

03-23-2001

IN THE UNITED STATES



RK OFFICE

Docket No.: CDST-F101

3-7-01

101646691

Whereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the U.S. Patent and Trademark Office, Assignment Branch, North Tower Building, Suite 10C35, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:	03/05/01	Name of Person Making the Deposit:	KATHERINE RINALDI	Signature of the Person Making the Deposit:	<i>Katherine Rinaldi</i>
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The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please record the attached original document(s) or copy(ies) thereof:

Transmittal of an Assignment

1. Name of conveying party(ies): David C. Chang

Serial No.: 09/585,712

Filed: 05/31/00

Group Art Unit:

Examiner:

2. Name and Address of Receiving party(ies): CANDESCENT TECHNOLOGIES CORPORATION  
6580 Via del Oro, San Jose, CA 95119

3. Nature of Conveyance: Assignment  
Execution Date: 02/13/01

4. New Patent Application entitled: GRIPPING MULTI-LEVEL BLACK MATRIX

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. Wagner, Jr.  
Address: Wagner, Murabito & Hao LLP  
Two North Market Street, Third Floor, San Jose, CA 95113  
Phone: (408) 938-9060

6. Total Number of applications and patents involved: ONE

7. **Fee Calculation** (for other than a small entity)

Assignment Recordation Fee, per property

1 X \$40.00

\$40.00

**Total Fees** (37 CFR 3.41)

X The amount of \$ 40.00 is enclosed

8. At any time during the pendency of this application, please charge any additional fees required or credit any overpayments to Deposit Account 23-0085. A duplicate copy of this transmittal is enclosed.

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*[Signature]*  
Name John P. Wagner, Jr.  
Reg. No. 35,398

Date: March 5, 2001

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40.00 00

Docket No.: CDST-F101

**Assignment to Candescent Technologies Corporation**

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

David C. Chang

do hereby sell, assign and transfer unto Candescent Technologies Corporation (hereinafter called Candescent), a California Corporation having its principal place of business at 6580 Via del Oro, San Jose, California 95119, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

GRIPPING MULTI-LEVEL BLACK MATRIX

filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefore; or

☒ Serial No.: 09/585,712 filed on 05/31/00 and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefore;

Further, I/we have agreed to assign to Candescent all inventions (except as otherwise limited by law) which relate to Candescent business and which were first conceived or actually reduced to practice during my/our employment by Candescent;

And for the above consideration, I/we agree promptly upon request of Candescent, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Candescent, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by Candescent;

I/we further covenant with Candescent, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal.

Inventor's Signature: David Chang Date: 2/13/01

State of California

County of Alameda

Please see attached Acknowledgment  
Before me this 13th day of February, 2001, personally appeared David Chang who is personally known or proved to me on the basis of satisfactory evidence to be the person who acknowledged the foregoing instrument of assignment to be his his or her free act and deed.

Notary Stamp/Seal

Notary Public

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

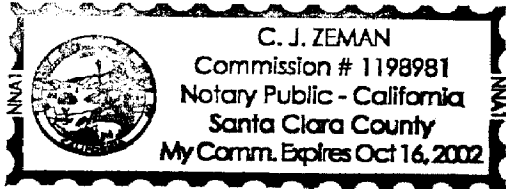
State of California

County of San Mateo } ss.

On 9/13/01, before me, C. J. Zeman,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared David C. Chang,  
Name(s) of Signer(s)

- ☐ personally known to me  
☒ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

C. J. Zeman  
Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Assignment

Document Date: 9/13/01 Number of Pages: 1

Signer(s) Other Than Named Above: None

### Capacity(ies) Claimed by Signer

Signer's Name: David C. Chang

- ☒ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☒ Other: inventor

Signer Is Representing: Self

RIGHT THUMBPRINT  
OF SIGNER

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