

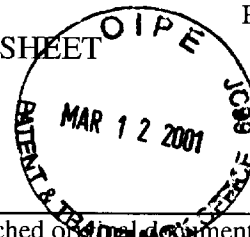
03-21-2001



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OVER SHEET

ONLY



Our Ref.: 1005-160

Commissioner of Patents and  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached on 401 documents or copy thereof.

1. Name of conveying party(ies):

Carl R. HOLZER, Jr.

3-12-01

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Assignment ☐ Change of Name  
☐ Other

Execution Date: September 20, 2000

2. Name and address of receiving party(ies):

Name: BASF Corporation

Internal Address: \_\_\_\_\_

Street Address: 3000 Continental Drive - North

City: Mount Olive

State/Country: New Jersey

Zip: 07828-1234

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s).

- (1) 09/758,950  
(2)  
(3)

B. Patent No(s).

- (1)  
(2)  
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bryan H. Davidson

Internal Address: \_\_\_\_\_

Street Address: Nixon & Vanderhye P.C.  
1100 North Glebe Road  
8<sup>th</sup> Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our **Account No. 14-1140.**

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bryan H. Davidson

Name of Person Signing

Reg. No. 30,251

Signature

March 12, 2001

Date

Total number of pages including **original** cover sheet, attachments, and document: [3]

**ASSIGNMENT  
(DECLARATION FORMAT)**

WHEREAS, We, Carl R. HOLZER, Jr., Richard T. PHELPS, III, and Gary W. HANWELL, (Declarants) declare that we have invented certain improvements in:

**APPARATUS AND METHODS FOR APPLYING LIQUID FINISH TO SYNTHETIC  
FILAMENTS**

hereinafter the invention or inventions executed concurrently herewith or filed on under Serial No. (Atty. Dkt. No. 1005-160), and being owners of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of Declarants' employment agreements, the Declarants do hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the Declarants hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The Declarants further agree, in accordance with the terms of Declarants' employment agreements, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

The undersigned Declarants further agree that all statements made herein of his/her own knowledge are true and that all statements made on information or belief

**ASSIGNMENT**

Atty. Dkt. No. 1005-160

Page No. 2

are believed to be true. The undersigned understands that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

The undersigned Declarant hereby request and authorize Nixon & Vanderhye, P.C., and any attorney thereof to insert the Serial Number, filing date or any other identifying information pertaining to the invention onto this Assignment deemed necessary for its recordation.

Dated: 9/20/00

Signed: ✓ Carl R. Holzer, Jr.  
Carl R. HOLZER, Jr.

Witnessed By:

Dated: 9-20-00

Signed: ✓ Herbert F. Brand

Dated: 9/20/2000

Signed: ✓ Stephen A. ...

Dated: \_\_\_\_\_

Signed: \_\_\_\_\_  
Richard T. PHELPS, III

Witnessed By:

Dated: \_\_\_\_\_

Signed: \_\_\_\_\_

Dated: \_\_\_\_\_

Signed: \_\_\_\_\_

Dated: \_\_\_\_\_

Signed: \_\_\_\_\_  
Gary W. HANWELL

Witnessed By:

Dated: \_\_\_\_\_

Signed: \_\_\_\_\_

Dated: \_\_\_\_\_

Signed: \_\_\_\_\_