

03-23-2001



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2/15/01

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- New
- Resubmission (Non-Recordation)  
Document ID#
- Correction of PTO Error  
Reel #  Frame #
- Corrective Document  
Reel #  Frame #

**Conveyance Type**

- Assignment  Security Agreement
  - License  Change of Name
  - Merger  Other
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- Departmental File  Secret File

JC996 U.S. PTO  
09789937  
02/15/01

**Conveying Party(ies)**

- Mark if additional names of conveying parties attached
- Name (line 1)  Execution Date Month Day Year
- Name (line 2)

**Second Party**

- Name (line 1)  Execution Date Month Day Year
- Name (line 2)

**Receiving Party**

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- Address (line 3)     
City State/Country Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

Area Code and Telephone Number **(917) 373 5918**

Name **Joseph P. Errico**

Address (line 1) **150 Douglas Rd**

Address (line 2) **Far Hills, N.J. 07931**

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. # **3**

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month **02** Day **15** Year **2001**

**Patent Cooperation Treaty (PCT)**

Enter PCT application number **only if a U.S. Application Number has not been assigned.**  
PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties** Enter the total number of properties involved. # **1**

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$ **40**

Method of Payment: Enclosed  Deposit Account

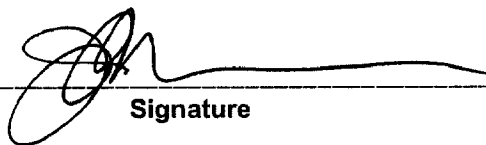
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**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

**Joseph P. Errico**  
Name of Person Signing

  
Signature

**02/15/2001**  
Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

PTO 09/789937



Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

E-127

Whereas, I, Thomas J. Errico of 5 Crest Acre Court Summit NJ 07901, hereafter referred to as applicant, have invented certain new and useful improvements in A Hook-Shaped Spinal Cord Electrode Assembly Having A Telescoping Electrode

for which an application for a United States Patent was filed on \_\_\_\_\_ Application Number \_\_\_\_\_ / \_\_\_\_\_.

for which an application for a United States Patent was executed on Feb. 15, 2001, and

Whereas, ElectroCore Technologies, LLC of Summit, NJ herein referred to "assignee" whose mailing address is 47 Maple St., Suite 102, Summit NJ 07901 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 15<sup>th</sup> day of February, 20 01,

at 5 Crest Acre Court, Summit, N.J. 07901

Thomas J. Errico  
(Signature)

State of \_\_\_\_\_ ) SS:  
County of \_\_\_\_\_ )

Before me personally appeared said \_\_\_\_\_ and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Seal

\_\_\_\_\_  
(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

E-127

Whereas, I, Joseph P. Errico of 150 Douglas Rd. Far Hills, NJ 07931, hereafter referred to as applicant, have invented certain new and useful improvements in A Hook-Shaped Spinal Cord Electrode Assembly Having A Telescoping Electrode

[ ] for which an application for a United States Patent was filed on Application Number /

[X] for which an application for a United States Patent was executed on Feb. 15, 2001, and

Whereas, ElectroCore Technologies, LLC of Summit, NJ herein referred to "assignee" whose mailing address is 47 Maple St., Suite 102, Summit, NJ 07901 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 15th day of February, 20 01, at 150 Douglas Rd. Far Hills, NJ 07931

[Handwritten Signature]

(Signature)

State of ) SS: County of )

Before me personally appeared said and acknowledged the foregoing instrument to be his free act and deed this day of , 20.

Seal

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

E-127

Whereas, I, Timothy J. Bortree of 627 Springfield Ave. Summit, NJ. 07901, hereafter referred to as applicant, have invented certain new and useful improvements in A Hook-Shaped Spinal Cord Electrode Assembly Having A Telescoping Electrode

[ ] for which an application for a United States Patent was filed on Application Number /

[X] for which an application for a United States Patent was executed on Feb. 15, 2001, and

Whereas, ElectroCore Technologies, LLC of Summit, N.J. herein referred to "assignee" whose mailing address is 47 Maple St., Suite 102, Summit NJ 07901 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 15th day of February, 20 01,

at 627 Springfield Ave., Summit NJ 07901

[Handwritten Signature]

(Signature)

State of ) SS:

County of )

Before me personally appeared said

and acknowledged the foregoing instrument to be his free act and deed this

day of , 20

Seal

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.