, William C. Manning, Jr., Varavani J. Dwarki, Katherine Rendahl, and Shangzhen Zhou, hereinafter referred to as **ASSIGNORS**, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: USE OF RECOMBINANT GENE DELIVERY VECTORS FOR TREATING OR PREVENTING DISEASES OF THE EYE

Date(s) of Execution: February 8, 2001 Filing Date: September 20, 2000

Serial No: 09/665,493

*WHEREAS*, CHIRON CORPORATION, 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as **ASSIGNEE**, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

*NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN*: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to **ASSIGNORS** by **ASSIGNEE**, receipt of which is hereby acknowledged, **ASSIGNORS** have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said **ASSIGNEE**, and **ASSIGNEE'S** successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. §119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said **ASSIGNEE** as fully and exclusively as it would have been held and enjoyed by said **ASSIGNORS** had this assignment and transfer not been made, to the full end and term of any Letters Patent.

*ASSIGNORS* also agree that the U.S. application number may be entered above by *ASSIGNEE* or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

*ASSIGNORS* further agree that they will, without charge to said *ASSIGNEE*, but at *ASSIGNEE'S* expense, cooperate with *ASSIGNEE* in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as *ASSIGNEE* lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said *ASSIGNEE*, or *ASSIGNEE'S* successors and assigns.

*IN TESTIMONY WHEREOF, ASSIGNORS* have hereunto signed their names to this assignment on the dates indicated below.

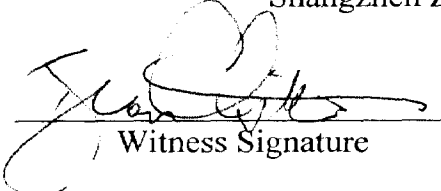
DATE \_\_\_\_\_  
William C. Manning, Jr.

\_\_\_\_\_  
Witness Signature

DATE \_\_\_\_\_  
Varavani J. Dwarki

DATE \_\_\_\_\_  
Katherine Rendahl

DATE 02/08/01  
  
Shangzhen Zhou

  
Witness Signature

**ASSIGNMENT**

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Title of Invention: USE OF RECOMBINANT GENE DELIVERY VECTORS FOR TREATING OR PREVENTING DISEASES OF THE EYE

Date(s) of Execution: February 23, 2001 Filing Date: September 20, 2000

Serial No: 09/665,493

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DATE \_\_\_\_\_

\_\_\_\_\_  
William C. Manning, Jr.

DATE 02/23/01 \_\_\_\_\_

\_\_\_\_\_  
*Varavani J. Dwarki*  
Varavani J. Dwarki

DATE \_\_\_\_\_

\_\_\_\_\_  
Katherine Rendahl

DATE \_\_\_\_\_

\_\_\_\_\_  
Shangzhen Zhou

*Luise Zippel*  
**LUISE ZIPFEL**  
**NOTARY PUBLIC OF NEW JERSEY**  
**Commission Expires 12/20/2004**

Sworn to and subscribed  
before me this  
23 day of Feb, 2001

**ASSIGNMENT**

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Title of Invention:     **USE OF RECOMBINANT GENE DELIVERY VECTORS FOR TREATING OR PREVENTING DISEASES OF THE EYE**

Date(s) of Execution:   February 5, 2001           Filing Date: September 20, 2000

Serial No:                09/665,493

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DATE \_\_\_\_\_

\_\_\_\_\_  
William C. Manning, Jr.

DATE \_\_\_\_\_

\_\_\_\_\_  
Varavani J. Dwarki

DATE 2/5/01

Katherine Rendahl  
Katherine Rendahl

DATE \_\_\_\_\_

\_\_\_\_\_  
Shangzhen Zhou

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

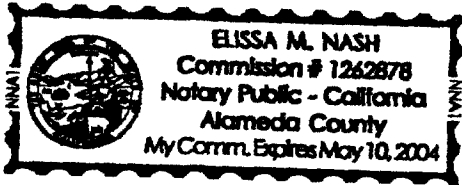
State of California

County of Alameda

On February 5, 2001 before me, Elissa M. Nash,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Katherine Rendahl,  
Name(s) of Signer(s)

personally known to me - OR -  proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Elissa M. Nash  
Signature of Notary Public

**OPTIONAL**

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

**Description of Attached Document**

Title or Type of Document: Assignment

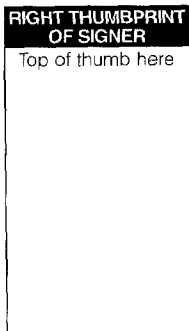
Document Date: \_\_\_\_\_ Number of Pages: 2

Signer(s) Other Than Named Above: William Manning, Varavani Dwarki, and Shangzhen Zhou

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_

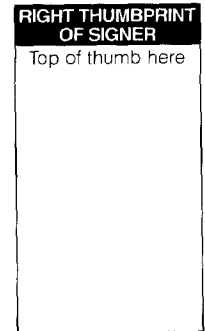
- Individual
- Corporate Officer  
Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_



Signer Is Representing:  
\_\_\_\_\_  
\_\_\_\_\_

Signer's Name: \_\_\_\_\_

- Individual
- Corporate Officer  
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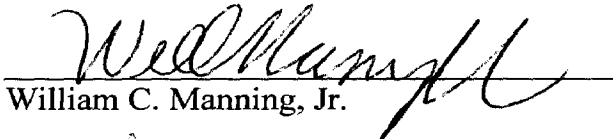
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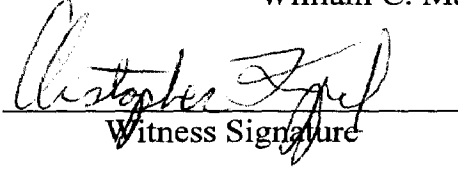
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DATE 2/21/01

  
William C. Manning, Jr.

  
Witness Signature

DATE \_\_\_\_\_

\_\_\_\_\_  
Varavani J. Dwarki

DATE \_\_\_\_\_

\_\_\_\_\_  
Katherine Rendahl

DATE \_\_\_\_\_

\_\_\_\_\_  
Shangzhen Zhou

\_\_\_\_\_  
Witness Signature