

03-23-2001

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



101645382

U.S. Department of Commerce
Patent and Trademark Office

PATENT

FEB 28 2001

2.28.01

RECORDATION FORM COVER SHEET PATENTS ONLY

47440-035000

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) David J. Barich

Execution Date
Month Day Year
12 19 00

Name (line 2)

Second Party

Name (line 1) Daniel M. Barich

Execution Date
Month Day Year
12 19 00

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) General Electric Company

Name (line 2)

Address (line 1) 1 River Road

Address (line 2)

Address (line 3) Schenectady

New York

12345

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Stephen T. Scherrer

Address (line 1) McDermott, Will & Emery

Address (line 2) 227 West Monroe

Address (line 3) Chicago, Illinois 60606

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011598 FRAME: 0833

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Timothy P. Donahue

Execution Date
Month Day Year

02 21 01

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded
is an assignment and the
receiving party is not
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States, an appointment
of a domestic representative
is attached. (Designation
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document from
Assignment.)

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be a separate document from
Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Correspondent Name and Address

Area Code and Telephone Number **(312) 372-2000**

Name **Stephen T. Scherrer**

Address (line 1) **McDermott, Will & Emery**

Address (line 2) **227 West Monroe**

Address (line 3) **Chicago, Illinois 60606**

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

9

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/725,587

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

13-0206

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Stephen T. Scherrer
Name of Person Signing


Signature

2-23-01
Date

ASSIGNMENT OF INVENTION

In consideration of One Dollar and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned have assigned and hereby assign to, General Electric Company, a corporate entity chartered in and existing under the laws of the State of New York, and having its principal offices and place of business at 1 River Road, Schenectady, NY 12345, its successors, assigns and legal representatives (hereinafter called "said assignee") the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for United States Patent, entitled:

RAILCAR MAINTENANCE MANAGEMENT METHOD

for which invention we have executed an application (provisional or non-provisional) for a United States Patent, which was filed on November 29, 2000, under Serial No. 09/725,587, and

In said application and any and all other applications for United States Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all United States Patents, which may be obtained on any of said applications, and in any reissue, reexamination or extension thereof.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee and authorize(s) and request(s) the attorney(s) of record in said application to insert in this assignment the date and serial number of said application.

For said consideration, the undersigned hereby agree(s) upon the request and at the expense of said assignee or its assignees, to execute any divisional, continuation or substitute application for said invention or improvements, and any oath or affidavit relating thereto, and any application for the reissue, reexamination or extension of any Patent that may be granted upon said application, and, in the event of any application or Patent assigned herein becoming involved in Interference, to co-operate to the best of the ability of the undersigned in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. The undersigned agree(s) to perform, upon request, any affirmative acts to obtain said United States Patent and vest in said assignee and its assignees all rights therein, whereby said Patent will be held and enjoyed by said assignee and its assignees, to the full end of the term for which said Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

And for said consideration, the undersigned hereby assign(s) to said assignee the entire right, title and interest in said invention or improvements for all foreign countries, including all priority rights under the International Convention, and agree(s) to execute, at the request of said assignee or its assignees, all documents in connection with any application for foreign letters patent therefor.

In Witness Whereof, we have hereunder set our hands on the dates shown below.

Date 12/19/00

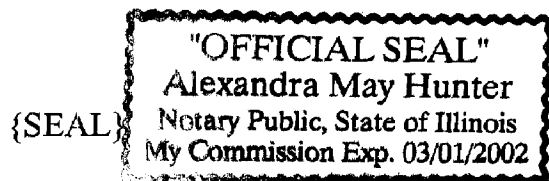
David J. Barich

STATE OF ILLINOIS)

COUNTY OF COOK)

SS:

On this 19th day of December, 2000, before me, a Notary Public in and for said county, appeared David J. Barich, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/~~she~~ signed and delivered the document as his/~~her~~ free and voluntary act for the uses and purposes therein set forth.



Alexandra May Hunter
Notary Public

My Commission Expires: 03/01/02

=====

(EXECUTED SEPARATELY)

Date _____

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, _____, before me, a Notary Public in and for said county, appeared **Daniel M. Barich**, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

{SEAL} _____
Notary Public
My Commission Expires: _____

=====

(EXECUTED SEPARATELY)

Date _____

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, _____, before me, a Notary Public in and for said county, appeared **Timothy P. Donahue**, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

{SEAL} _____
Notary Public
My Commission Expires: _____

=====

(EXECUTED SEPARATELY)

ASSIGNMENT OF INVENTION

In consideration of One Dollar and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned have assigned and hereby assign to, General Electric Company, a corporate entity chartered in and existing under the laws of the State of New York, and having its principal offices and place of business at 1 River Road, Schenectady, NY 12345, its successors, assigns and legal representatives (hereinafter called "said assignee") the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for United States Patent, entitled:

RAILCAR MAINTENANCE MANAGEMENT METHOD

for which invention we have executed an application (provisional or non-provisional) for a United States Patent, which was filed on November 29, 2000, under Serial No. 09/725,587, and

In said application and any and all other applications for United States Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all United States Patents, which may be obtained on any of said applications, and in any reissue, reexamination or extension thereof.

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For said consideration, the undersigned hereby agree(s) upon the request and at the expense of said assignee or its assignees, to execute any divisional, continuation or substitute application for said invention or improvements, and any oath or affidavit relating thereto, and any application for the reissue, reexamination or extension of any Patent that may be granted upon said application, and, in the event of any application or Patent assigned herein becoming involved in Interference, to co-operate to the best of the ability of the undersigned in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. The undersigned agree(s) to perform, upon request, any affirmative acts to obtain said United States Patent and vest in said assignee and its assignees all rights therein, whereby said Patent will be held and enjoyed by said assignee and its assignees, to the full end of the term for which said Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

And for said consideration, the undersigned hereby assign(s) to said assignee the entire right, title and interest in said invention or improvements for all foreign countries, including all priority rights under the International Convention, and agree(s) to execute, at the request of said assignee or its assignees, all documents in connection with any application for foreign letters patent therefor.

In Witness Whereof, we have hereunder set our hands on the dates shown below.

Date _____

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, _____, before me, a Notary Public in and for said county, appeared **David J. Barich**, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

{SEAL}

Notary Public

My Commission Expires: _____

=====

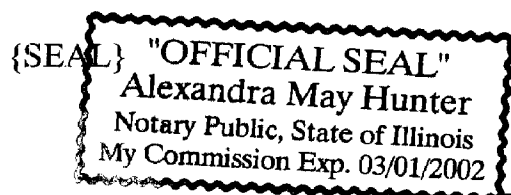
(EXECUTED SEPARATELY)

Date 12/19/00 Daniel M. Barich

STATE OF Illinois)
COUNTY OF COOK) SS:

On this 19th day of December, 2000, before me, a Notary Public in and for said county, appeared **Daniel M. Barich**, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/~~she~~ signed and delivered the document as his/~~her~~ free and voluntary act for the uses and purposes therein set forth.

Alexandra May Hunter
Notary Public



My Commission Expires: 03/01/02

(EXECUTED SEPARATELY)

Date _____

STATE OF _____)
COUNTY OF _____) SS:

On this _____ day of _____, _____, before me, a Notary Public in and for said county, appeared **Timothy P. Donahue**, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

Notary Public

{SEAL}

My Commission Expires: _____

(EXECUTED SEPARATELY)

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for which invention we have executed an application (provisional or non-provisional) for a United States Patent, which was filed on November 29, 2000, under Serial No. 09/725,587, and

In said application and any and all other applications for United States Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all United States Patents, which may be obtained on any of said applications, and in any reissue, reexamination or extension thereof.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee and authorize(s) and request(s) the attorney(s) of record in said application to insert in this assignment the date and serial number of said application.

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In Witness Whereof, we have hereunder set our hands on the dates shown below.

Date _____

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, _____, before me, a Notary Public in and for said county, appeared **David J. Barich**, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

{SEAL}

Notary Public

My Commission Expires: _____

=====
(EXECUTED SEPARATELY)

Date _____

STATE OF _____)
) SS:
COUNTY OF _____)

On this _____ day of _____, _____, before me, a Notary Public in and for said county, appeared **Daniel M. Barich**, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

Notary Public

{SEAL}

My Commission Expires: _____

(EXECUTED SEPARATELY)

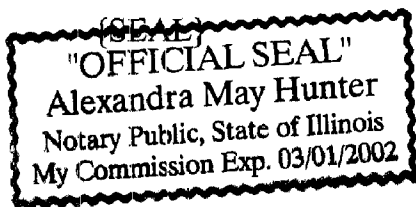
Date 02/21/01
STATE OF Illinois)
) SS:
COUNTY OF COOK)

Timothy Donahue

On this 21st day of February, 2001, before me, a Notary Public in and for said county, appeared **Timothy P. Donahue**, who is personally known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/she signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

Alexandra May Hunter
Notary Public

My Commission Expires: 03/01/02



(EXECUTED SEPARATELY)

CHI99 3510864-1.047440.0035