

03-22-2001

ocket No.:

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Advanced Bioanalytical Services, Inc.

## 2. Name and address of receiving party(ies):

Name: Advion BioSciences, Inc.

Address: 15 Catherwood Road

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Other

City: Ithaca, State/Prov.: New York

Country: USA ZIP: 14850

Execution Date: February 5, 2001

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

09/707,856 11/7/00  
09/707,653 11/7/00  
09/703,005 10/31/00  
09/702,955 10/31/00  
09/702,950 10/31/00  
09/703,246 10/31/00  
09/156,507 10/17/98  
09/698,329 10/27/00

Additional numbers

B. Patent No.(s)

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David O. Seeley

Registration No.

Address: 60 East South Temple

1000 Eagle Gate Tower

City: Salt Lake City State/Prov.: Utah

Country: USA ZIP: 84111

## 6. Total number of applications and patents involved:

8

7. Total fee (37 CFR 3.41):.....\$ 320.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

23-3178

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David O. Seeley

Name of Person Signing

Signature

6 March 2001

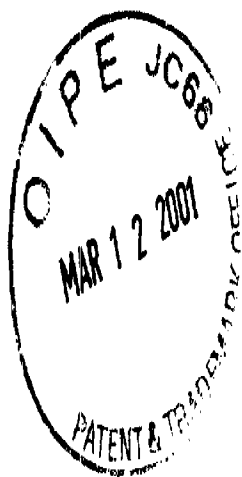
Date

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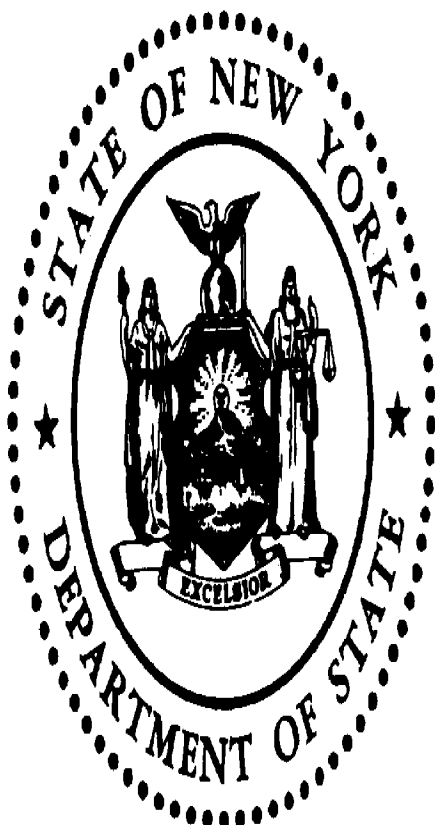
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State of New York }  
Department of State } SS:



*I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.*

Witness my hand and seal of the Department of State on **FEB 05 2001**



A handwritten signature in black ink, appearing to read "J. L. ...", written over a horizontal line.

*Special Deputy Secretary of State*

N. Y. S. DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS AND STATE RECORDS

ALBANY, NY 12231-0001

FILING RECEIPT

F010123000879

ENTITY NAME: ADVION BIOSCIENCES, INC.

DOCUMENT TYPE: RESERVATION FOR CHANGE OF NAME

SERVICE COMPANY: ACCELERATED INFORMATION & DOCUMENT FIL SERVICE CODE: 24

APPLICANT NAME : ADVANCED BIOANALYTICAL SERVICES INC.

FILED:01/09/2001 DURATION:03/12/2001 CASH#:010109000450 FILM #:010109000436

ADDRESS FOR PROCESS

REGISTERED AGENT

\*\* SUBMIT RECEIPT WHEN FILING CERTIFICATE \*\*

FILER	FEE	45.00	PAYMENTS	45.00
ADVANCED BIOANALYTICAL SERVICES INC	FILING :	20.00	CASH :	0.00
KRISTINE PEACOCK C/O AIDF	TAX :	0.00	CHECK :	0.00
90 STATE STREET, SUITE 836	CERT :	0.00	CHARGE :	0.00
ALBANY, NY 12207	COPIES :	0.00	DRAWDOWN:	45.00
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**CERTIFICATE OF AMENDMENT  
OF THE CERTIFICATE OF INCORPORATION  
OF ADVANCED BIOANALYTICAL SERVICES, INC.  
UNDER SECTION 805 OF THE BUSINESS CORPORATION LAW**

**AIDE-24**

1. The name of the corporation is Advanced Bioanalytical Services, Inc.
2. The Certificate of Incorporation of this corporation was filed by the department of state on January 6, 1993.
3. The Certificate of Incorporation is hereby amended to change the name of the corporation.
4. To effect the change stated above, section one of the Certificate of Incorporation with respect to the name of the corporation is hereby amended to read as follows:
  1. "The name of the corporation is Advion BioSciences, Inc."
5. The amendment to the Certificate of Incorporation was authorized by unanimous consent of the board of directors followed by unanimous consent of the shareholders.

IN WITNESS WHEREOF, this Certificate of Amendment of the Certificate of Incorporation is hereby executed, effective as of the 22 day of January, 2001.

ADVANCED BIOANALYTICAL SERVICES, INC.

By:

  
Thomas R. Kurz

Title: Chief Operating Officer

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CERTIFICATE OF

AMENDMENT

OF

ADVANCED BIOANALYTICAL SERVICES, INC.

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Filed by

Kristine E. Peacock  
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3 Drawdown

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Dated this 6<sup>th</sup> day of March, 2001.

Respectfully submitted,



David O. Seeley  
Attorney for Applicant  
Registration Number 30,148



**022913**

PATENT TRADEMARK OFFICE

DOS:hb  
Enclosures

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